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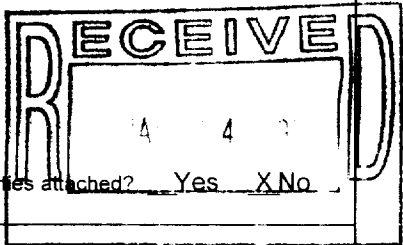
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To the Honorable Commissioner of Patents

ed original document or copy thereof

1. Names of conveying parties:

- 1) FRED H. GAGE
- 2) JASODHARA RAY
- 3)
- 4)
- 5)
- 6)



Additional names of conveying parties attached? ☐ Yes ☒ No

2. Name and address of receiving party

Name: THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Internal Address:

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other

Execution Dates:

- 1) DECEMBER 9, 1998 4)
- 2) DECEMBER 9, 1998 5)
- 3) 6)

Street Address: 1111 FRANKLIN STREET

TWELFTH FLOOR

City: OAKLAND

State CA

Zip: 94607-5200

Additional names & addresses attached? ☐ Yes ☒ No

4. Application number(s) or registration number(s):

If this document is being filed together with a new application,
the execution date of the application is

A. Patent Application No(s).

08/711,628

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: SEED AND BERRY LLP

Internal Address: DAVID J. MAKI

6300 COLUMBIA CENTER

Street Address: 701 FIFTH AVENUE

City: SEATTLE State: WA ZIP: 98104-7092

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41): \$40

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

19-1090

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40.00 DP

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

DAVID J. MAKI

Name of Person Signing

[Signature]

Signature

1/7/99

Date

Total number of pages including cover sheet, attachments, and document: 2

ASSIGNMENT

U.C. Case No. 98-034-1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS,

1. Fred H. Gage 2. Jasodhara Ray

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

"HUMAN CNS CELL LINES AND METHODS OF USE THEREFOR"

and which is found in

- (a) _____ U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) _____ U.S. patent application filed herewith and listing the above named persons as inventors
- (c) X U.S. application serial no. 08,711,628 filed on September 3, 1996.
- (d) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNORS hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNORS further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known

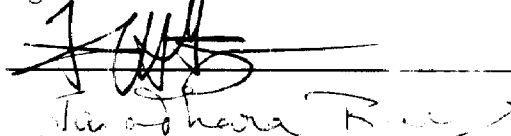
AND the ASSIGNORS request the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California

executed this

9th day of December, 1998

9th day of December, 1998

Signature of Inventors


Fred H. Gage
Jasodhara Ray