

01-19-1999



Case Name: Grasis 3-2-7-3

P

SHEET

100944589

To the Honorable Commissioner of Patents and Trademarks: Please record the attached documents or copy thereof.

12-29-98

- (1) Conveying Parties: Michael E. Grasis
Michael A. Scobey
Derek E. Spock
Robert E. Lafreniere

jc560 U.S. PTO
09/22/2626
12/29/98

Title: ADD/DROP OPTICAL MULTIPLEXING DEVICE

Additional name(s) of conveying party(ies) attached? Yes No

- (2) Receiving Party: Corning OCA Corporation
Patent Dept.; SP-FR-02-12
Corning, NY 14831

(3) Nature of conveyance: Assignment executed: 7/10/98; 7/10/98; 7/15/98; 7/10/98

(4) Application number(s) and/or patent number(s) against which this assignment is to be recorded:

A. Patent Application No.(s): 08/
Filing date:

B. Patent No.(s):
Filing date:

If this document is being filed together with a new application, this assignment is also to be recorded against said application, executed on 7/10/98; 7/10/98; 7/15/98; 7/10/98.

(5) Name and address of party to whom correspondence concerning document should be mailed:

Name: William Greener
Reg. No. 38,165
Corning OCA Corporation
Patent Department
SP-FR-02-12
Corning, NY 14831
Phone No.: (607) 974-3857

(6) Total Number of applications and/or patents involved: one

(7) Total Fee = \$40.00
01/12/1999
01 FC:581
 Enclosed (Check No.)
 Included with filing fee. Check No. ____

Authorization given by Corning Incorporated to charge deposit account number 03-3325

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

William Greener
William Greener

12/29/98
Date

272 East St, Tewksbury, MA 01876

July, 10 1998

Case Name: Grasis 3-2-7-3

ASSIGNMENT

FOR VALUE RECEIVED, WE, Michael E. Grasis residing at 101 Oakwood Dr., E. Brookfield, MA 01515; Michael A. Scobey residing at ~~1002 Apple Briar Lane, Marlborough, MA 01752~~; and Derek E. Spock residing at 14 Washburn St., Watertown, MA 2172; Robert W. Lafreniere hereby sell, assign and transfer unto Corning OCA Corporation, a corporation organized and existing under the laws of the State of Delaware, having its principal place of business at 170 Locke Dr., Marlborough, MA, (hereinafter COCA), as assignee, and unto its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to **ADD/DROP OPTICAL MULTIPLEXING DEVICE** and generally described in an application for Letters Patent of the United States, executed by us on the 10th day of July, 1998, the 10th day of July, 1998, the 15th day of July, 1998, the 10th day of July, 1998, respectively, and all the rights and privileges under any and all Letters Patents that may be granted therefor. We request that any and all patents for said inventions be issued to COCA, its successors, assigns and legal representatives, or to such nominees as COCA may designate.

We agree that, when requested, we will, without charge to COCA and at COCA 's expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in COCA, its successors, assigns and legal representatives or nominees.

We authorize and empower COCA, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for said Convention or entered as a supplement to, and to invoke and claim such right of priority without further written or oral authorization from us.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of COCA, or its successors, assigns and legal representatives or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it or entered as a supplement to it.

We covenant with COCA, its successors, assigns and legal representatives or nominees, that the rights and property herein conveyed are free and clear of any encumbrance, and that we have full right to convey the same as herein expressed.

Signed at Marlborough, MA., this

July 10,

1998

Michael E. Grasis
Michael E. Grasis

July 10,

1998

Michael A. Scobey
Michael A. Scobey

July 15

1998

Derek E. Spock
Derek E. Spock

July 10,

1998

Robert William Lafreniere
Robert William Lafreniere

State of Massachusetts)

: ss.

County of Middlesex)

On the 10th day of July 1998, before me personally came **Michael E. Grasis** known to be the persons described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Michael Sawyer
Notary Public

State of Massachusetts)

: ss.

County of Middlesex)

On the 10th day of July 1998, before me personally came **Michael A. Scobey** known to be the persons described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Michael Sawyer
Notary Public

State of Massachusetts)

: ss.

County of Middlesex)

On the 15th day of July 1998, before me personally came **Derek E. Spock** known to be the persons described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Michael Sawyer
Notary Public

State of Massachusetts)

: ss.

County of *Middlesex*)

On the *10th* day of *July* 199*8* before me personally Robert W. Lafreniere known to be the persons described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Michael Krueger
Notary Public