To the Honorable Commissioner of Patents and :

1. Name of conveying party(ies):
   
   SUGEN, INC.

   Additional name(s) of conveying party(ies) attached?  
   ☐ Yes ☒ No

2. Name and address of receiving party:
   
   Name: ASTA MEDICA AKTIENGESELLSCHAFT
   
   Street Address: Wissmullerstrasse 45, D-60314
   
   City: Frankfurt am Main  
   Country: Germany  
   Zip:

   Additional name(s) & address(es) attached?  
   ☐ Yes ☒ No

3. Nature of conveyance:
   
   ☒ Assignment
   
   Execution Date: 15 January 1999

4. Application number(s) or patent number(s):
   
   If this document is being filed together with a new application, the execution date of the application is:

   A. Patent Application No(s). 09/160,212
   B. Patent No(s):

   Additional numbers attached?  
   ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:
   
   Name: Richard J. Warburg
   
   Internal Address: LYON & LYON LLP
   633 West Fifth Street, Suite 4700
   Los Angeles, CA 90071-2066

6. Total number of applications and patents involved:
   
   ☒

7. Total fee (37 CFR 3.41):
   
   ☒ Enclosed
   
   ☐ Charge this Deposit Account if any additional fee is required

8. Deposit Account Number: 12-2475

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9. Statement and signature:
   
   To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

   ____________________________  Date: January 20, 1999
   Charles S. Berzman, Reg No. 38,077

Total number of pages including cover sheet: 4

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SD-1012441

PATENT
REEL: 9712 FRAME: 0556
ASSIGNMENT

WHEREAS, SUGEN, INC., a corporation organized and existing under and by virtue of the laws of the State of Delaware and having its principal place of business at 230 East Grand Avenue, South San Francisco, California 94080 (hereinafter referred to as “ASSIGNOR”), has invented and owns a certain invention entitled METHODS OF MODULATING SERINE/THREONINE PROTEIN KINASE FUNCTION WITH AZABENZIMIDAZOLE-BASED COMPOUNDS for which application for Letters Patent of the United States of America has been filed on September 23, 1998, assigned Serial No. 09/160,212; and

WHEREAS, ASTA MEDICA AKTIENGESELLSCHAFT a corporation organized and existing under and by virtue of the laws of Germany and having its principal place of business at Weismüllerstraße 45, D-60314 Frankfurt am Main, Germany (hereinafter referred to as “ASSIGNEE”), is desirous of acquiring the exclusive right, title and interest in, to and under said invention, related applications and patents and in, to and under any Patent or similar legal protection to be obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR hereby sells, assigns, transfers and sets over unto the said ASSIGNEE, its successors and assigns, the full and exclusive right, title and interest to said invention and to all Letters Patent or application or similar legal protection, not only in the United States and its territorial possessions, but in all countries foreign thereto to be obtained for said invention by said application, and to any continuation, division, renewal, substitute or
reissue thereof or any legal equivalent thereof in the United States or a foreign country for the full term or terms for which the same may be granted, including all priority rights under the International Convention; and ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent or any legal equivalent thereof to said ASSIGNEE, its successors and assigns, in accordance with this Assignment.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Agreement;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents in the United States or in any foreign country, which may be necessary or desirable to carry out the purposes thereof.
WITNESS my hand at South San Francisco, California, this 15th day of January, 1999.
SUGEN, INC.

STATE OF CALIFORNIA

COUNTY OF San Mateo

On 01/15/99 before me, Pauline Sanga, Notary Public, personally appeared

☑ personally known to me - OR - □ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s)-acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public in and for said County and State

Capacity(ies) Claimed by Signer(s)

Signer's Name: Peter Hirth

☑ Individual
☐ Corporate Officer
Title(s) Executive Vice-President & Chairman, Drug Research & Development
☐ Partner - [ ] Limited [ ] General
☐ Attorney-in-Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: __________________________

Signer is Representing:

SUGEN, INC.

SD-98999.1

RECORDED: 01/20/1999

PATENT
REEL: 9712 FRAME: 0559