

01-04-1999

FORM PT0-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

Docket No. PPD 50261/US

RECORDATI

P.



100934864

MENT OF COMMERCE
and Trademark Office

To the Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Alan John WHITTON, Ewan Campbell BOYD, Michael
Charles Henry STANDEN, Peter Karl WEHRENBORG,
Raymond Vincent Heavon JONES, Timothy John
DOYLE, David Alan GLANVILLE

2. Name and address of receiving party(ies):

ZENECA Limited
15 Stanhope Gate
London W1Y 6LN, England

Additional name(s) of conveying party(ies) attached? ☐ yes ☒ no

3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____

Execution Date: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 09/186,518

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: ZENECA Ag Products
Internal Address: Kathy L. Crew (DCC II 2nd)
Procedural Law Coordinator
Street Address: 1800 Concord Pike
P.O. Box 15458
City: Wilmington State: DE ZIP: 19850-5458

6. Total number of applications and patents involved: [1]

7. Total Fee (37 CFR 3.41):\$40.00

☐ Enclosed☒ Authorized to be charged to deposit account☐ No Fee

8. Deposit Account Number:

09-0013

(Attach duplicate copy of this page if paying by deposit account.)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

David P. LeCroy

Name

22 December 1998

Date

Registration No. 37,869Total number of pages including cover sheet, attachments, and document: 7

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks

Box Assignment

Washington, D.C. 20231

PATENT

REEL: 9696 FRAME: 0141

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

Alan John WHITTON, Ewan Campbell BOYD, Michael Charles Henry STANDEN, Peter Karl WEHRENBURG,
Raymond Vincent Heavon JONES, Timothy John DOYLE and David Alan GLANVILLE

hereby sell(s), assign(s) and transfer(s) to ASSIGNEE, ZENECA Limited, a company created and existing under the laws of England and Wales, having its Head Office at 15 Stanhope Gate, London, W1Y 6LN England, and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions and in all foreign countries in and to any and all improvements which are disclosed in the invention entitled:

CHEMICAL PROCESS

and which is found in

- (a) ☐ U.S. patent application executed on even date herewith;
(b) ☐ U.S. patent application executed on _____;
(c) ☒ U.S. Application Serial No. 09/186518 filed on 5 November 1998;
(d) ☐ International Application No. PCT/ _____ filed on _____;

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any reissue or reexamination thereof;

ASSIGNOR(S) hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenant(s) that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

Signed this

9th day of December, 1998

Alan John WHITTON

WITNESS: _____

WITNESS: _____

DATE: _____

DATE: _____

____ day of _____, 1998

Ewan Campbell BOYD

WITNESS: _____

WITNESS: _____

DATE: _____

DATE: _____

U

IN WITNESS WHEREOF I hereunto set my hand and seal this 9th day of December, 1998.

Michael Charles Standen
Michael Charles STANDEN

ACKNOWLEDGEMENT

COUNTY OF Mobile)
STATE OF Al) SS.

On the 9th day of December, 1998 before me, a Notary Public in and for the County and State aforesaid personally appeared Michael Charles STANDEN to me known, who acknowledged to me that he executed the foregoing Assignment as his own free act and deed for the uses and purposes therein mentioned, and desired the same might be recorded as such.

IN WITNESS WHEREOF I have hereunto set my hand and have affixed my official seal the day and year first above written.

Edith M. Johnson-Robinson
Notary Public
MY COMMISSION EXPIRES JUNE 6, 2000

IN WITNESS WHEREOF I hereunto set my hand and seal this 9th day of December, 1998.

Peter Karl Wehrenberg
Peter Karl WEHRENBURG

ACKNOWLEDGEMENT

COUNTY OF Mobile)
STATE OF Al) SS.

On the 9th day of December, 1998 before me, a Notary Public in and for the County and State aforesaid personally appeared Peter Karl WEHRENBURG to me known, who acknowledged to me that he executed the foregoing Assignment as his own free act and deed for the uses and purposes therein mentioned, and desired the same might be recorded as such.

IN WITNESS WHEREOF I have hereunto set my hand and have affixed my official seal the day and year first above written.

Edith M. Johnson-Robinson
Notary Public
MY COMMISSION EXPIRES JUNE 6, 2000

Signed this

_____ day of _____, 1998

Raymond Vincent Heaven JONES

WITNESS: _____

WITNESS: _____

DATE: _____

DATE: _____

IN WITNESS WHEREOF I hereunto set my hand and seal this 9th day of December, 1998.

