FORM PTO-1595 1-31-92 RECC



## SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

100945107 To the Honorable Commissioner of Patents and Trauemarks ached original documents or copy thereof. Name and address of receiving party(ies): Name of conveying party(ies) Name: Lumion Corporation Alexei Bogdan Internal Address: 4101 Weston Road Additional name(s) of conveying party(ies) attached? Yes \_\_\_\_ No X Toronto, Ontario Canada M9L 1W6 Nature of conveyance: Street Address: 4101 Weston Road X Assignment \_\_\_ Merger \_\_ Security Agreement \_\_\_ Change of Name City: Toronto State: Ontario ZIP: M9L 1W6 Country: Canada Other\_ Additional names/addresses attached? Yes \_\_\_\_ No X Execution Date: <u>December 24, 1998</u> B. Patent No.(s) 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: December 24, 1998 Additional numbers attached? Yes \_\_\_\_ No \_\_\_X\_ Patent Application No.(s) 6. Total number of applications 5. Name and address of party to whom correspondence concerning and patents involved: ..... 1 document should be mailed: Name: Richard J. Parr Total fee (37 CFR 3.41) .....\$40.00 Internal Address: c/o Bereskin & Parr X Enclosed (cheque No. 52 Authorized to be charged to deposit account Street Address: Box 401, 40 King Street West 8. Deposit account number: 02-2095 City: Toronto State: Ontario ZIP: M5H 3Y2 (NO need to attach duplicate copy of this page if paying by deposit account) Country: Canada 01/15/1999 DNGUYEN 00000231 09223971 DO NOT USE THIS SPACE FC:581 40.00 OP 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. prehad J. Fam Richard J. Parr, Regn. 22,836 December 30, 1998 Name of Person Signing Signature Date BP File # 8305-12 Total number of pages including cover sheet: 2 OMB No. 0651-0011 (exp. 4/94) Do not detach this portion Mail documents to be recorded with required cover sheet information to: Commissioner of Patents and Trademarks Box Assignments Washington, D.C. 20231 Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

> PATENT REEL: 9695 FRAME: 0983

## **ASSIGNMENT**

In consideration of the sum of One Dollar (\$1.00) and other valuable consideration, the receipt of all of which I hereby acknowledge, I, **ALEXEI BOGDAN**, whose address is 225 Hickman Street, Bolton, Ontario, L7E 2P6, Canada, confirm that I have sold and assigned and do hereby sell and assign to **LUMION CORPORATION**, whose address is 4101 Weston Road, Toronto, Ontario, Canada, M9L 1W6, as assignee, all my right, title and interest for the United States, Canada and all other countries in and to the invention entitled:

## UNIVERSAL BALLAST CONTROL CIRCUIT

for which I have executed a U.S. patent application filed concurrently herewith, and all my right, title and interest in and to said application and in and to any divisions, continuations and continuations-in-part thereof, and in and to any further applications that may be filed for said invention or any improvements thereof, and in and to any patents that may be granted from any of said applications or for said invention or improvements thereof.

I agree that I will without further consideration do all such things and execute all such documents as may be necessary or desirable to obtain and maintain patents for said inventions and improvements thereof in any and all countries and to vest title thereto in said assignee, its successors, assigns and legal representatives or nominees.

I authorize and empower said assignee, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions or improvements filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and all rights provided by any other convention (including without limitation the Patent Cooperation Treaty) relating to the protection of inventions, and to invoke and claim such right of priority without further written or oral authorization from us.

EXECUTED at TERENTO

, Ontario, Canada this 24<sup>74</sup> day of December,

1998.

Witness

T-MOCHSANIAK

ALEXEI BOGDAN

PATENT REEL: 9695 FRAME: 0984

Applicant or Patentee: Alexei Bogdan	Attorney's
Serial or Patent No.: not yet known	Docket No: 8305-12
Filed or Issued:filed concurrently herewith  For:UNIVERSAL BALLAST CONTROL CIRCUIT	
Total Control	<u> </u>
VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27 (c)) - SMALL BUSINESS CONCERN	
I hereby declare that I am	
[] the owner of the small business concern identified below:	
[X] an official of the small business concern empowered to act on behalf of the	concern identified below:
NAME OF CONCERN LUMION CORPORATION	
ADDRESS OF CONCERN 4101 Weston Road, Toronto, Ontario, Canada M9L 1W6	
I hereby declare that the above identified small business concern qualifies as a small busin 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees und	
Title 35, United States Code, in that the number of employees of the concern, including the not exceed 500 persons. For purposes of this statement, (1) the number of employees of the	hose of its affiliates, does
average over the previous fiscal year of the concern of the persons employed on a full-time	
basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of	each other when either,
directly or indirectly, one concern controls or has the power to control the other, or a third	party or parties controls
or has the power to control both.	
I hereby declare that rights under contract or law have been conveyed to and remain with the	
identified above with regard to the invention, entitled <u>UNIVERSAL BALLAST CO</u>	<u>ONTROL CIRCUIT</u> by
inventor(s) Alexei Bogdan described in [X] the specification filed herewith	
[] application serial no, filed	
[] patent no, issued	
If the rights held by the above identified small business concern are not exclusive, each organization having rights to the invention is listed below* and no rights to the invention other than the inventor, who would not qualify as an independent inventor under 37 CFR 1 the invention, or by any concern which would not qualify as a small business concern unnonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are receptable, concern or organization having rights to the invention averring to their status as 1.27)	are held by any person,9(c) if that person made nder 37 CFR 1.9(d), or a quired from each named
NAME	
ADDRESS	
[] INDIVIDUAL [] SMALL BUSINESS CONCERN [] NONPROFIT (	ORGANIZATION
NAME	
ADDRESS	
[] INDIVIDUAL [] SMALL BUSINESS CONCERN [] NONPROFIT (	ORGANIZATION
I acknowledge the duty to file, in this application or patent, notification of any change in sentitlement to small entity status prior to paying, or at the time of paying, the earliest maintenance fee due after the date on which status as a small entity is no longer appropriate	of the issue fee or any
hereby declare that all statements made herein of my own knowledge are true and that information and belief are believed to be true; and further that these statements were mathat willful false statements and the like so made are punishable by fine or imprisonment 1001 of Title 18 of the United States Code, and that such willful false statements may jee the application, any patent issuing thereon, or any patent to which this verified statement	de with the knowledge, or both, under section opardize the validity of
NAME OF PERSON SIGNINGTERRY P. MOCHERNIAK	
TILE OF PERSON OTHER THAN OWNER PRESIDENT	
ADDRESS OF PERSON SIGNING 159 Parkside Drive, Toronto, Ontario, Canada M6R 2Y8	
DATE 150 24/98	
DAIL UPC 29 18	

**RECORDED: 12/31/1998** 

PATENT REEL: 9695 FRAME: 0985