

01-19-1999

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To the Honorable Commissioner of Patents and Trademarks

100941760

and the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

DAVID J. ALLIE  
31 Cedar Swamp Road  
Storrs, CT 06268; andAdditional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: December 16 and 29, 1998

## 2. Name and address of receiving party(ies)

Name: UNIPHASE TELECOMMUNICATIONS  
PRODUCTS, INC.

Internal Address: \_\_\_\_\_

Street Address: 1289 BLUE HILLS AVENUECity: BLOOMFIELD State: CT ZIP: 06010Additional name(s) & address(es) attached? ☒ Yes ☐ No

## 4. Application number(s) or patent number(s):

09224960

If this document is being filed together with a new application, the execution date of the application is: December 16, 1998

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

01/04/99

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: PETER J. RAINVILLE, ESQ.

Internal Address: \_\_\_\_\_

MCCORMICK, PAULDING & HUBERSIS CENTER1/14/1999 01/04/99 09224960 MAIN STREET04 FC:581 40.00 OPCity: SPRINGFIELD State: MA ZIP: 011036. Total number of applications and patents involved: ☐7. Total fee (37 CFR 3.41) .....\$ 40.00☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number:

13-0235

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true and correct copy of the original document.

PETER J. RAINVILLE, ESQ.

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 6

1. Name of Conveying Party(ies):

TIMOTHY C. MUNKS

847 Teverton Lane

Crystal Lake, IL 60014; and

PAUL E. DUNN

27 Ridgeway Avenue

Westfield, MA 01085

## ASSIGNMENT

THIS INSTRUMENT OF ASSIGNMENT WITNESSETH THAT:

WHEREAS, I, DAVID J. ALLIE

resident of 31 Cedar Swamp Road, Storrs, Connecticut 06268

have invented improvements in

TEMPERATURE CORRECTED WAVELENGTH MONITORING AND  
CONTROL APPARATUS

for which I have executed on even date herewith an application for Letters  
Patent of the United States, and

WHEREAS, UNIPHASE TELECOMMUNICATIONS PRODUCTS, INC.

a corporation of the State of Delaware

having a place of business at 1289 Blue Hills Avenue, Bloomfield,  
Connecticut 06002

is desirous of acquiring an interest in said invention, said application and the  
Letters Patent to be issued therefor;

NOW, THEREFORE, to all whom it may concern, be it known that for  
and in consideration of the sum of ONE DOLLAR to each in hand paid and  
other good and valuable consideration, the receipt whereof is hereby  
acknowledged, I have sold, assigned and set over, and do hereby sell, assign  
and set over to said corporation, its successors or assigns, the entire right, title  
and interest to and in said invention in the United States and in all foreign  
countries, said United States application for Letters Patent therefor and the  
Letters Patent when issued; and I do hereby authorize and request the  
Commissioner of Patents and Trademarks to issue the Letters Patent based  
upon said application to said corporation as the assignees of my entire right,  
title and interest to and in the same, for the sole use and behoof of said  
corporation, its successors or assigns.

And I also hereby covenant and agree to sign all proper papers including divisional and other applications for patents and assignments thereof in the United States and application for patents and assignments in all foreign countries, and to execute all rightful oaths and to take any other proper action that may in the judgment of the said corporation be necessary for securing thereto full rights to said invention, all of the foregoing to be at the expense of said corporation.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my seal this day of 29 December, 1998

David J. Allie [L.S.]  
DAVID J. ALLIE

STATE OF Connecticut )  
COUNTY OF Hartford ) ss:

On this 29 day of December, 1998, before me, the subscriber, personally came, DAVID J. ALLIE, to me known and known to be the same person described in and who executed the foregoing instrument, and duly acknowledged that he executed the same.

Carey Keegan  
Notary Public

[SEAL]

## ASSIGNMENT

THIS INSTRUMENT OF ASSIGNMENT WITNESSETH THAT:

WHEREAS, we, TIMOTHY C. MUNKS and PAUL E. DUNN  
residents of 847 Teverson Lane, Crystal Lake, Illinois 60014 and 17 Ridgeway  
Avenue, Westfield, Massachusetts 01085  
respectively, have invented improvements in

TEMPERATURE CORRECTED WAVELENGTH MONITORING AND  
CONTROL APPARATUS

for which we have executed on even date herewith an application for Letters  
Patent of the United States, and

WHEREAS, UNIPHASE TELECOMMUNICATIONS PRODUCTS, INC.

a corporation of the State of Delaware

having a place of business at 1289 Blue Hills Avenue, Bloomfield,  
Connecticut 06002

is desirous of acquiring an interest in said invention, said application and the  
Letters Patent to be issued therefor;

NOW, THEREFORE, to all whom it may concern, be it known that for  
and in consideration of the sum of ONE DOLLAR to each in hand paid and  
other good and valuable consideration, the receipt whereof is hereby  
acknowledged, we have sold, assigned and set over, and do hereby sell, assign  
and set over to said corporation, its successors or assigns, the entire right, title  
and interest to and in said invention in the United States and in all foreign  
countries, said United States application for Letters Patent therefor and the  
Letters Patent when issued; and we do hereby authorize and request the  
Commissioner of Patent and Trademarks to issue the Letters Patent based  
upon said application to said corporation as the assignees of our entire right,  
title and interest to and in the same, for the sole use and behoof of said  
corporation, its successors or assigns.

And we also hereby covenant and agree to sign all proper papers including divisional and other applications for patents and assignments thereof in the United States and application for patents and assignments in all foreign countries, and to execute all rightful oaths and to take any other proper action that may in the judgment of the said corporation be necessary for securing thereto full rights to said invention, all of the foregoing to be at the expense of said corporation.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals this 16 day of December, 1998

Timothy C. Munks  
TIMOTHY C. MUNKS

Paul E. Dunn  
PAUL E. DUNN

STATE OF Illinois )  
 ) ss:  
COUNTY OF Kane )

On this 16 day of December, 1998, before me, the subscriber, personally came, TIMOTHY C. MUNKS, to me known and known to be the same person described in and who executed the foregoing instrument, and duly acknowledged that he executed the same.

Regina D. Trombley  
Notary Public



STATE OF Connecticut )  
 ) ss:  
COUNTY OF Hartford )

On this 23 day of December, 1998, before me, the subscriber, personally came, PAUL E. DUNN, to me known and known to be the same person described in and who executed the foregoing instrument, and duly acknowledged that he executed the same.

Carol Henniger  
Notary Public

SEAL ]