01-12-1999



U.S. DEPARTMENT OF COMMER Patent and Trademark Office

## FORM USPTO-1595 1-31-92 100940148 Attorney Docket No.: CHEN0080 RECORDATION FORM COVER SHEET PATENTS ONLY To the Honorable Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy thereof. 1. Name of conveying party(ies): Name and address of receiving party(ies): James C. Chen Name: **Light Sciences Limited Partnership** Address: 1065 - 12th Avenue N.W. #E5 Additional name(s) of conveying party(ies) attached? ☐ Yes No Issaquah, WA 98027 Additional name(s) and address(es) attached? ☐ Yes ☒ No 3. Nature of conveyance: Security Agreement Change of Name Other Execution Date(s): **December 18, 1998** 4. Application number(s) or Patent number(s): A. Patent Application Number(s): Additional numbers attached? ☐ Yes ☒ No If this document is being filed together with a new application, the execution date of the application is: December 18, 1998 6. Total number of applications and patents involved? 1 Name and address of party to whom correspondence concerning document should be mailed: 7. Total fee (37 C.F.R. § 3.41):..... \$ 40 Ronald M. Anderson, Esq. 8. The fee of \$40 is included in check No. 35/3Law Offices of Ronald M. Anderson enclosed. The Commissioner is authorized to charge any fees 500 - 108th Avenue N.E., Suite 1710 Bellevue, WA 98004 that may be required or credit any overpayment to Deposit Account No. 01-1940. (425) 451-8301 DO NOT USE THIS SPACE 9. Statement and signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. December 21, 1998 Ronald M. Anderson Name of Attorney or Agent Registration No. 28,829 Date C:581 40.00 DP EXPRESS MAIL CERTIFICATE Date of Deposit: December 21, 1998 "Express Mail" mailing label number: EM421570635US I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231. Kathy L. Harris (Typed or printed name of person mailing paper or fee) (Signature of person mailing paper or fee)

PATENT

**REEL: 9684 FRAME: 0466** 

OMB No. 0651-0011 (exp. 4/94)

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## ASSIGNMENT

Attorney Docket No: CHEN0080

WHEREAS, I, James C. Chen, residing at 2011 - 87th Place N.E., Bellevue, Washington 98004 (hereinafter referred to as ASSIGNOR), am the inventor named in an application for Letters Patent of the United States, entitled USE OF PEGYLATED PHOTOSENSITIZER CONJUGATED WITH AN ANTIBODY FOR TREATING ABNORMAL TISSUE,

**James C. Chen** having executed a Declaration for the application on the 18<sup>th</sup> day of December, 1998;

AND, WHEREAS, **Light Sciences Limited Partnership**, a limited partnership duly organized under the laws of the State of Washington, having a principal place of business at 1065 - 12th Avenue N.W. #E5, Issaquah, Washington 98027 (hereinafter referred to as ASSIGNEE), is desirous of acquiring my entire right and title to and interest in my invention(s) disclosed in said application;

NOW, THEREFORE, for sufficient, good and valuable consideration, the receipt of which is hereby acknowledged, I do hereby sell, assign, and transfer unto ASSIGNEE my entire right and title to and interest in said application and said invention(s), including the right to apply for patents thereon in foreign countries in my name or in the name of ASSIGNEE, said invention(s) and all applications and patents on said invention(s) to be held and enjoyed by ASSIGNEE as entirely as the same would have been held and enjoyed by me had this sale, assignment and transfer not been made, and I do hereby further agree and promise to execute all instruments and render all such assistance as ASSIGNEE may request in order to make and prosecute any and all applications on said invention(s), to enforce any and all patents on said invention(s), and to confirm in ASSIGNEE legal title to said invention(s) and all applications and patents on said invention(s), all without charge to ASSIGNEE but at no expense to me.

Executed at Bellevue, Washington, this 18th day of December, 1998.

James C. Chen

STATE OF WASHINGTON )
) SS

COUNTY OF KING )

On this 18th day of December, 1998, James C. Chen personally appeared before me, to me known or proven to be the individual named above who executed the within and foregoing instrument, and acknowledged that he signed the same as his free and voluntary act and deed, for the uses and purposes therein mentioned.

(SEAL)

KATHY L. HARRIS
STATE OF WASHINGTON
NOTARY----- PUBLIC
MY COMMISSION EXPIRES 1-06-02

**RECORDED: 12/21/1998** 

Cathy L. Harris

Notary Public in and for the State of Washington

Residing at Bellevue

My commission expires September 6, 2002.

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