

01-27-1999



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U.S. Department of Commerce

Patent and Trademark Office

RECORDATION

1-26-99

RECORDATION FORM COVER SHEET
PATENTS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- ☒ New
- ☐ Resubmission (Non-Recordation)
Document ID#
- ☐ Correction of PTO Error
Reel # Frame #
- ☐ Corrective Document
Reel # Frame #

Conveyance Type

- ☐ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☒ Merger ☐ Other

U.S. Government

(For Use ONLY by U.S. Government Agencies)

☐ Departmental File ☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attachedName (line 1) Infinitel Inc.Execution Date
Month Day Year
 12 31 98Name (line 2)

Second Party

Name (line 1) Surgical Technology, Inc.Execution Date
Month Day Year
 12 31 98Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attachedName (line 1) Innovation Medical Technologies, Inc.☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)Name (line 2) Address (line 1) 6201 South FreewayAddress (line 2) Address (line 3) FORT WORTH

City

 EXAS U.S.A.

State/Country

 76134-2099

Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only

Name Address (line 1) Address (line 2) Address (line 3) Address (line 4)

01/E7/1999 DNGUYEN 00000200 010602 08556204

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01 FC:561 480.00 CH

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Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT
REEL: 9711 FRAME: 0429

Correspondent Name and Address

Area Code and Telephone Number

(817) 551-3063

Name

Jeffrey S. Schira

Address (line 1)

R&D Legal Department (Q-148)

Address (line 2)

Alcon Laboratories, Inc.

Address (line 3)

6001 South Freeway

Address (line 4)

Fort Worth, Texas 76134-2099

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

#

7

Application Number(s) or Patent Number(s)



Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property)

Patent Application Number(s)

Patent Number(s)

08/556,204

09/124,126

08/606,651

5,275,593

5,356,407

5,441,496

5,351,168

Des. 320,856

Des. 344,903

5,300,061

5,318,560

5,378,712

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

#

12

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

480.00

Method of Payment:
Deposit Account

Enclosed ☐

Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

01-0682

Authorization to charge additional fees:

Yes



No

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Jeffrey S. Schira

Name of Person Signing

Signature

Date

Reg.No. 34,922

**RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY**

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

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Enter additional Conveying Parties

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

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Execution Date
Month Day Year

Name (line 1)

Name (line 2)

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Patent Application Number(s)

Patent Number(s)

5,201,353	5,201,730	

Correspondent Name and Address

Area Code and Telephone Number

(817) 551-3063

Name

Jeffrey S. Schira

Address (line 1)

R&D Legal Department (Q-148)

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Jeffrey S. Schira

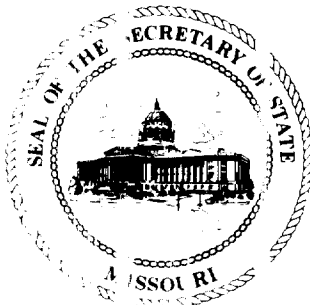
Name of Person Signing

Signature

Date

Reg.No. 34,922

STATE OF MISSOURI



Rebecca McDowell Cook
Secretary of State

CORPORATION DIVISION
CERTIFICATE OF CORPORATE RECORDS

INNOVATION MEDICAL TECHNOLOGIES, INC.

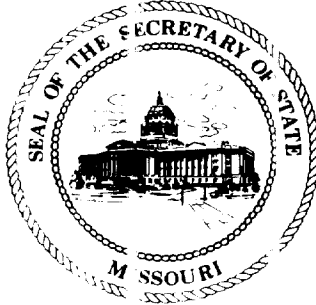
I, REBECCA McDOWELL COOK, SECRETARY OF STATE OF THE STATE OF MISSOURI AND KEEPER OF THE GREAT SEAL THEREOF, DO HEREBY CERTIFY THAT THE ANNEXED PAGES CONTAIN A FULL, TRUE AND COMPLETE COPY OF THE ORIGINAL DOCUMENTS ON FILE AND OF RECORD IN THIS OFFICE.

