

MRD RE
1-799

01-08-1999

PATENT
VTO253-US1

FORM PTO-1595
1-31-92

RECORDATION

100937835

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office
823889

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Paul Paspa, Craig E. Mar, Joe Beauvais, Kenneth L. Wong
Additional Name(s) of conveying party(ies)
attached? ☐ yes ☒ no

2. Name and address of receiving party(ies)

Name: PACESETTER, INC.

Internal Address: _____

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Street Address: 701 E. EVELYN AVENUE

City SUNNYVALE State CA Zip 94086

Additional name(s) and address(es) attached?

☐ yes ☒ no

Execution Dates: Paul Paspa 8/5/98; Craig E. Mar
8/10/98; Joe Beauvais 8/21/98; Kenneth L. Wong 8/5/98

4. Applications number(s) or patent Number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s): 09/143,213

B. Patent No.(s)

Additional numbers attached? ☐ yes ☒ no

5. Name and address of party to whom
correspondence concerning documents should be mailed

Name: Jeanne H. Guynes

Internal Address: PACESETTER, INC.

Street Address: 701 E. EVELYN AVENUE

City SUNNYVALE State CA Zip 94086

6. Total number of applications and patents
involved: 1

7. Total fee (37 CFR 3.41): \$40.00 previously paid

☐ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit Account Number:
22-0265

(Attach duplicate copy of this page if paying by
deposit account)

DO NOT USE THIS SPACE

9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is
a true copy of the original document.

STEVEN M. MITCHELL

Name of Person Signing



Signature

1/4/99

Date

Total number of pages including cover sheet: 8

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as
First Class Mail in an envelope addressed to: U.S. Patent and Trademark Office, Assignment Division, Box
Assignments, CG-4, 1213 Jefferson Davis Hwy, Suite 320, Washington, D.C. 20231, Attn: Kimberly White on _____

1/4/99

Signed: 
Steven M. Mitchell, Reg. No. 31,857

Date: 1/4/99

09-08-1998



100823889

PATENT
VTO253-US1U.S. PTO
143213FORM PTO-1595
1-31-92RECORDATION FORM COVER SHEET
PATENTS ONLYU.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Paul Paspas, Craig E. Mar, Joe Beauvais, Kenneth L. Wong
Additional Name(s) of conveying party(ies)
attached? ☐ yes ☐ no

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Name: PACESETTER, INC.

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- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 8/5/98; 8/10/98; 8/21/98Street Address: 701 E. EVELYN AVENUECity SUNNYVALE State CA Zip 94086Additional name(s) and address(es) attached?
☐ yes ☐ no

4. Applications number(s) or patent Number(s):

MRD 8-28-98

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s):

B. Patent No.(s)

Additional numbers attached? ☐ yes ☐ no5. Name and address of party to whom
correspondence concerning documents should
be mailedName: BARBARA CARROLLInternal Address: PACESETTER, INC.Street Address: 701 E. EVELYN AVENUECity SUNNYVALE State CA Zip 940866. Total number of applications and patents
involved ☐7. Total fee (37 CFR 3.41): \$80.00 40E

- ☐ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit Account Number:

22-0265(Attach duplicate copy of this page if paying by
deposit account)

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9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is
a true copy of the original document.STEVEN M. MITCHELL

Name of Person Signing

Signature

8/28/98

Date

Total number of pages including cover sheet: 3

OMB No. 0651-0011 (exp.4/94)

09/03/1998 BHINES 00000080 220265 09143213

03 FC:581 40.00 CH

PATENT
REEL: 9677 FRAME: 0991

ASSIGNMENT

WHEREAS, I as below named sole inventor (if only one name is listed below) or joint inventor (if plural names are listed below) of the city and state as stated below next to my name, have invented a(an) "Aluminum Electrolytic Capacitor with Conductive Feed-through for Implantable Medical Device" for which application for Letters Patent of the United States has been executed by me on this day, or (if the following is completed) filed on _____ as Serial No. _____; and

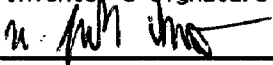
WHEREAS, PACESETTER, INC. (hereinafter referred to as Pacesetter), a Delaware Corporation, having a principal place of business in Sunnyvale, California, is desirous of acquiring the entire and exclusive right, title and interest in, to and under said invention, said application and any and all Letters Patent that may be granted therefor in the United States and throughout the world;

