

MCD

#3

404 Rec'd PCT/PTO 20 NOV 1998

Attorney's Docket No. U 011860-9

09/125213

IN THE UNITED STATES DESIGNATED OFFICE (DO/US)

PCT/ES97/00295 28 NOVEMBER 1997 24 DECEMBER 1996
INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED
ROLLING MONOAZLE PROVIDED WITH MOVABLE INDEPENDENT WHEELS FOR ARTICULATED RAILWAY
CARRIAGES INTENDED TO THE TRANSPORTATION OF CARS

TITLE
LUIS ARCHILLA LADEANUEVA, et al.

APPLICANT FOR DO/US

Box PCT 11-20-98
Assistant Commissioner for Patents
Washington, D.C. 20231

ATTENTION: DO/US

02-12-1999



100963356

COVER SHEET FOR ASSIGNMENT (37 CFR 1.331) ACCOMPANYING
INTERNATIONAL APPLICATION ENTERING NATIONAL STAGE
IN U.S. DESIGNATED OFFICE (DO/US) UNDER 35 U.S.C. 371

IDENTIFICATION OF APPLICATION (37 CFR 3.21 and 37 CFR 3.31(a)(4))

1. The patent application filed herewith, and to which the attached assignment (document) refers, is identified as follows:

- a. Date of execution: September 11, 1998
- b. Name of each inventor:
 - 1: LUIS ARCHILLA ALDEANUEVA
 - 2: JULIO FRUTOS AGUADO
 - 3:
- c. Title of invention:

NOTE: "If an assignment is executed concurrently with, or subsequent to the execution of the patent application, but before the patent application is filed, it must identify the patent application by its date of execution, name of each inventor, and title of the invention so that there can be no mistake as to the patent application intended." 37 CFR 3.21.

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this "Cover Sheet for Assignment (Document) Accompanying Application Entering National Phase", along with any document referred to, is being deposited with the United States Postal Service on this date November 20, 1998 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EE656313686US addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

BEATRIZ PEREIRA

(type or print name of person mailing paper)

Signature of person mailing paper

WARNING: Certificate of mailing (first class) or facsimile transmission procedures of 37 CFR 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

(Cover Sheet for Assignment Application Accompanying International Application Entering National Stage in U.S. Designated Office (DO/US) under 35 USC 371 [13-10]—page 1 of 4)

11/27/1998 PVOLPE 00000047 09125213

01 FC:581

40.00 OP

PATENT
REEL: 9690 FRAME: 0750

NAME OF PARTY(IES) CONVEYING INTEREST (37 CFR 3.31(a)(1))

2. The party(ies) conveying this interest is (are):

Name 1: LUIS ARCHILLA ALDEANUEVA

Name 2: JULIO FRUTOS AGUADO

Name 3: _____

NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST

(37 CFR 3.31(a)(2))

3. The rights are being conveyed to:

Name: PATENTS TALGO S.A.

Address: C/O CONTALBAN

14 28014 MADRID,

SPAIN

Telephone No.: ()

DESCRIPTION OF INTEREST CONVEYED OR TRANSACTION RECORDED

(37 CFR 3.31(a)(3))

4. The accompanying document intends to accomplish:

- ☒ an assignment.
☐ a security agreement.
☐ a license.
☐ a merger.
☐ a change of name.
☐ a change of address.
☐ other.

NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE

SHOULD BE MAILED (37 CFR 3.31(a)(5))

5. Please address correspondence to:

Name: JULIAN COHEN

Address: c/o LADAS & PARRY

26 WEST 61st STREET

NEW YORK, N.Y. 10023

Reg. No. 20302 (212) 708-1888

Telephone No.: ()

DATE ASSIGNMENT (DOCUMENT) EXECUTED (37 CFR 3.31(a)(7))

6. The attached assignment (document) was executed on SEPTEMBER 11, 1998
Date

(Cover Sheet for Assignment Application Accompanying International Application Entering National Stage in
U.S. Designated Office (DO/US) under 35 USC 371 [13-10]—page 2 of 4)

LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED

NOTE: The Office will accept and record non-English language documents only if accompanied by a verified English translation signed by the individual making the translation. 37 CFR 3.26.

The attached document:

- ☒ is in the English language.
- ☐ is not in the English language. A verified English translation, signed by the individual making the translation is attached.

ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED

NOTE: "Either the original document or a true copy of the original document may be submitted for recording. Only one side of each page shall be used. The paper used should be flexible, strong, white, non-shiny, durable, and preferably no larger than 21.6 x 33.1 cm. (8½ x 14 inches) with a 2.5 cm. (one inch) margin on all sides." 37 CFR 3.24.

