

RECORDATION FORM COVER SHEET

PATENTS ONLY

Attorney Docket No. 062439.0110

1-19-99 To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of Conveying Party(ies):

Venkataraman BRINGI, Prakash G. KADKADE, Christopher L. PRINCE,
and Braden L. ROACH

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: January 18th, 13th, 13th, and 18th, 1999, respectively

2. Name and address of receiving party(ies):

Name(s): Phyton, Inc.

Address(es) 125 Langmuir Lab
95 Brown Road
Ithaca, NY 14850-1257

Street Address: same as above

City _____ State _____ ZIP _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): Serial Number **09/083,198**

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/083,198

B. Patent No.(s)

01-25-1999



100947447

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Laurence H. Posorske

Internal Address: Baker & Botts, L.L.P.

The Warner

1299 Pennsylvania Avenue, N.W.

Street Address: Same

City: Washington State: D.C. ZIP: 20004-2400

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.4): \$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

02-0375

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Laurence H. Posorske

Name of Person Signing

Laurence H. Posorske
Signature

January 19, 1999

Date

PTO Registration No. 34,698

Total number of pages including cover sheet: 3

OMB No. 0651-0011 (exp. 4/94)

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ASSIGNMENT

WHEREAS, WE, Venkataraman BRINGI, Prakash G. KADKADE, Christopher L. PRINCE, and Braden L. ROACH, citizen of India, the United States of America, Canada, and the United States of America, respectively, residing at 42 1/2 Esty Drive, Ithaca, NY 14850; 45 Lambert Circle, Marlboro, MA 01752; 1102 E. Shore Drive, Ithaca, NY 14850; and 9205 State Route 96, Interlaken, NY 14847, respectively, (hereinafter "ASSIGNORS") have invented certain new and useful improvements in **Enhanced Production of Taxanes by Cell Cultures of Taxus Species** for which an International Patent Application was filed on May 27, 1997, assigned Serial No. PCT/US97/08907, and an application for Letters Patent of the United States was filed on MAY 22, 1998, and assigned Serial No. 09/083,198; and

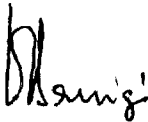
WHEREAS, Phyton, Inc., a corporation duly organized under the laws of the State of New York having a place of business at 125 Langmuir Lab, 95 Brown Road, Ithaca, NY 14850-1257, U.S.A., (hereinafter "ASSIGNEE"), is desirous of acquiring the entire right, title and interest in and to the aforesaid invention and in and to any Letters Patent of the United States or any foreign country which may be granted therefor;

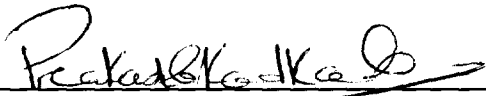
NOW THEREFORE, for good and valuable consideration, ASSIGNORS by these presents do sell, assign, and transfer unto ASSIGNEE, its successors, legal representatives and assigns, the full and exclusive right to the said invention as described in the said application, and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries, including Argentina, Australia, Brazil, Canada, Hong Kong, Hungary, Israel, Japan, Mexico, Singapore, South Korea, Turkey, and signatory countries of the European Patent Convention, and in and to any and all divisions, reissues, continuations and extensions thereof;


AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks or any other proper officer or agency of any country to issue all said Letters Patent to said ASSIGNEE;


AND WE HEREBY warrant and covenant that we have full right to convey the entire interest herein assigned and that we have not executed and will not execute any instrument or assignment in conflict herewith;

AND WE HEREBY agree to communicate to said ASSIGNEE or its representatives any facts known to us respecting said invention, to execute all divisional, continuation, reissue and foreign applications, sign all lawful documents and make all rightful oaths relating to said invention, and to testify in any judicial or administrative proceeding and generally do everything possible to aid the said ASSIGNEE to obtain and enforce said Letters Patent in the United States or any foreign country when requested so to do by said ASSIGNEE.

Date: January 18, 1999 Inventor: 
Venkataraman BRINGI

Date: January 13, 1999 Inventor: 
Prakash G. KADKADE

Date: January 13, 1999 Inventor: 
Christopher L. PRINCE


Date: JANUARY 18, 1999 Inventor: 
Braden L. ROACH

STATE OF New York
COUNTY OF Tompkins) ss

On this 13th + 18th day of January, 1999, before me a Notary Public in and for the County and State aforesaid, personally appeared Venkataraman BRINGI, Prakash G. KADKADE, Christopher L. PRINCE, and Braden L. ROACH to me known and known to me to be the persons of those names, who signed and sealed the foregoing instrument, and acknowledged the same to be their free act and deed.

(SEAL)

TINA RIDALL
Notary Public, State of New York
No. 4836527
Qualified in Tompkins County
Commission Expires ~~March 20, 19~~


Notary Public
My Commission Expires: 9/30/99

