

FORM PTO-1595

COMMERCE

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

01-15-1999



100943167

U.S. DEPARTMENT OF

Patent and Trademark Office

JAN 11 1999

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying part(ies):

Frank V. DiMarcello
Arthur C. Hart
Richard G. Huff
Karen S. Kranz
Lisa M. Larsen-Moss

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Lucent Technologies Inc.
Street Address: 600 Mountain Avenue
P.O. Box 636
Murray Hill, NJ 07974-0636

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: January 5, 1999

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent application No.(s)
09/116,548

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

David P. Kelley, Esq.
Thomas, Kayden, Horstemeyer & Risley
100 Galleria Parkway
Suite 1500
Atlanta, Georgia 30339

6. Total number of applications/patents involved: [1]

7. Total fee (37 CFR 3.41) \$ 40.00

☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit Account Number:

12-2325
(Attach duplicate copy of this page if paying by Deposit Account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David P. Kelley

Name of Person Signing

David P. Kelley

Signature

Date

Lucent #:

Total number of pages including cover sheet, attachments, and document: [13]

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 9691 FRAME: 0723

ASSIGNMENT AND AGREEMENT

For value received, we, **Frank V. DiMarcello** of Annandale, in the County of Hunterdon and State of New Jersey; **Arthur C. Hart** of Chester, in the County of Morris and State of New Jersey; **Richard G. Huff** of Basking Ridge, in the County of Somerset and State of New Jersey; **Karen S. Kranz** of Middlesex, in the County of Middlesex and State of New Jersey; and **Lisa M. Larsen-Moss** of Stone Mountain in the County of Gwinnett and State of Georgia, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **Coating Die For Multiple Coated Optical Fibers** described in an application for Letters Patent of the United States, executed by us, and filed with the United States Patent and Trademark Office on July 15, 1998 and given serial number 09/116,548, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. they may designate.

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Frank V. DiMarcello
Frank V. DiMarcello

Date: 1/5/99

United States of America)

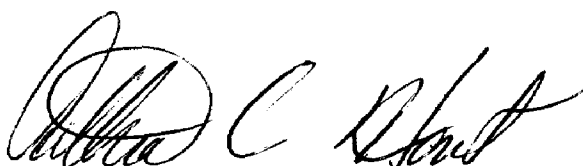
State of New Jersey) ss.:

City of Union)

On this 5 day of January, 19 99, before me personally came **Frank V. DiMarcello**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Annabelle L. Short
Notary Public

ANNABELLE L. SHORT
NOTARY PUBLIC OF NEW JERSEY USA
My Commission Expires Jan. 20, 2000



Arthur C. Hart

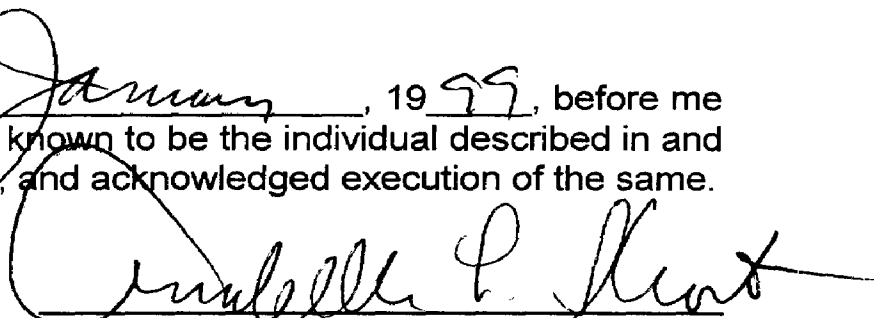
Date: 1/5/99

United States of America)

State of New Jersey) ss.:

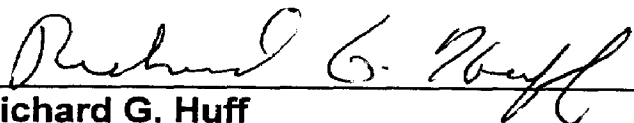
County of Union)

On this 5 day of January, 19 99, before me personally came **Arthur C. Hart**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



Notary Public

ANNABELLE L. SHORT
NOTARY PUBLIC OF NEW JERSEY USA
My Commission Expires Jan. 20, 2000


