

01-20-1999

Attorney Docket No.: 08688/031001

SHEET

MKB 1-15-99



Assistant Commissioner for Patent

100946041

Document.

1. Name of conveying party(ies):

Richard A. Gross
Xianhai Chen
Stephen P. McCarthy

Additional name(s) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

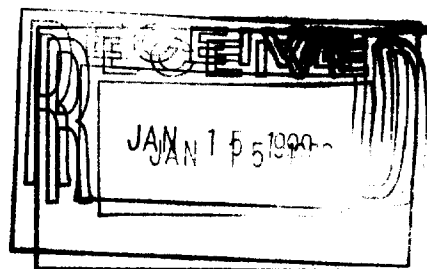
University of Massachusetts
One Beacon Street, 26th Floor
Boston, Massachusetts 02108

Additional names/addresses attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment
☐ Merger
☐ Security Agreement
☐ Change of Name
☐ Other:

Execution Date: **Richard A. Gross - January 6,**
1999, Xianhai Chen - November 20, 1998;
Stephen P. McCarthy - November 13, 1998.



4. Application number(s) or patent number(s):

If this document is being filed with a new application, the execution date of the application is:

A. Patent Application No.(s):

09/154,332

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name/address of party to whom correspondence concerning document should be mailed:

J. Peter Fasse
Fish & Richardson P.C.
225 Franklin Street
Boston, MA 02110-2804

6. Total number of applications/patents involved: 1

7. Total fee (37 CFR 3.41): \$40

- ☒ Enclosed
☐ Authorized to charge deposit account

8. Deposit account number: 06-1050

If the fee above is being charged to deposit account, a duplicate copy of this cover sheet is attached. Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.

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9. Statement and signature: *To the best of my knowledge and belief, the foregoing information is true and correct and the attached is the original document.***J. Peter Fasse**

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 3

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01 FC:581

40.00 DP

Date of Deposit

JANUARY 11, 1999
 I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the United States Postal Service as **first class mail** with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

PATENT

REEL: 9698 FRAME: 0802

ASSIGNMENT

For valuable consideration, we, Richard A. Gross, of Plainview, New York; Xianhai Chen of Lowell, Massachusetts; Stephen P. McCarthy of Tyngsboro, Massachusetts; hereby assign to University of Massachusetts, a Massachusetts corporation having a place of business at One Beacon Street, 26th Floor, Boston, Massachusetts 02108, and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us, entitled BIORESORBABLE COPOLYMERS, filed September 16, 1998, and assigned U.S. Serial Number 09/154,332, this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, and design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

IN WITNESS WHEREOF, I hereto set my hand and seal at Polytechnic University,
this 5th day of Jan, 1999
Richard A. Gross L.S.
Richard A. Gross

STATE OF New York :
COUNTY OF Kings : ss.

Before me this 6th day of January, 1999, personally appeared

Richard A. Gross known to me to be the person whose name is subscribed to the foregoing Assignment, and acknowledged that he/she executed the same as his/her free act and deed for the purposes therein contained.

Mona Gallego
Notary Public

My Commission Expires: 9/30/2000

[Notary's Seal Here]

MONA GOLDBERG
Notary Public, State of New York
No. 01GO477875
Qualified in Kings County
Commission Expires September 30, 2000

IN WITNESS WHEREOF, I hereto set my hand and seal at Marlborough, MA,
this 20 day of Nov., 1998

Xianhai Chen L.S.
Xianhai Chen

STATE OF MA:
COUNTY OF Middlesex :ss.
USA:

Before me this 20 day of Nov., 1998, personally appeared

Xianhai Chen known to me to be the person whose name is subscribed to the
foregoing Assignment, and acknowledged that he/she executed the same as his/her free act and deed for the
purposes therein contained.

Xian F. Gan
Notary Public

My Commission Expires: 6/1/2001

[Notary's Seal Here]

IN WITNESS WHEREOF, I hereto set my hand and seal at _____,
this _____ day of _____, 19____

Stephen P. McCarthy L.S.

STATE OF _____:
COUNTY OF _____ :ss.

Before me this _____ day of _____, 19____, personally appeared

_____ known to me to be the person whose name is subscribed to the
foregoing Assignment, and acknowledged that he/she executed the same as his/her free act and deed for the
purposes therein contained.

Notary Public

My Commission Expires:

[Notary's Seal Here]

IN WITNESS WHEREOF, I hereto set my hand and seal at _____,
this _____ day of _____, 19____

Xianhai Chen

L.S.

STATE OF _____:

:ss.

COUNTY OF _____:

Before me this _____ day of _____, 19____, personally appeared

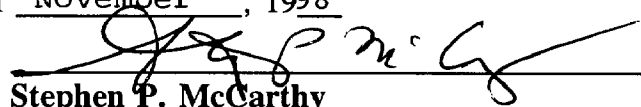
_____ known to me to be the person whose name is subscribed to the
foregoing Assignment, and acknowledged that he/she executed the same as his/her free act and deed for the
purposes therein contained.

Notary Public

My Commission Expires:

[Notary's Seal Here]

IN WITNESS WHEREOF, I hereto set my hand and seal at Lowell, Massachusetts,
this 13th day of November, 1998


Stephen P. McCarthy

L.S.

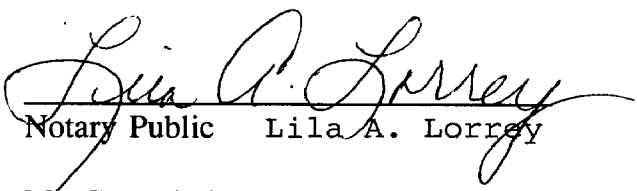
STATE OF Massachusetts:

:ss.

COUNTY OF Middlesex:

Before me this 13th day of November, 1998, personally appeared

Stephen P. McCarthy known to me to be the person whose name is subscribed to the
foregoing Assignment, and acknowledged that he/she executed the same as his/her free act and deed for the
purposes therein contained.


Notary Public **Lila A. Lorrey**

My Commission Expires: June 16, 2000.

[Notary's Seal Here]