

01-15-1999



To the Hon. Commissioner of Patents &amp; Trademarks

100944297

Final documents or copy thereof.

## 1. Name of conveying party(ies):

PHARMACIA &amp; UPJOHN AB

Additional name(s) of conveying party(ies) attached: ☒ Yes ☐ No3. Nature of conveyance: *MRD 1-13-99*

Assignment



Merger



Security Agreement



Change of Name



Other \_\_\_\_\_

Execution Date: FEBRUARY 9, 1998

## 2. Name and address of receiving party(ies):

Name: EUGENE P. GOLDBERG

Internal Address: \_\_\_\_\_

Street Address: 618 EAST THIRD AVENUE

City: MOUNT DORA State: FL ZIP: 32757

Additional name(s) & address(es) attached: ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No(s).

B. Patent No(s).

U.S. PATENT NO. 5,217,490 ISSUED JUNE 8, 1993

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: DENNIS P. CLARKE

Internal Address: KERKAM, STOWELL, KONDRACKI &amp; CLARKE, P.C.

Street Address: 5203 LEESBURG PIKE

TWO SKYLINE PLACE, SUITE 600

City: FALLS CHURCH State: VA ZIP: 22041-3401

6. Total number of applications and patents involved: **1**

## 7. Total fee (37 CFR 3.41)-----\$ 40.00



Enclosed



Authorized to be charged to deposit account

## 8. Deposit Account Number:

11-0610

(Attach copy of this page if paying by deposit account.)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

DENNIS P. CLARKE

Name of Person Signing

Reg. No. 22,549

Signature

JANUARY 13, 1999

Date

Total number of pages including cover sheet, attachments and document: **5**Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments, Washington, D. C. 20231**PATENT**  
**REEL: 9693 FRAME: 0044**

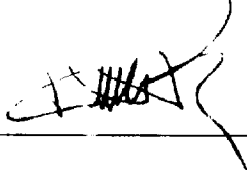
# ASSIGNMENT

(NUNC PRO TUNC)

In consideration of Ten Dollars (\$10.00), the receipt of which is hereby acknowledged, and other valuable consideration, we, **PHARMACIA & UPJOHN AB**, a corporation organized in accordance with the laws of Sweden, do hereby sell and assign, as of April 1, 1994, to **EUGENE P. GOLDBERG**, a citizen of the United States of America, residing at 618 East Third Avenue, Mount Dora, Florida 32757, his successors and assigns, all of our right, title and interest throughout the world in and to the improvements in "**ULTRAVIOLET LIGHT ABSORBING INTRAOCULAR IMPLANTS**" invented by REIZO SAYANO and EUGENE P. GOLDBERG and described in an application for Letters Patent of the United States of America filed **February 3, 1992**, under **Serial No. 830,476**, which issued as U.S. Patent No. 5,217,490 on June 8, 1993; which is a continuation of application **Serial No. 735,161** filed **July 23, 1991** (abandoned); which is a continuation of application **Serial No. 617,959** filed **November 26, 1990** (abandoned); which is a continuation of application **Serial No. 443,875** filed **November 30, 1989** (abandoned); which is a continuation of application **Serial No. 599,005** filed **April 11, 1984** (abandoned); including any and all foreign patents corresponding thereto, and in and to said application and all patents which may be granted therefor and thereon, and all divisions, reissues, continuations and extensions thereof, including the rights to sue and to recover damages for any past infringement of said patents, and we covenant that we have the full right to so do; we authorize and request the Commissioner of Patents and Trademarks to issue all patents on said improvements or resulting therefrom to said **EUGENE P. GOLDBERG**, as assignee of the entire interest; and we agree that we will communicate to said **EUGENE P. GOLDBERG**, or his representatives, any facts known to us respecting said improvements, and testify in any legal proceedings, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said **EUGENE P. GOLDBERG**, his successors, assigns and nominees to obtain and enforce proper protection for said improvements in all countries.