NOW THEREFORE, in consideration of the obligations voluntarily assumed by me and set forth in an invention agreement between me and Pacesetter, effective the date as stated below next to my name, and other good and valuable consideration, receipt of which is hereby acknowledged, I do hereby sell, assign and transfer to Pacesetter, its successors, assigns or other legal representatives, the entire and exclusive right, title and interest in and to said invention invented by me, to said application and any and all applications which are continuations, continuations-in-part, divisions or substitutes of said application and any and all Letters Patent that may be granted therefore in the United States and throughout the world on any of said applications and to any and all reexaminations, reissues, renewals or extensions of said Letters Patent in the United States and throughout the world for the full term or terms for which said Letters Patent may be granted in the United States and throughout the world; authorize and request The Commissioner of Patents and Trademarks of the United States and all foreign countries to issue all such Letters Patent to said Pacesetter, its successors, assigns or other legal representatives; covenant that no assignment, sale, agreement, transfer or encumbrance has been, or will be, made or entered into which would conflict with this assignment, sale and transfer; and agree to communicate to Pacesetter, its successors, assigns or other legal representatives, upon request and at no cost or expense to me, any facts known by me respecting said invention, do all lawful acts, including the execution and delivery of all papers and proper oaths and giving of testimony that is deemed necessary or desirable by Pacesetter, its successors, assigns or other legal representatives with regard to said invention for protecting, obtaining, maintaining and enforcing any and all of said Letters Patent in the United States and throughout the world for said invention and for perfecting, affirming, recording and maintaining the title of Pacesetter, its successors, assigns or other legal representatives, and generally cooperate to the fullest extent in all matters pertaining to said invention, and any and all of said Letters Patent and the title thereto in Pacesetter, its successors, assigns or other legal representatives.

IN WITNESS WHEREOF, I have executed this instrument.

Full Name of Sole or Joint Inventor Paul M. Paspa	Inventor's Signature	Date
Residence (City and State) 6247 Rainbow Drive; San Jose, CA 95129	Invention Agreement Date November 9, 1992	

Full Name of Sole or Joint Inventor Craig E. Mar	Inventor's Signature	Date
Residence (City and State) 932 Praderia Circle; Fremont, CA 94539	Invention Agreement Date March 15, 1988	

Full Name of Sole or Joint Inventor Joe Beauvais	Inventor's Signature 	Date 8/21/96
Residence (City and State) 201 Searcy Road; Central, SC 29630	Invention Agreement Date September 21, 1997	

Full Name of Sole or Joint Inventor Kenneth L. Wong	Inventor's Signature	Date
Residence (City and State) 466 Flora Vista Avenue; Sunnyvale, CA 94086	Invention Agreement Date October 11, 1993	

STATE OF CALIFORNIA)
) SS:
COUNTY OF SANTA CLARA)

On _____, before me, the undersigned, a Notary Public in and for said State, personally appeared Paul Paspas known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) are subscribed to the within instrument and acknowledged to me that they executed the same in their authorized capacity, and that by their signature(s) on the instrument the person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public _____

STATE OF SOUTH CAROLINA)
) SS:
COUNTY OF Pickens)

On _____, before me, the undersigned, a Notary Public in and for said State, personally appeared Joe Beauvais known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) are subscribed to the within instrument and acknowledged to me that they executed the same in their authorized capacity, and that by their signature(s) on the instrument the person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public Lauren M. May
6-26-2006

STATE OF CALIFORNIA)
) SS:
COUNTY OF SANTA CLARA)

On _____, before me, the undersigned, a Notary Public in and for said State, personally appeared Craig Mar and Kenneth Wong known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) are subscribed to the within instrument and acknowledged to me that they executed the same in their authorized capacity, and that by their signature(s) on the instrument the person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public _____

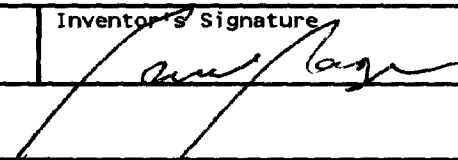
ASSIGNMENT

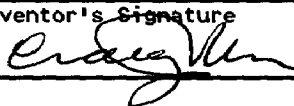
WHEREAS, I as below named sole inventor (if only one name is listed below) or joint inventor (if plural names are listed below) of the city and state as stated below next to my name, have invented a(an) "Aluminum Electrolytic Capacitor with Conductive Feed-through for Implantable Medical Device" for which application for Letters Patent of the United States has been executed by me on this day, or (if the following is completed) filed on _____ as Serial No. _____; and

WHEREAS, PACESETTER, INC. (hereinafter referred to as Pacesetter), a Delaware Corporation, having a principal place of business in Sunnyvale, California, is desirous of acquiring the entire and exclusive right, title and interest in, to and under said invention, said application and any and all Letters Patent that may be granted therefor in the United States and throughout the world;

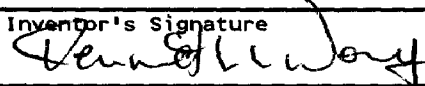
NOW THEREFORE, in consideration of the obligations voluntarily assumed by me and set forth in an invention agreement between me and Pacesetter, effective the date as stated below next to my name, and other good and valuable consideration, receipt of which is hereby acknowledged, I do hereby sell, assign and transfer to Pacesetter, its successors, assigns or other legal representatives, the entire and exclusive right, title and interest in and to said invention invented by me, to said application and any and all applications which are continuations, continuations-in-part, divisions or substitutes of said application and any and all Letters Patent that may be granted therefore in the United States and throughout the world on any of said applications and to any and all reexaminations, reissues, renewals or extensions of said Letters Patent in the United States and throughout the world for the full term or terms for which said Letters Patent may be granted in the United States and throughout the world; authorize and request The Commissioner of Patents and Trademarks of the United States and all foreign countries to issue all such Letters Patent to said Pacesetter, its successors, assigns or other legal representatives; covenant that no assignment, sale, agreement, transfer or encumbrance has been, or will be, made or entered into which would conflict with this assignment, sale and transfer; and agree to communicate to Pacesetter, its successors, assigns or other legal representatives, upon request and at no cost or expense to me, any facts known by me respecting said invention, do all lawful acts, including the execution and delivery of all papers and proper oaths and giving of testimony that is deemed necessary or desirable by Pacesetter, its successors, assigns or other legal representatives with regard to said invention for protecting, obtaining, maintaining and enforcing any and all of said Letters Patent in the United States and throughout the world for said invention and for perfecting, affirming, recording and maintaining the title of Pacesetter, its successors, assigns or other legal representatives, and generally cooperate to the fullest extent in all matters pertaining to said invention, and any and all of said Letters Patent and the title thereto in Pacesetter, its successors, assigns or other legal representatives.

