

01-26-1999

BOX ASSIGNMENTS

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OVER SHEET
PATENTS ONLY

100955246

TO THE ASSISTANT COM.

SIR: PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. NAME OF CONVEYING PARTY(IES) (ASSIGNOR(S)):

1. Gerardus J.M. Gruter
3. Richard Green
- 5.
- 7.

2. Johannes A.M. VAN BEEK
- 4.
- 6.
- 8.

ADDITIONAL NAME(S) OF CONVEYING PARTY(IES) ATTACHED? ☐ YES ☒ NO

2. PARTY(IES) (ASSIGNEE(S)) RECEIVING INTEREST:

NAME: DSM N.V.

ADDRESS: Het Overloon 1, 6411 TE Heerlen, the NETHERLANDS

ADDITIONAL NAME(S) & ADDRESS(ES) ATTACHED? ☐ YES ☒ NO

3. NATURE OF CONVEYANCE (DOCUMENT):

(Submit herewith only one document for recordation—multiple copies of same Assignment signed by different inventors is one document)☒ ASSIGNMENT OF ☒ WHOLE ☐ PART INTEREST

EXEC. DATE: October 15, 1998

☐ CHANGE OF NAME ☐ ACCURATE TRANSLATION
☐ SECURITY ☐ MERGER ☐ OTHER:

EXECUTION DATE(S) ON THE DECLARATION IF FILED HERewith: (NOTE: IF DATES ON DECLARATION AND ASSIGNMENT DIFFER SEE ATTY!) OCTOBER 15, 1998

4.5 APPL. NO.(S) OR PAT NO.(S). OTHERS ON ADDITIONAL SHEET(S) attached? ☐ YES ☐ NOA. PAT. APP. NO.(S)
series code/serial
no

M#

1st INVENTOR
if not in item 1

B. PATENT NO(S)

M#

1st INVENTOR
if not in item 1

09/184,064

255993

5. Name & Address of Party to Whom Correspondence
Concerning Document Should be Mailed:

Pillsbury Madison & Sutro LLP
Intellectual Property Group
1100 NEW YORK AVENUE, N.W.
NINTH FLOOR, EAST TOWER
WASHINGTON, D.C. 20005-3918

6. NUMBER INVOLVED:

APPLNS 1 + PATS 0 = TOTAL 1

7. AMOUNT OF FEE ENCLOSED: (Code 581)

ABOVE TOTAL x \$40 = \$40

5.5 ATTY DKT:

PMS 255993

8641/US/CON/WO

8. IF ABOVE FEE IS MISSING OR INADEQUATE CHARGE
INSUFFICIENCY TO DEPOSIT ACCOUNT NUMBER: 03-3975

UNDER ORDER NO

30268

255993

MATTER NO.

CLIENT REF.

dup. sheet not required

CLIENT NO.

MATTER NO.

09184064

9. STATEMENT AND SIGNATURE.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

10. Total number of pages including this
cover sheet, attachments and document
(do not file dup. Cover sheet)

2

Signature

Attorney: Kendrew H. Colton

Reg. No. 30368

Date: January 19, 1999

Atty/Sec: KHC/SPB/njj

TEL: (202) 861-3606

FAX: (202) 822-0944

FILE WITH PTO RETURN RECEIPT (PAT-103A)

01/26/1999 TLU11 00000004 09184064

01 FC:581

40.00 DP

PAT-114X 1/99

PATENT
REEL: 9709 FRAME: 0845

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Ninth Floor, East Tower
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Washington, D.C. 20005-3918

USA Patent Appln.
Sole or Joint

For Inventions made outside USA
executed with or after application

Atty. Dkt. PMS

8641/us/con/wo

M#

Client Ref.

NONPROVISIONAL

ASSIGNMENT
OF NONPROVISIONAL APPLICATION

NONPROVISIONAL

In consideration of the sum of Ten Dollars (\$10.00) and other good and valuable consideration paid to each of the undersigned, to wit:

INSERT	(1) Gerardus J.M. Gruter	(2) Johannes A.M. van Beek
NAME(S) OF	(3) Richard Green	(4)
INVENTOR(S)	(5)	<input type="checkbox"/> x box if continued on page 2

the receipt and sufficiency of which are hereby acknowledged by the undersigned who at the request of, hereby sell(s), assign(s) and transfer(s) unto:

INSERT	DSM N.V.
NAME(S) OF	Het Overloon 1,
ASSIGNEE(S)	6411 TE Heerlen
& ADDRESS(ES)	the Netherlands

(hereinafter designated "ASSIGNEE") the entire right, title and interest for the United States of America as defined in 35 U.S.C. 100, in the invention and all applications including any and all divisions, continuations, substitutes, and reissues thereof; and all resulting patents, known as

TITLE OF
INVENTION

PROCESS FOR CYCLOPENTADIENE SUBSTITUTION WITH GROUPS DIFFERING FROM EACH OTHER

for which the undersigned executed an application for Letters Patents of the United States of America:

NOTE → →
(Complete

line A, B and/or C)

(A) ☒ even date herewith

(B) ☐ on

(C) ☐ in U.S. Appln. No.

filed

AND the undersigned hereby authorize(s) and request(s) the United States Commissioner of Patents and Trademarks to issue said Letters Patent to the said ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives; the undersigned agree(s) that the attorney of record in said application shall hereinafter act on behalf of said ASSIGNEE;

AND the undersigned hereby agree(s) to testify and execute any papers for ASSIGNEE, its successors, assigns and legal representatives, deemed essential by ASSIGNEE to ASSIGNEE'S full protection and title in and to the invention hereby transferred.

NOTE → → The undersigned hereby authorize(s) Pillsbury Madison & Sutro LLP of the above address to insert hereon any further identification necessary or desirable for recordation of this document.

INVENTORS

DATE
SIGNED

WITNESSES

1): Gerardus J.M. Gruter
Name: Gerardus J.M. Gruter
2): Johannes A.M. van Beek
Name: Johannes A.M. van Beek
3): Richard Green
Name: Richard Green
4): _____
Name: _____
5): _____
Name: _____

15/10/98
15/10/98
15/10/98

RECORDED: 01/19/1999

PATENT
REEL: 9709 FRAME: 0846

PAT 114 11/97