IN TESTIMONY WHEREOF, I HAVE SET MY HAND AND IMPRINTED THE GREAT SEAL OF THE STATE OF MISSOURI, ON THIS, THE 12TH DAY OF JANUARY, 1999.

Rebecca McDowell Cook
Secretary of State



STATE OF MISSOURI



Rebecca McDowell Cook
Secretary of State

CORPORATION DIVISION

CERTIFICATE OF MERGER
 MISSOURI CORPORATION SURVIVING

WHEREAS, Articles of Merger of the following corporations:

INFINITECH, INC. (#00343089)

SURGICAL TECHNOLOGIES, INC. (#00343088)

INTO: INNOVATION MEDICAL TECHNOLOGIES, INC. (#00347598)
 Organized and Existing Under Law of Missouri
 have been received, found to conform to law, and filed.

NOW, THEREFORE, I, REBECCA MCDOWELL COOK, Secretary of State of Missouri, issue this Certificate of Merger, certifying that the merger of the aforementioned corporations is effected with

INNOVATION MEDICAL TECHNOLOGIES, INC. (#00347598)

as the surviving corporation.

IN TESTIMONY WHEREOF, I HAVE SET MY
 HAND AND IMPRINTED THE GREAT SEAL OF
 THE STATE OF MISSOURI, ON THIS, THE
 31st Day of December, 1998

Rebecca McDowell Cook
 Secretary of State



\$35.00

FILED AND CERTIFICATE
ISSUED

DEC 31 1998

ARTICLES OF MERGER
MERGING
INFINITECH, INC. AND SURGICAL TECHNOLOGIES, INC.
INTO
INNOVATION MEDICAL TECHNOLOGIES, INC.

Rebecca McDowell Cook
SECRETARY OF STATE

Rebecca McDowell Cook
SECRETARY OF STATE

Pursuant to the provisions of The General and Business Corporation Law of Missouri the undersigned corporations certify the following:

- (1) That Infinitech, Inc., a Missouri corporation, and Surgical Technologies, Inc., a Missouri corporation, are hereby merged into Innovation Medical Technologies, Inc., a Missouri corporation, and Innovation Medical Technologies, Inc. is the Surviving Corporation.
- (2) That the Board of Directors of Infinitech, Inc. met on December 31, 1998, and by resolution adopted by a majority vote of the members of such board approved the Plan of Merger set forth in these articles.
- (3) That thereafter the shareholders of Infinitech, Inc. by unanimous written consent dated December 31, 1998, approved the Plan of Merger. 1,266 shares were entitled to vote and 1,266 voted in favor and 0 voted against said plan.
- (4) That the Board of Directors of Surgical Technologies, Inc. met on December 31, 1998, and by resolution adopted by a majority vote of the members of such board approved the Plan of Merger set forth in these articles.
- (5) That thereafter the shareholders of Surgical Technologies, Inc. by unanimous written consent dated December 31, 1998, approved the Plan of Merger. 4,250 shares were entitled to vote and 4,250 voted in favor and 0 voted against said plan.
- (6) That the Board of Directors of Innovation Medical Technologies, Inc. met on December 31, 1998, and by resolution adopted by a majority vote of the members of such board approved the Plan of Merger set forth in these articles.
- (7) That thereafter the shareholders of Innovation Medical Technologies, Inc. by unanimous written consent dated December 31, 1998, approved the Plan of Merger. 100 shares were entitled to vote and 100 voted in favor and 0 voted against said plan.

(8) PLAN OF MERGER

1. Innovation Medical Technologies, Inc., a Missouri corporation, is the Surviving Corporation.

2. All of the property, rights, privileges, leases and patents of Infinitech, Inc. and Surgical Technologies, Inc. are to be transferred to and become the property of Innovation Medical Technologies, Inc., the Surviving Corporation. The officers and board of directors of the above named corporations are authorized to execute all deeds, assignments, and documents of every nature which may be needed to effectuate a full and complete transfer of ownership.

