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Docket No DC4708

FORM PTO-1595 (Modified)

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

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P08/REV02



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U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

## 1. Name of conveying party(ies):

Zuchen Lin  
William James Schulz, Jr.  
Janet Mary Smith

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: January 8, 1999

## 2. Name and address of receiving party(ies):

Name: Dow Corning CorporationInternal Address: Patent DepartmentMail CO1232Street Address: 2200 W. Salzburg RoadCity: Midland State: MI ZIP: 48686Additional name(s) & address(es) attached? ☐ Yes ☒ No4. Application number(s) or registration numbers(s): 09/228301If this document is being filed together with a new application, the execution date of the application is: January 8, 1999

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Dow Corning CorporationInternal Address: Attn: Jim L. DeCesarePatent Department, Mail CO1232Street Address: 2200 W. Salzburg RoadCity: Midland State: MI ZIP: 486866. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41):..... \$ 40.00 E☐ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit account number:

04-1520

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

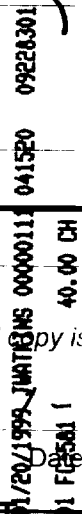
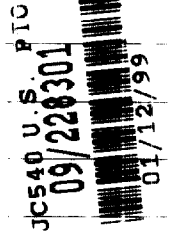
Jim L. DeCesare

Name of Person Signing

Signature

Total number of pages including cover sheet, attachments, and document: 4

REEL: 9702 FRAME: 0121



ASSIGNMENT

WHEREAS We, ZUCHEN LIN of MIDLAND, County of MIDLAND, and State of MICHIGAN; WILLIAM JAMES SCHULZ, JR. of MIDLAND, County of MIDLAND, and State of MICHIGAN and JANET MARY SMITH of BAY CITY, County of BAY, and State of MICHIGAN; have invented certain new and useful improvements in

ENTRAPMENT OF VITAMINS WITH AN ELASTOMERIC SILICONE POLYETHER

for which application for United States Letters Patent has been executed by us on January 3<sup>rd</sup>, 1999 and

WHEREAS, the Dow Corning Corporation, a corporation organized and existing under the Laws of the State of Michigan, having its principal place of business at Midland, Michigan, U.S.A., is desirous of acquiring the said invention and said application and any and all Letters Patent that may be obtained therefore or thereupon, and all reissues and extensions thereof;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that for and in consideration of the sum of One Dollar (\$1.00), and other good and valuable considerations, to us in hand paid by the said Dow Corning Corporation, the receipt of which is hereby acknowledged, we have sold, assigned, transferred and set over and by these presents do sell, assign, transfer and set over unto the said Dow Corning Corporation, its successors, and assigns, the full and exclusive right, title and interest in and to the said invention and said application for Letters Patent of the United States therefore, and in and to any Divisions and Continuations thereof and in and to any and all United States Letters Patent and reissues and extensions thereof that may be issued for the said invention, to have and to hold for its own use and behoof and the use and behoof of its successors and assigns as fully and entirely as the same might be enjoyed by us if this sale and assignment had not been made; and

HEREBY AUTHORIZE and request the Commissioner of Patents and Trademarks to issue the said Letters Patent and any reissues and extensions thereof to the said Dow Corning Corporation as assigns of the entire right, title and interest in and to the said invention and said application and Letters Patent issued therefore or thereupon; and

HEREBY AGREE to execute all instruments and documents within my power which may be necessary for the carrying out of this assignment in full; and to execute any divisional or continuing application or applications which may be necessary or proper to obtain full protection on the invention hereby assigned; and to execute any and all supplemental oaths and preliminary statements should the same be proper and necessary in the prosecution of the aforesaid applications; and

HEREBY FURTHER ASSIGN unto the said Dow Corning Corporation, its successors, and assigns, the whole right, title and interest in and to the invention disclosed in the said application throughout all countries foreign to the United States, and do hereby ratify any acts of the said Dow Corning Corporation, its successors and assigns, in applying for patents therefore in its own name in countries where such procedure is proper and do agree to execute applications for said invention in the several countries where it is necessary that the same be executed by the inventors, and to execute assignments of such applications and the patents to be obtained therefore to the said Dow Corning Corporation, its successors and assigns.

9<sup>th</sup> day of January, 1999.

ZUCHEN LIN

STATE OF Michigan )  
 ) SS,  
COUNTY OF Bay )

On this 9<sup>th</sup> day of January, 1999, before me personally appeared Zuchen Lin to me known to be the person described in and who executed the foregoing instrument and acknowledged that HE executed the same as HIS free act and deed.

CHRISTINE M. FITAK  
NOTARY PUBLIC, MIDLAND COUNTY, MICHIGAN  
MY COMMISSION EXPIRES APRIL 30, 2002  
Notary Public  
ACTING IN Bay County

9<sup>th</sup> day of January, 1999.

WILLIAM JAMES SCHULZ, JR.

STATE OF Michigan )  
 ) SS,  
COUNTY OF Bay )

On this 9<sup>th</sup> day of January, 1999, before me personally appeared William James Schulz, Jr. to me known to be the person described in and who executed the foregoing instrument and acknowledged that HE executed the same as HIS free act and deed.

CHRISTINE M. FITAK  
NOTARY PUBLIC, MIDLAND COUNTY, MICHIGAN  
MY COMMISSION EXPIRES APRIL 30, 2002  
Notary Public  
ACTING IN Bay County

Notary Public

PATENT  
REEL: 9702 FRAME: 0123

IN WITNESS WHEREOF I have hereunto set my hand and affixed my seal this 9th day of June, 1999.

Janet Mary Smith  
JANET MARY SMITH

STATE OF Michigan )  
 ) SS,  
COUNTY OF Bay )

On this 27th day of February, 1999, before me personally appeared Janet Mary Smith to me known to be the person described in and who executed the foregoing instrument and acknowledged that SHE executed the same as HER free act and deed.

Winston M. Fitch  
Notary Public

**CHRISTINE M. FITAK**  
**NOTARY PUBLIC, MIDLAND COUNTY, MICHIGAN**  
**MY COMMISSION EXPIRES APRIL 30, 2002**

ACTING IN Bay County