IN WITNESS WHEREOF, I have executed this instrument.

Full Name of Sole or Joint Inventor Paul M. Paspas	Inventor's Signature 	Date 8/5/98
Residence (City and State) 6247 Rainbow Drive; San Jose, CA 95129		Invention Agreement Date November 9, 1992

Full Name of Sole or Joint Inventor Craig E. Mar	Inventor's Signature 	Date 8/10/98
Residence (City and State) 932 Praderia Circle; Fremont, CA 94539		Invention Agreement Date March 15, 1988

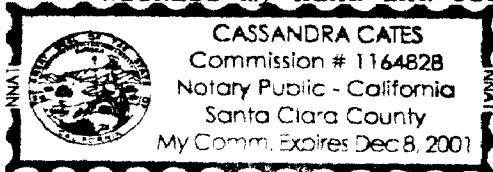
Full Name of Sole or Joint Inventor Joe Beauvais	Inventor's Signature	Date
Residence (City and State) 201 Searcy Road; Central, SC 29630		Invention Agreement Date September 21, 1997

Full Name of Sole or Joint Inventor Kenneth L. Wong	Inventor's Signature 	Date 8/5/98
Residence (City and State) 466 Flora Vista Avenue; Sunnyvale, CA 94086 19465 MILLER COURT, SARATOGA, CA 95070		Invention Agreement Date October 11, 1993

STATE OF CALIFORNIA)
) SS:
COUNTY OF SANTA CLARA)

On August 5, 1998, before me, the undersigned, a Notary Public in and for said State, personally appeared Paul Paspa known to me (or proved to me on the basis of satisfactory evidence) to be the person(~~X~~) whose name(~~X~~) are subscribed to the within instrument and acknowledged to me that they executed the same in their authorized capacity, and that by their signature(~~X~~) on the instrument the person(~~X~~) or the entity upon behalf of which the person(~~X~~) acted, executed the instrument.

WITNESS my hand and official seal.



Notary Public Cassandra Cates

STATE OF SOUTH CAROLINA)
) SS:
COUNTY OF _____)

On _____, before me, the undersigned, a Notary Public in and for said State, personally appeared Joe Beauvais known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) are subscribed to the within instrument and acknowledged to me that they executed the same in their authorized capacity, and that by their signature(s) on the instrument the person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.

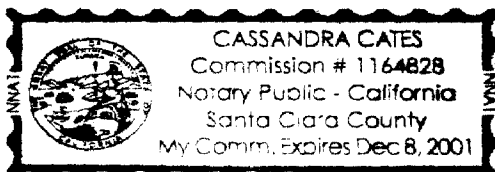
WITNESS my hand and official seal.

Notary Public _____

STATE OF CALIFORNIA)
) SS:
COUNTY OF SANTA CLARA)

On August 5, 1998, before me, the undersigned, a Notary Public in and for said State, personally appeared ~~Craig Har~~ and Kenneth Wong known to me (or proved to me on the basis of satisfactory evidence) to be the person(~~X~~) whose name(~~X~~) are subscribed to the within instrument and acknowledged to me that they executed the same in their authorized capacity, and that by their signature(~~X~~) on the instrument the person(~~X~~) or the entity upon behalf of which the person(~~X~~) acted, executed the instrument.

WITNESS my hand and official seal.

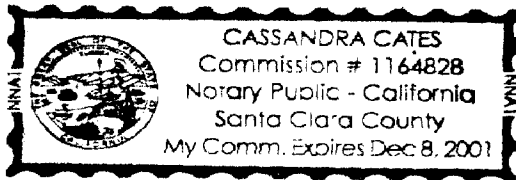


Notary Public Cassandra Cates

STATE OF CALIFORNIA)
) SS:
COUNTY OF SANTA CLARA)

On August 10, 1998, before me, the undersigned, a Notary Public in and for said State, personally appeared Craig E. Mar known to me (or proved to me on the basis of satisfactory evidence) to be the person(~~s~~) whose name(~~s~~) are subscribed to the within instrument and acknowledged to me that they executed the same in their authorized capacity, and that by their signature(~~s~~) on the instrument the person(~~s~~) or the entity upon behalf of which the person(~~s~~) acted, executed the instrument.

WITNESS my hand and official seal.



Notary Public Cassandra Cates