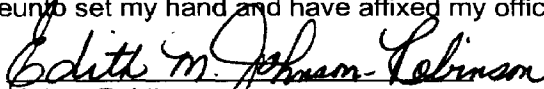

Timothy John DOYLE

ACKNOWLEDGEMENT

COUNTY OF Mobile)
STATE OF AL) SS.

On the 9th day of December, 1998 before me, a Notary Public in and for the County and State aforesaid personally appeared Timothy John DOYLE to me known, who acknowledged to me that he executed the foregoing Assignment as his own free act and deed for the uses and purposes therein mentioned, and desired the same might be recorded as such.

IN WITNESS WHEREOF I have hereunto set my hand and have affixed my official seal the day and year first above written.


Notary Public

MY COMMISSION EXPIRES JUNE 6, 2000

Signed this

_____ day of _____, 1998

David Alan GLANVILLE

WITNESS: _____

WITNESS: _____

DATE: _____

DATE: _____

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

Alan John WHITTON, Ewan Campbell BOYD, Michael Charles Henry STANDEN, Peter Karl WEHRENBURG, Raymond Vincent Heavon JONES, Timothy John DOYLE and David Alan GLANVILLE

hereby sell(s), assign(s) and transfer(s) to ASSIGNEE, ZENECA Limited, a company created and existing under the laws of England and Wales, having its Head Office at 15 Stanhope Gate, London, W1Y 6LN England, and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions and in all foreign countries in and to any and all improvements which are disclosed in the invention entitled:

CHEMICAL PROCESS

and which is found in

- (a) ☐ U.S. patent application executed on even date herewith;
 (b) ☐ U.S. patent application executed on _____;
 (c) ☒ U.S. Application Serial No. 09/186518 filed on 5 November 1998;
 (d) ☐ International Application No. PCT/ _____ filed on _____;

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any reissue or reexamination thereof;

ASSIGNOR(S) hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenant(s) that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

Signed this

3rd day of December, 1998

Alan John Whitton

Alan John WHITTON

WITNESS: [Signature]

WITNESS: Louise Marie Keane

DATE: 3RD December 1998

DATE: 3rd December 1998

11th day of December, 1998

Ewan Campbell Boyd
Ewan Campbell BOYD

WITNESS: Louise Marie Keane

WITNESS: Mary Savoy

DATE: 11th December 1998

DATE: 11th December 1998

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IN WITNESS WHEREOF I hereunto set my hand and seal this _____ day of _____, 1998.

Michael Charles STANDEN

ACKNOWLEDGEMENT

COUNTY OF)
) SS.
STATE OF)

On the _____ day of _____, 1998 before me, a Notary Public in and for the County and State aforesaid personally appeared Michael Charles STANDEN to me known, who acknowledged to me that he executed the foregoing Assignment as his own free act and deed for the uses and purposes therein mentioned, and desired the same might be recorded as such.

IN WITNESS WHEREOF I have hereunto set my hand and have affixed my official seal the day and year first above written.

Notary Public

IN WITNESS WHEREOF I hereunto set my hand and seal this _____ day of _____, 1998.

Peter Karl WEHRENBURG

ACKNOWLEDGEMENT

COUNTY OF)
) SS.
STATE OF)

On the _____ day of _____, 1998 before me, a Notary Public in and for the County and State aforesaid personally appeared Peter Karl WEHRENBURG to me known, who acknowledged to me that he executed the foregoing Assignment as his own free act and deed for the uses and purposes therein mentioned, and desired the same might be recorded as such.

IN WITNESS WHEREOF I have hereunto set my hand and have affixed my official seal the day and year first above written.

Notary Public

Signed this

11th day of December, 1998

Raymond Vincent Heavon Jones
Raymond Vincent Heavon JONES

WITNESS: Margaret Wilson
DATE: 11th December 1998

WITNESS: Louise Marie Keane
DATE: 11th December 1998

IN WITNESS WHEREOF I hereunto set my hand and seal this _____ day of _____, 1998.

Timothy John DOYLE

ACKNOWLEDGEMENT

COUNTY OF _____)
STATE OF _____) SS.

On the _____ day of _____, 1998 before me, a Notary Public in and for the County and State aforesaid personally appeared Timothy John DOYLE to me known, who acknowledged to me that he executed the foregoing Assignment as his own free act and deed for the uses and purposes therein mentioned, and desired the same might be recorded as such.

IN WITNESS WHEREOF I have hereunto set my hand and have affixed my official seal the day and year first above written.

Notary Public

Signed this

10th day of December, 1998

David Alan Glanville
David Alan GLANVILLE

WITNESS: Lynn Shaw
DATE: 10th December 1998

WITNESS: Louise Marie Keane
DATE: 10th December 1998