01-29-1999

# ttorney Docket No. 019558-000410US

| FORM PTO-1595   |                        | E!!!! 18!!! !99! 199!<br>——————————————————————————————————— | U.S. Department of Commerce                           |  |
|---|------------------------|--|---|--|
| (Rev. 6-93) To the Honorable Asst. Commissioner to  | 10095250               | 07 <sub>29</sub>   | Patent and Trademark Office documents or copy thereof |  |
| Name of conveying party(ies):   | MRD                    | viigilidi  | ress of receiving party(ies)                          |  |
|   | _                      |  |   |  |
| Thrombosis Research Institute   | 1-28-99                | Name: Trigen Lin   | nited   |  |
| Additional name(s) of conveying parties attack  No.   | hed?  Yes              | Internal Address:  |   |  |
|   |                        | Street Address: 2  | 0 St James's Street                                   |  |
| 3. Nature of conveyance:  |                        | City: London, SW   | /1A 1ES, UNITED KINGDOM                               |  |
| ⊠ Assignment  |                        | Additional names   | and addresses attached? ☐ Yes ☒ No                    |  |
| Security Agreement Change of  | of Name                | 8  |   |  |
| Other:  |                        | :  |   |  |
| Execution Date: 11/15/98 and 12/3/98  |                        | land the second of   |   |  |
| 4. Application Number(s) or Patent Numbers  |                        |  |   |  |
|   |                        |  |   |  |
| If this document is being filed together with a   | new application, the e | xecution date of the   | application is:                                       |  |
|   |                        |  |   |  |
| A. Patent Application No(s):  | B.                     | Patent No(s):  | 5,596,123   |  |
| Additional numbers attached? ☐ Yes ☒ No   |                        |  |   |  |
| <ol><li>Name and address of party to whom corre<br/>concerning document should be mailed:</li></ol>   | spondence              |  | of applications and patents involved 1                |  |
| Name: John Storella   | D ODEWILD              | 7. Total fee (37 C   | FR 3.41):\$40.00                                      |  |
| TOWNSEND AND TOWNSEND AN<br>Two Embarcadero Center, 8 <sup>th</sup> Floor   | ξ.                     | ☐ Enclosed   |   |  |
| San Francisco, California 94111-383<br>(415) 576-0200   | 34                     | ⊠ Charge any ad  | Iditional fees associated with this paper or during   |  |
| (113, 373 5255  |                        |  | his application, or credit any overpayment, to        |  |
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| 9. Statement and signature.   |                        |  |   |  |
| To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document. |                        |  |   |  |
| $( \cap \cap \cap )$  |                        |  |   |  |
| John Storallo   |                        |  |   |  |
| Name of Person Signing  Signature  January 7, 1999  Date  Date  |                        |  |   |  |
| Atty Reg. No. 32,944 Total number of pages including cover sheet, attachments and document 4  |                        |  |   |  |
|   |                        |  |   |  |
| 10. Change Correspondence Address to that of Part 5? ☐ Yes ☒ No   |                        |  |   |  |
| OMB No. 0651-0011 (exp. 4/94)   |                        |  |   |  |
| Do not detach this portion  Mail documents to be recorded with required cover to:   |                        |  |   |  |
| Pa/1999 SPURMS 00000154 201430 5596123 Asst. Commissioner for Patents   |                        |  |   |  |
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| FC:581 40.00 CH Washington, D.C. 20231  |                        |  |   |  |

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# **CERTIFICATE**

I, Jonathan Hugh Couchman, European Patent Attorney and Chartered Patent Attorney, of Harrison Goddard Foote, Belmont House, 20 Wood Lane, Leeds, LS6 2AE, United Kingdom, hereby certify that the attached document is a true copy of the original Assignment.

Signed \_\_\_\_\_

Jonathan Hugh Couchman

Date 23 Deserve 1998

PATENT REEL: 9711 FRAME: 0637 conden

## **ASSIGNMENT**

#### THIS ASSIGNMENT IS MADE BETWEEN

- 1. Thrombosis Research Institute, a United Kingdom company whose registered office is at 90 Fetter Lane, London EC4A 1EQ ("the Assignor") and
- Trigen Limited (Formerly known as Ellerman Pharmaceuticals Limited), a United Kingdom company of 20 St James's Street, London, SW1A 1ES ("the Assignee").

#### **RECITALS**

- A. The Assignor is the employer of the inventors of an invention ("the Invention") described and claimed in the Patents and Patent Applications brief particulars of which are set forth in the schedule hereto (hereinafter the "Patents and Applications").
- B. The Invention belongs to the Assignor insofar as Section 39 of the UK Patents Act 1977 is concerned having regard to the provisions of said Section.
- C. The right to be granted a patent for the Invention is believed to belong the Assignee pursuant to Section 7(2) of the UK Patents Act 1977 and a prior agreement between the parties.
- D. The Assignor is the registered proprietor of the Patents and Applications.
- E. For the avoidance of doubt, the Assignor has agreed to assign its entire right, title and interest in and to the Patents and Applications and the Invention (if any) to the Assignee for the sum of £100.00.

#### **OPERATIVE CLAUSES**

(1) In consideration of the sum of £100.00 now paid by the Assignee to the Assignor (receipt of which the Assignor hereby acknowledges) the Assignor with full title guarantee hereby assigns to the Assignee its entire right, title and interest in and to the Patents and Applications and the Invention and the full and exclusive benefit thereof including without limitation its entire right to apply for, be granted and hold a patent for the Invention in or for any part of the world and the right to priority.

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The Assignor hereby covenants with the Assignee that the Assignor will at the expense of the Assignee execute sign and do all such instruments applications documents acts and things as may reasonably be required by the Assignee for the Assignee or the nominee of the Assignee to enjoy the full benefit of the property and rights hereby assigned.

### SCHEDULE

| Application/Patent No              | Filing Date                                    | Title   |
|------------------------------------|--|---|
| 93309372.6 (all designated states) | 24th November 1993                             | Boronic Ester Synthesis   |
| 295076/1993                        | 25th November 1993                             | Boronic Ester Synthesis   |
| 5 596 123                          | 23rd November 1993                             | Boronic Ester Synthesis   |
|                                    | 93309372.6 (all designated states) 295076/1993 | 93309372.6 (all designated states)  24th November 1993 295076/1993 25th November 1993 |

IN WITNESS whereof the Assignor and Assignee have executed this assignment in accordance with their constitutions

| Thrombosis Research Institute       | Trigen Limited           |
|-------------------------------------|--------------------------|
| By: Davis Cross                     | By;                      |
| Name: Julie Groves                  | Name: Sanjay K Kakkar    |
| Title: Institute Operations Manager | Title: Managing Director |
| Date: 1917 1998                     | Date: 3/12/981998        |

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**RECORDED: 01/28/1999** 

PATENT REEL: 9711 FRAME: 0639