3. The officers and board of directors of Innovation Medical Technologies, Inc. immediately prior to the Effective Date shall be the officers and directors of the Surviving Corporation, until the earlier of their resignation or removal or until their respective successors are duly elected and qualified, as the case may be.

4. At the Effective Date, each share of common stock of Infinitech, Inc. and Surgical Technologies, Inc. which is owned by Innovation Medical Technologies, Inc. and which is outstanding immediately prior to the Effective Date of the Merger shall, by virtue of the Merger and without any action on the part of Innovation Medical Technologies, Inc., be retired and cease to exist, and Innovation Medical Technologies, Inc. shall not be entitled to any consideration therefore.

5. Each share of common stock of Innovation Medical Technologies, Inc. outstanding immediately prior to the Effective Date of the Merger shall continue to be outstanding thereafter and shall continue to represent one share of Common Stock of the Surviving Corporation.

6. The effective time and date of the merger, herein referred to as the "Effective Date", shall be 11:59 p.m., E.S.T. on December 31, 1998

7. The Articles of Incorporation of Innovation Medical Technologies, Inc. as in effect immediately prior to the Effective Date shall continue as the Certificate of Incorporation of the Surviving Corporation until altered or repealed as provided therein or as provided by law.

8. The by-laws of Innovation Medical Technologies, Inc., as existing on the Effective Date, shall continue as the by-laws of the Surviving Corporation until amended, altered or repealed as provided therein or in the Certificate of Incorporation or as provided by law

IN WITNESS WHEREOF, these Articles of Merger have been executed in duplicate by the aforementioned corporations as of the day and year hereafter acknowledged.

INFINITECH, INC.

By

T.R.G. Sear
T.R.G. Sear

Chairman of the Board,
Chief Executive Officer,
and President

ATTEST:

By

Elaine E. Whitbeck
Elaine E. Whitbeck

Secretary

State of Texas)

)SS

County of Tarrant)

I, Barbara Kruger a Notary Public, do hereby certify that on the 5th day of November 1998, personally appeared before me T.R.G. Sear who being by me first duly sworn, declared that he is the Chairman of the Board, Chief Executive Officer, and President of Infnitech, Inc., that he signed the foregoing documents as Chairman of the Board, Chief Executive Officer, and President of the corporation, and that the statements therein contained are true.

(NOTARIAL SEAL)

Barbara Kruger
Notary Public

My Commission Expires: 9/22/00

SURGICAL TECHNOLOGIES, INC.

By: T.R.G. Sear
T.R.G. Sear
Chairman of the Board,
Chief Executive Officer,
and President

ATTEST:

By: Elaine E. Whitbeck
Elaine E. Whitbeck
Secretary

State of Texas)
)SS
County of Tarrant)

Barbara Krug a Notary Public, do hereby certify that on the 5th
day of December 1998, personally appeared before me T.R.G. Sear who being by
me first duly sworn, declared that he is the Chairman of the Board, Chief Executive
Officer, and President of Surgical Technologies, Inc., that he signed the foregoing
documents as Chairman of the Board, Chief Executive Officer, and President of the
corporation, and that the statements therein contained are true.

(NOTARIAL SEAL)

Barbara Krug
Notary Public

My Commission Expires: 9/22/00

INNOVATION MEDICAL TECHNOLOGIES, INC

By: T.R.G. Sear
T.R.G. Sear
Chairman of the Board,
Chief Executive Officer,
and President

ATTEST:

By: Elaine E. Whitbeck
Elaine E. Whitbeck
Secretary

State of Texas)
)SS
County of Tarrant)

Barbara Kruger, a Notary Public, do hereby certify that on the 5th
day of January, 1998, personally appeared before me T.R.G. Sear who being by
me first duly sworn, declared that he is the Chairman of the Board, Chief Executive
Officer, and President of Innovation Medical Technologies Inc., that he signed the
foregoing documents as Chairman of the Board, Chief Executive Officer, and President
of the corporation, and that the statements therein contained are true

(NOTARIAL SEAL)

Barbara Kruger
Notary Public

My Commission Expires: 9/22/00