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COVER SHEET

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Record the attached original documents or copy thereof

1. Name of conveying party(ies):

¹SIMMS, John A.²SORSER, Aleksandr

2. Name and address of receiving party(ies):

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WILMINGTON, DELAWARE 19898Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ NoAdditional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
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Execution Date: ¹September 4, 1998 ²September 16, 1998

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s):

09/145,656

Docket No.: FA-0789

B. Patent No.(s)

Additional numbers attached: ☐ Yes ☒ No

5. Name and address of receiving party(ies):

H. L. FRICKE
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7. Total fee (37 CFR 3.41) \$40.00

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Total number of pages comprising cover sheet: One (1)

PATENT

REEL: 9691 FRAME: 0205

ASSIGNMENT

We, the undersigned

JOHN A. SIMMS and ALEKSANDR SORSER

Hereby declare that

We are the true and first inventors of an invention relating to

**A POLYMERIC PIGMENT DISPERSANT HAVING AN ACRYLIC BACKBONE,
POLYESTER SIDE CHAINS, CYCLIC IMIDE GROUPS AND QUATERNARY
AMONIUM GROUPS**

which is disclosed in an application for Letters Patent in the United States of America, executed the
day of _____, 19____ (Case No. **FA-0789**); and, for valuable consideration,
the receipt and adequacy of which is hereby acknowledged and in fulfillment of our pre-existing obligation of
assignment, we hereby sell, assign, and transfer unto **E. I. du Pont de Nemours and Company**, a corporation organized
and existing under the laws of the State of Delaware in the United States of America and having its principal place of
business at Wilmington, Delaware, hereinafter referred to as the assignee, the entire right, title, and interest in and to the
aforesaid application for Letters Patent, including any priority rights derived from the aforesaid application for Letters
Patent by virtue of the International Convention for the Protection of Industrial Property and any other treaty or
understanding for intellectual property for any and all member countries of the aforesaid International Convention or
other treaty or understanding, and the entire right, title and interest in and to any and all our inventions, whether joint or
sole, disclosed in the aforesaid application for Letters Patent, and in and to any and all applications for Letters Patent for
any such inventions in any country whatsoever, and in and to any and all patents for any such inventions in any country
whatsoever, with the sole right to file such applications in its name or ours, including the sole right to file such
applications under the aforesaid International Convention or other treaty or understanding, together with the sole right to
have said patents granted in its name or ours and to enforce said patents and to sue for and recover profits and damages
for any and all infringements thereof, and hereby agree, whenever requested, to communicate to said assignee, its
successors, assigns, and legal representatives, any facts known to us respecting said inventions, to testify in any legal
proceeding, to execute all applications, papers or instruments necessary or required by said assignee, its successors,
assigns and legal representatives to carry into effect any of the provisions of this instrument, and generally to do
everything possible to aid said assignee, its successors, assigns, and legal representatives to obtain and enforce proper
patent protection for said inventions in any and all countries. We further authorize our attorney/patent agent to insert
below* the application number and filing date of the aforesaid United States application now identified as Case No. **FA-
0789** after the same shall have been made known to him/her by the United States Patent Office.

John A. Simms (L.S.)

JOHN A. SIMMS

DATE: 4 Sept. 1998

Sorsers (L.S.)

ALEKSANDR SORSER

DATE: 16 Sept. 1998

*The application referred to above as Case No. **FA-0789** was filed in the United States Patent Office on
September 2, 1998, and was given Application No. 09/145,656.

This insertion made by me this 16th day of October, 1998.

William L. Smith
ATTORNEY/PATENT AGENT FOR APPLICANTS