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01-20-1999

FORM PTO-1595  
(Revised 6-93)



U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

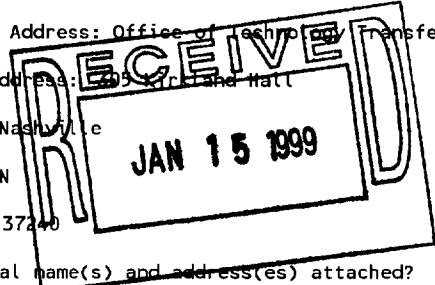
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PATENT URL

TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS:

Please record the attached original documents or copy thereof.

ATTORNEY DOCKET NO.: 22000.0061

<p>1. Name of conveying party(ies): Rubin, Donald H. Organ, Edward L. Dubois, Raymond N.</p> <p>Additional names of conveying party(ies) attached?</p> <p><input type="checkbox"/> YES      <input checked="" type="checkbox"/> NO</p>	<p>2. Name and address of receiving party(ies):</p> <p>Name: Vanderbilt University</p> <p>Internal Address: Office of Technology Transfer</p> <p>Street Address: 605 Venable Hall</p> <p>City: Nashville</p> <p>State: TN</p> <p>Zip: 37240</p> <p>Additional name(s) and address(es) attached?</p> <p><input type="checkbox"/> YES      <input checked="" type="checkbox"/> NO</p>
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3. Nature of conveyance:		
<input checked="" type="checkbox"/> Assignment	<input type="checkbox"/> Merger	<input type="checkbox"/> Other
<input type="checkbox"/> Security Agreement	<input type="checkbox"/> Change of Name	

Execution Date: Donald H. Rubin and Edward L. Organ executed the Assignment on November 30, 1998.  
Raymond N. Dubois executed the Assignment on December 2, 1998.

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application Serial No.(s): 09/171,209	B. Patent No.(s):
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Additional numbers attached?  YES       NO

<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>David G. Perryman, Esquire NEEDLE &amp; ROSENBERG, P.C. Suite 1200, The Candler Building 127 Peachtree Street, N.E. Atlanta, Georgia 30303-1811 (404) 688-0770</p>	<p>6. Total number of applications and patents involved: 1</p>
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7. Total fee (37 CFR 3.41): \$40.00

Enclosed

Authorized to be charged to Deposit Account.

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The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 14-0629.  
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8. Deposit account number: 14-0629  
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(Attach duplicate copy of this form if paying by deposit account)

9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

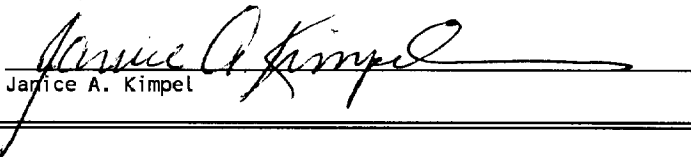
  
Janice A. Kimpel  
Reg. No. 42,734

1/11/99  
Date

Total Number of Pages Including Cover Sheet, Attachments, and Document: 5

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX ASSIGNMENT, Commissioner of Patents and Trademarks, Washington, D.C. 20231, on this 11<sup>th</sup> day of January, 1999.

  
Janice A. Kimpel

January 11, 1999  
Date

**ASSIGNMENT**

**WHEREAS**, we, DONALD H. RUBIN of 1937 Edenbridge Way, Nashville, Tennessee 37215, EDWARD L. ORGAN of 2505 Essex Place, Nashville, Tennessee 37202 and RAYMOND N. DUBOIS of 281 St. Andrew Drive, Franklin, Tennessee, 37064, U.S.A., have invented new and useful improvements in a “**MAMMALIAN GENES INVOLVED IN VIRAL INFECTION AND TUMOR SUPPRESSION**” for which an application for United States Letters Patent was filed on October 15, 1998, Serial No. 09/171,209; and an international application, PCT No. US97/06067 was filed on April 11, 1997; and

**WHEREAS**, VANDERBILT UNIVERSITY, whose post-office address is Office of Technology Transfer, 305 Kirkland Hall, Nashville, TN 37240, U.S.A., is desirous of acquiring the entire interest in the same;

**NOW, THEREFORE**, for good and valuable consideration, as described in the Policy on Technology and Literary and Artistic Works in the Vanderbilt University 1993-1994 Faculty Manual, the sufficiency and adequacy of which are hereby acknowledged, the undersigned do hereby sell, assign, and for other good and valuable consideration, we, **DONALD H. RUBIN, EDWARD L. ORGAN, AND RAYMOND N. DUBOIS**, hereby do sell, assign and transfer unto said VANDERBILT UNIVERSITY, the entire right, title and interest in and to said invention and application throughout the world, including, without limitation, any Letters Patent which may issue thereon, and any subsequent application claiming priority to the above-identified application under 35 U.S.C. § 119(e), reissue, reexamination, division, continuation-in-part, extension or continuation thereof and all rights of priority under the International Convention arising from said application; the same for its legal representatives and assigns, as

fully and entirely as the same would have been held by us had this assignment and sale not been made;

AND, we hereby bind ourselves, our heirs, legal representatives, administrators and assigns properly to execute without further consideration any and all applications, petitions, oaths and assignments or other papers and instruments which may be necessary in order to carry into full force and effect, the sale, assignment, transfer and conveyance hereby made or intended to be made and generally do everything possible to aid VANDERBILT UNIVERSITY, its legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries throughout the world.

IN WITNESS WHEREOF, I have executed this Assignment this 30 day of November, 1998.

*Donald H. Rubin* (L.S.)  
DONALD H. RUBIN

State of North Carolina Tennessee

County of Davidson

On this 30 day of November, 1998, before me, a Notary Public, came DONALD H. RUBIN, to me known and known to be the individual described in and who executed the foregoing assignment, and he duly acknowledged the same to be his free act and deed.

*Sharon M. Stueckle*  
Notary Public

My Commission Expires: My Commission Expires MAY 27, 2001

IN WITNESS WHEREOF, I have executed this Assignment this 30 day of November, 1998.

Edward L. Organ (L.S.)  
EDWARD L. ORGAN

State of Tennessee

County of Davidson

On this 30 day of November, 1998, before me, a Notary Public, came EDWARD L. ORGAN, to me known and known to be the individual described in and who executed the foregoing assignment, and he duly acknowledged the same to be his free act and deed.

Sharon M. Stueckle  
Notary Public

My Commission Expires: My Commission Expires MAY 27, 2001

IN WITNESS WHEREOF, I have executed this Assignment this 2 day of December, 1998.

R. N. Du Bois (L.S.)  
RAYMOND N. DUBOIS

State of Tennessee

County of Davidson

On this 2 day of December, 1998, before me, a Notary Public, came RAYMOND N. DUBOIS, to me known and known to be the individual described in and who executed the foregoing assignment, and he duly acknowledged the same to be his free act and deed.

Sharon M. Stueckle  
Notary Public

My Commission Expires: My Commission Expires MAY 27, 2001