7. Submitted herewith is:

- ☒ the original document.
- ☐ a true copy of the original document.

NOTE: "If the original [assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement [set out in 37 C.F.R. § 3.24] by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76, at 67.

NUMBER OF APPLICATIONS IDENTIFIED IN THIS COVER SHEET AND THE FEE (37 CFR 3.31(a)(6))

8. A. This cover sheet identifies only one application.
- B. The fee for recordal (37 CFR 1.21(h)) is \$40.00.

- ☒ Attached is a check for \$40.00.
- ☐ Please charge Account _____ \$40.00.
- ☐ A duplicate of this cover sheet is attached.

STATEMENT (37 CFR 3.31(a)(9)) AND SIGNATURE (37 CFR 3.31(a)(10))

9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

NOTE: "The term 'party' as used in this rule [§ 3.31] means the person whose name appears on the documents to be recorded, that person's attorney or registered agent, or a corporate officer where a corporation's name appears on the document." Notice of June 24, 1992, 1140 O.G. 63-76, at 65.

Date: November 20, 1998

Name of party submitting document

Signature of party submitting document

(Cover Sheet for Assignment Application Accompanying International Application Entering National Stage in U.S. Designated Office (DO/US) under 35 USC 371 [13-10]—page 3 of 4)

(complete the following, if the party submitting the document is applicant's attorney)


SIGNATURE OF ATTORNEY

Reg. No.:

JULIAN H. COHEN
(type or print name of attorney)

Tel. No.: ()

JULIAN COHEN
~~6/a LABAS & PARRY~~
P.O. Address 26 WEST 61st STREET
NEW YORK, N.Y. 10023
Reg. No. 20302 (212) 708-1888

TOTAL NUMBER OF PAGES BEING SUBMITTED

10. The total number of pages being submitted, including cover sheet attachment(s), and documents are:

7 (SEVEN)
Total number of pages submitted

For: ☐ U.S. and/or ☐ Foreign Rights
For: ☐ U.S. Application or
☐ U.S. Provisional Application
For: ☐ U.S. Patent
For: ☒ PCT Application
By: Multiple Inventors

ASSIGNMENT OF INVENTION (MULTIPLE INVENTORS)

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS (Inventors):C/O Quintana N^o 6, 6^oLuis ARCHILLA ALDEANUEVA28008 Madrid, SPAIN

(type or print name of inventors)

Address

Nationality SPANISH

C/O Ramon Fernandez Soler N^o 5
28400 Collado Villaba
Madrid, SPAIN

Julio FRUTOS AGUADO

SPANISH

hereby sells, assigns and transfers to

ASSIGNEE:

PATENTS TALGO, S.A.
(type or print name of ASSIGNEE)

C/O Montalban 14 28014 Madrid, Spain
Address

SPANISH
Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

- Check One*
- ☒ the entire right, title and interest
☐ an undivided _____ percent (_____ %) interest
for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

☐ and in all foreign countries, including all rights to claim priority
in and to any and all improvements which are disclosed in the invention entitled:
ROLLING MONOAXLE PROVIDED WITH MOVABLE INDEPENDENT WHEELS FOR
ARTICULATED RAILWAY CARRIAGES INTENDED TO THE TRANSPORTATION OF CARS

(check and complete (a), (b), (c), (d), (e), (f), or (g))

and which is found in

- (a) ☐ U.S. patent application executed on even date herewith
(b) ☐ U.S. patent application executed on _____
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-entitled invention
☐ Express mail label no.: _____
Mailed: _____
☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known.
(d) ☒ U.S. application no. 09 / 125,213 filed on August 14, 1998
(e) ☒ International application no. PCT / ES97 / 00295
filed on November 28, 1997
(f) ☐ U.S. patent no. _____ issued _____
☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.
(check (g), if foreign application(s) is also being assigned)
(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

(Assignment of Invention—Multiple Inventors [16-3.2]—page 2 of 3)

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

11 September 1998

IN WITNESS WHEREOF, We have hereunto set hand and seal this _____

Date of signing

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Luis ARCHILLA ALDEANUEVA
(type name of Inventor)

Luis Archilla Aldeanueva
Signature of INVENTOR

Julio FRUTOS AGUADO

Julio Frutos Aguado

☐ Notarization or Legalization Page Added.

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution. 35 USC 261. Use next page if notarization is desired.

(Assignment of Invention—Multiple Inventors [16-3.2]—page 3 of 3)