

WRD 1.27.99

## 01-27-1999

#### OVER SHEET

100948642

Fo: Honorable Commissioner of Patents and Trademarks:

	Please record the attached original document of copy there it.
1.	Name of conveying parties  a) INCAT SYSTEMS SOFTWARE USA, I - C., a California Corporation
2.	Name and address of receiving parties: a) Name: Adaptec, Inc. b) Address 691 S. Milpitas Boulevard, Milpitas, CA 95035
1,	Nature of conveyance  Assignment Security Agreement Other Liceuse Agreement Execution Date: March 18, 1998
4.	Application Number or Patent Number: 08/7 0,072 filed February 28, 1995
	The title of the application is: COMPACT D SC RECORDING SYSTEM AND METHOD
\$,	Please send all correspondence concerning th < document (3:
	Albert S. Penilla MARTINE PENIL LA & KIM, LLP 830 West Evelyn L venue Sunnyvale, CA 9-086 Tel. No. (408) 745-6900 Fax No.: (408) 746-6901
Э.	Total number of applications and patents involved: 1
7.	Total fee (37 CFR 3.41): \$40.00
	Enclosed  Authorized to be charged to Deposit Account No 50-0805 (Order No. ADA PP062)
⊀.	To the best of my knowledge and belief, the thregoing information is true and correct and any attached copy is a true copy of the original document.
Date	January 22, 1999  Joent S. Penilla, Esq.  Jegistration No. 39,487
/ 01/26/1999 BMBUYEN 01 FC:561	

Attorney Docket No. ADAPP069

(Resided 01/96)

**PATENT REEL: 9712 FRAME: 0845** 



#### Office of the Secretary of State

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"INCAT SYSTEMS SOFTWAYE USA, INC.", A CALIFORNIA CORPORATION,

WITH AND INTO "ISS ACQUISITION CORP." UNDER THE NAME OF "INCAT SYSTEMS SOFTWARE USA, INC.", A CORPORATION ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE THE TWENTY-THIRD DAY OF AUGUST, A.D. 1995, AT 2 O'CLOCK P.M.

A CERTIFIED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECURDER OF DEEDS FOR RECORDING.

ENDORSED FILED In the office of the Secretary of State of the State of California

SEP 2 1 1995

BILL JONES, September of State

Edward J. Freel, Secretary of Sta

AUTHENTICATION:

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## CERTIFICATE OF MERGER OF

INCAT SYSTEMS SOFTWARE USA, INC.
(a California corporation)
WITH AND INTO
ISS ACQUISITION CORP.
(a Delaware corporation)

Pursuant to the provisions of Sections 251 and 252 of the General Corporation Law of the State of Delaware (the "GCL"), ISS ACQUISITION CORP., a Delaware corporation ("ISS") does hereby adopt the following Certificate of Merger for the purpose of merging INCAT SYSTEMS SOFTWARE USA, INC., a California corporation ("Incat") with and into ISS:

1. The name and state of incorporation : f each of the constituent corporations of the merger are as follows:

#### NAME

#### STATE OF INCORPORATION

INCAT SYSTEMS SOFTWARE US 4, INC. ISS ACQUISITION CORP.

California Delaware

- 2. The laws of the State of Delaware and the State of California permit such merger.
- 3. The name of the surviving corporation of the merger will be "Incat Systems Software USA, Inc." upon effectiveness of the merger in accordance with the Agreement and Plan of Reorganization (the "Plan"), and it is to be governed by the laws of the State of Dalaware. The Plan has been approved, adopted, certified, executed and acknowledged by each of the constituent corporations in accordance with Sections 251 and 252 of the GCL.
- 4. The approval of the Plan was culy authorized by all action required by the laws under which each of the constituent corporations was incorporated or organized and by their constituent documents.
- 5. The certificate of incorporation of ISS shall be the certificate of incorporation of the surviving corporation, except that the name of ISS shall be changed to "Incat Systems Software USA, Inc." The by-laws of ISS shall be the by-laws of the surviving corporation.
- 6. The executed Plan is on file at the principal place of business of the surviving corporation. The address of the principal place of business of the surviving corporation is 691 S. Milpitas Blvd., Milpitas, CA 95035.

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- 7. A copy of the Plan will be furrished by the surviving corporation, on request and without cost to any stockholder of any constituent corporation.
- 3. The authorized capital stock of Incat is 40,000,000 shares of Common Stock, no par value per share, and 20,000,000 shares of Preferred Stock, no par value per share.

23 IN WITNESS WHEREOF, the under signed has executed this Certificate of Merger as of the day of August, 1995.

155 ACQUISITION CORP. (a Delaware corporation)

Christopher G. O'Meara, Vice President

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### State of Delaware Office of the Secretary of State PAGE 1

I. EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF OWNERSHIP

WITE AND ANTO PADAPTEC, INC. " UNDER THE MAKE OF "ADAPTEC, INC. . A CORPORATION ORGANISED AND, EXISTING UNDER THE LAWS OF THE STATE OF DELAMARE; AS RECEIVED AND FILED IN THIS OFFICE THE NINETEENTE DAY OF MARCE, A.D. 1996, AT 6.0'CLOCK P.M.

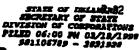
A FILED COPY OF THIS CERTIFICATE, HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.

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AUTHENTICATION: 8981553

Appendix D



#### CERTIFICATE OF OWNERSHIP AND MERGER MERGING INCAT SYSTEMS SOFTWARE USA, INC. INTO ADAPTEC, INC.

(Pursuant to Socion 253 of the Delaware Grass) Corporation Law)

WHEREAS, the Company lawfully owns all of the outstanding shares of capital stock of its subsidiary, Incat Systems Software USA, Inc., a Delaware corporation ("INCAT"); and

WHEREAS, the Company desires to merge INCAT into itself so that the Company is possessed of all the estate, property, rights, privileges and franchises of INCAT;

NOW, THEREFORE, BE IT RESOLVED, that the Company merge INCAT into itself, and it hereby does merge INCAT into the Company and assumes all of INCAT's liabilities;

PURTHER RESOLVED, that the president or a vice-president, and the secretary of treasurer of the Company be and they i creby are directed to make and execute a Certificate of Ownership and Merger setting forth a copy of the resolutions to merge INCAT into the Company, in which merger the Company will assume INCAT's liabilities and to file the same in the offices of the Secretary of State of the State of Delaware;

FURTHER RESOLVED, that the officers of the Company be and they hereby are authorized and directed to do all acts and things whatsoever, whether within or without the State of Delaware, which may be in anyway necessary or proper to effect said margar, including the appointment of the Secretary of State of the State of Delaware as agent for service of process.

IN WITNESS WHEREOF, said corporation has caused this certificate to be signed by its president and attested by its secretary, this 18 day of March, 1998.

AL APTEC, INC.

Title: Vice President & Treasurer

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# State California SECRETARY OF STATE

#### CORPORATION DIVISION

I, BILL JONES, Secretary of State of the State of California, hereby certify:

That the annexed transcript has been compared with the corporate record on f le in this office, of which it purports to be a copy, and that same is full, true and correct.

IN WITNESS WHEREOF, I execute this certificate and affix the Great Seal of the State of California this

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Secretary of State

TATE FORM CE-107 (REV. 8/95)

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**RECORDED: 01/27/1999** 

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