Executed this 9th day of February, 1998.

**PHARMACIA & UPJOHN AB**


Signature 

Typed Name Fredrik Berg

Title Vice President Legal Affairs

Witnesses:





Registration number: 556029-7094  
Date of registration: 1931-01-26  
Company name: Kabi Pharmacia Aktiebolag  
Address: 751 82 UPPSALA



This is to certify that the records kept by this office, the Companies Department of the Patent and Registration Office, Sweden, show the following information regarding the above company:

On 29th April, 1994, by a legally valid decision, the Court gave its permission for the fusion agreement to be executed.

The following company is dissolved:

**Kabi Pharmacia Aktiebolag**  
registration number: 556029-7094

and has merged into:

**Pharmacia Aktiebolag**  
registration number: 556131-9608

This information was entered into the Companies Register on 24th May, 1994

On 1st July, 1996, Pharmacia Aktiebolag changed its name to

**Pharmacia & Upjohn Aktiebolag**  
this being the present name of the company.

Sundsvall 1997-10-08  
Ex officio

  
Gunn Lahti



Bolagsavdelningen

851 81 Sundsvall

Besöksadress  
Badhusparken

Postgiro 95 06 08 - 0  
Bankgiro 5050 - 0255

Telefon 010 - 18 40 00  
Telefax 010 - 12 64 77

**PATENT**  
**REEL: 9693 FRAME: 0046**

**PRV**PATENT- OCH REGISTRERINGSVERKET  
Bolagsavdelningen

CERTIFICATE OF REGISTRATION

SWEDEN

Registration number: 556019-9183  
Date of registration: 1921-06-27  
Company name: Pharmacia Biosystems Aktiebolag  
Address: c/o Pharmacia & Upjohn AB  
112 87 STOCKHOLM  
Registered office: Stockholm  
Share capital: SEK 654.000.400



## BOARD OF DIRECTORS:

520425-0038 Blomberg, Nils Carl-Johan, Jägarstigen 73,  
181 46 LIDINGÖ  
411009-3913 Jeppsson, Lars Ingvar, Tussilagovägen 12,  
541 41 SKÖVDE  
541123-0054 Lidgard, Mats Olof Magnus, Djursholmsvägen 95,  
183 51 TÄBY

## MANAGING DIRECTOR:

411009-3913 Jeppsson, Lars Ingvar, Tussilagovägen 12,  
541 41 SKÖVDE

## COMPANY AUDITORS:

491031-9039 Bladh, Björn Peter Tommy,  
c/o Öhrlings Coopers & Lybrand, 113 97 STOCKHOLM

## SIGNATORY POWER:

In addition to the Board of Directors,  
any two board members  
jointly, are entitled to sign on behalf of the company.

Pursuant to Section 8, sub-section 12, of the Companies Act, the  
Managing Director, in his normal business activities, is also  
entitled to sign on behalf of the company.

## SECONDARY NAMES:

Bionova

## FINANCIAL YEAR:

Registered financial year: 0101-1231  
Latest annual report submitted covers financial  
period 950101-951231

CONTD.

**PRV**

PATENT- OCH REGISTRERINGSVERKET  
Bolagsavdelningen  
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**CERTIFICATE OF REGISTRATION**

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Address: c/o Pharmacia & Upjohn AB  
Registered office: 112 87 STOCKHOLM  
Stockholm  
Share capital: SEK 654.000.400

**DATE OF REGISTRATION OF CURRENT AND PREVIOUS COMPANY NAMES:**

1991-03-13 Pharmacia Biosystems Aktiebolag  
1983-05-27 Pharmacia Aktiebolag  
1965-06-16 Aktiebolaget Fortia  
1956-09-11 Apotekarnas Droghandel Aktiebolag (ADA)  
1921-06-27 Apotekarnas Droghandelsaktiebolag

SUNDSVALL 1996-10-15  
Ex officio

*Gunn Lahti*  
GUNN LAHTI

