

01-20-1999

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Patent and Trademark Office



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To the Honorable Commissioner of Patents

attached original documents or copy thereof.

1. Name of conveying party(ies):

(1) Anthony K. Stamper
(2) Vincent J. McGahay

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Internal Address:

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Street Address: New Orchard Road

City: Armonk

State: NY

ZIP: 10504

Execution Date: 11/20/98 & 12/10/98.

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s)

09225477

If this document is being filed together with a new application, the execution date of the application is 11/20/98 & 12/10/98

A. Patent Application No (s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Paul J. Esatto, Jr. Reg. No. 30,749

Internal Address: Scully, Scott, Murphy & Presser

Street Address: 400 Garden City Plaza

City: Garden City

State: NY

ZIP: 11530

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

09-0456

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Howard J. Walter, Jr. Reg. No. 24,832

Name of Person Signing 802-769-9555

Signature

Date

Total number of pages including cover sheet, attachments, and document:

2

PATENT

REEL: 9699 FRAME: 0482

ASSIGNMENT

Whereas, we

Inventor
and City

(1) Anthony K. Stamper
County of Chittenden

City of Williston
and State of Vermont

Inventor
and City

(2) Vincent J. McGahay
County of Dutchess

City of Poughkeepsie
and State of New York

have invented certain improvements in

Title **DAMASCENE ETCHBACK FOR LOW ϵ DIELECTRIC**

Dates That
Inventors
Signed the
Declaration

and have executed, respectively, a United States patent application therefor on

(1) 11/20/98 1998, and (2) 12/10/98 1998.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

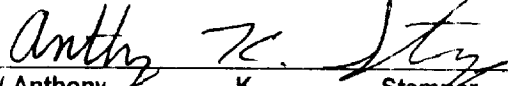
Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

City

(1) Signed at Essex Jct. VT.

Date

on 11/20/98

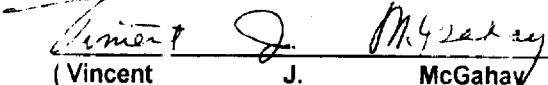

(Anthony K. Stamper) signature
First Name Middle Initial Last Name) inventor

City

(2) Signed at Hopewell Jct. NY.

Date

on 12/10/98


(Vincent J. McGahay) signature
First Name Middle Initial Last Name) inventor