Richard G. Huff

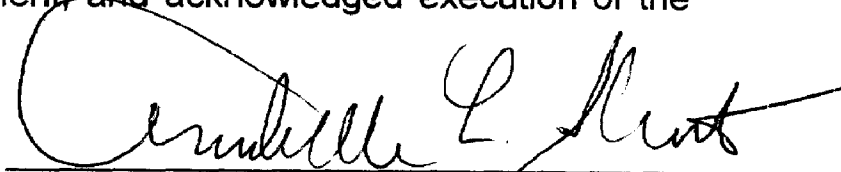
Date: 1/5/99

United States of America)

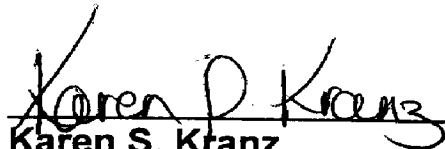
State of New Jersey) ss.:

County of Union

On this 5 day of January, 1999, before me personally came **Richard G. Huff**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

ANNABELLE L. SHORT
NOTARY PUBLIC OF NEW JERSEY USA
My Commission Expires Jan. 20, 2000


Karen S. Kranz

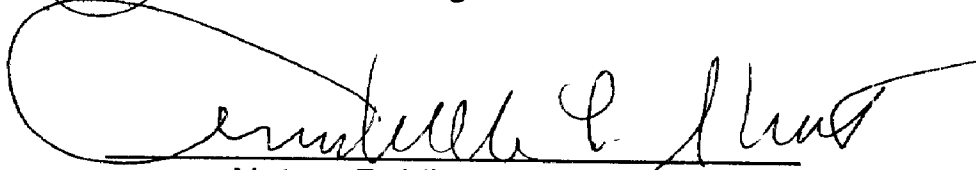
Date: 11/5/99

United States of America)

State of New Jersey) ss.:

County of Union

On this 5 day of January, 1999, before me personally came **Karen S. Kranz**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

ANNABELLE L. SHORT
NOTARY PUBLIC OF NEW JERSEY USA
My Commission Expires Jan. 20, 2000

Lisa M. Larsen-Moss

Date: _____

United States of America)

State of Georgia) ss.:

County of)

On this _____ day of _____, 19____, before me personally came **Lisa M. Larsen-Moss**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Notary Public

Lucent Technologies Inc.
600 Mountain Avenue (Room 3C-512)
P. O. Box 636
Murray Hill, New Jersey 07974-0636

ASSIGNMENT AND AGREEMENT

For value received, we, **Frank V. DiMarcello** of Annandale, in the County of Hunterdon and State of New Jersey; **Arthur C. Hart** of Chester, in the County of Morris and State of New Jersey; **Richard G. Huff** of Basking Ridge, in the County of Somerset and State of New Jersey; **Karen S. Kranz** of Middlesex, in the County of Middlesex and State of New Jersey; and **Lisa M. Larsen-Moss** of Stone Mountain in the County of Gwinnett and State of Georgia, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **Coating Die For Multiple Coated Optical Fibers** described in an application for Letters Patent of the United States, executed by us, and filed with the United States Patent and Trademark Office on July 15, 1998 and given serial number 09/116,548, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. they may designate.

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Frank V. DiMarcello

Date: _____

United States of America)

State of New Jersey) ss.:

City of)

On this _____ day of _____, 19____, before me personally came **Frank V. DiMarcello**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Notary Public

Arthur C. Hart

Date: _____

United States of America)

State of New Jersey) ss.:

County of)

On this _____ day of _____, 19____, before me personally came **Arthur C. Hart**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Notary Public

Richard G. Huff

Date: _____

United States of America)

State of New Jersey) ss.:

County of)

On this _____ day of _____, 19____, before me personally came **Richard G. Huff**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Notary Public

Karen S. Kranz

Date: _____

United States of America)

State of New Jersey) ss.:

County of)

On this _____ day of _____, 19____, before me personally came **Karen S. Kranz**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Notary Public

Lisa M. Larsen-Moss
Lisa M. Larsen-Moss

Date: 1/5/99

United States of America)

State of Georgia) ss.:

County of Gwinnett)

On this 5th day of January, 19 99, before me personally came **Lisa M. Larsen-Moss**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Mary Jo Petlin-Lager
Notary Public
Notary Public, DeKalb County, Georgia.
My Commission Expires April 5, 1999.

Lucent Technologies Inc.
600 Mountain Avenue (Room 3C-512)
P. O. Box 636
Murray Hill, New Jersey 07974-0636