

# RECORDATION FORM COVER SHEET

## PATENTS ONLY

01-28-1999

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To the Honorable Commissioner of Patents and Trademarks Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Naoki Tsutsui

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ OtherExecution Date: January 7, 1999

## 2. Name and address of receiving party(ies)

Toshiba Lightec Kabushiki Kaisha,  
Name: d/b/a Toshiba Lighting & Technology  
Corporation  
Internal Address:

Street Address:

3-1, Higashishinagawa 4-chome  
Shinagawa-ku, Tokyo, Japan

Additional name(s) & address(es) attached? ☐ Yes ☒ No

JC530 U.S. PTO  
29/099223  
01/15/99

## 4. Application number(s) or patent number(s):

ATTORNEY DOCKET NO.: 4126x64If this document is being filed together with a new application, the execution date of the application is: January 7, 1999

## A. Patent Application No.(s)

29099223

## B. Patent No.(s)

1-15-99Additional number attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

LAFF, WHITESEL, CONTE &amp; SARET, LTD.

ATTORNEYS AT LAW

401 NORTH MICHIGAN AVENUE  
CHICAGO, ILLINOIS 60611-4212

Direct Telephone Calls to: J. Warren Whitesel  
at telephone No. (312) 661-2100. Fax (312) 661-0029

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231 on

Date \_\_\_\_\_  
TYPED NAME \_\_\_\_\_

6. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41).....\$ 40.00☒ Enclosed☐ Authorized to be charged to deposit account8. Deposit account number: 12-0064

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

J. Warren Whitesel  
Name of Person Signing

Signature

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2

Date

01/28/1999 MAR 11 1999 0000013 29099223

## ASSIGNMENT

FOR VALUE RECEIVED, the receipt of which is hereby acknowledged, the following inventor or inventors (hereinafter called "ASSIGNOR")

Naoki TSUTSUI

hereby assigns, transfers and sets over to:

Toshiba Lightec Kabushiki Kaisha, d.b.a.  
Toshiba Lighting & Technology Corporation

hereinafter called "ASSIGNEE"), the entire worldwide right, title and interest in and to the invention known as

Discharge Lamp

or which a United States Patent Application was executed on herewith and all rights and privileges under any Letters Patent which may be granted thereon, including all rights, if any, throughout the entire world to sue for all past infringements which may have occurred before the execution of this assignment.

(1) The ASSIGNOR agrees without charge to said ASSIGNEE but at its expense (a) to execute (i) all necessary papers to be used in connection with this application and any additional, continuing, or divisional applications, as the ASSIGNEE may deem necessary or expedient, (ii) all papers in connection with any interference or other legal or quasi-legal proceedings relating to this application or any additional, continuing, or divisional application thereof, (iii) all papers and documents which may be necessary in connection with the preparation and filing of any foreign applications or with making claims to priority rights granted under the provisions of the International Convention for Protection of Industrial Property or similar agreements; (b) to cooperate with ASSIGNEE in every way possible in obtaining evidence and going forward in any such proceedings; and (c) to perform all other affirmative acts which may be necessary or desirable to obtain a grant of a valid patent for said invention in any country throughout the world.

(2) ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks of the United States, and the appropriate officials in any other country, to issue any and all Letters Patent that may be granted upon any patent application or any additional, continuing or divisional applications thereof to the ASSIGNEE, its successors and assigns.

(3) ASSIGNOR hereby covenants and warrants that he has full right to convey the entire right, title and interest by this instrument, free of any encumbrances and that no other agreement has been or will be executed in conflict herewith.

(4) ASSIGNOR hereby grants to the law firm of LAFF, WHITESEL, CONTE & SARET of Chicago, Illinois, authority and power to insert on this instrument any further identification which may be necessary or desirable for purposes of recordation in the United States Patent and Trademark Office or a Patent Office of any foreign country.

Date Jan 1, 1999 Signature of Inventor Naoki TSUTSUI (S.E. L.)

Date \_\_\_\_\_ Signature of Inventor \_\_\_\_\_ (S.E. L.)

Date \_\_\_\_\_ Signature of Inventor \_\_\_\_\_ (S.E. L.)

Date \_\_\_\_\_ Signature of Inventor \_\_\_\_\_ (S.E. L.)

*The execution by the inventor(s) should be witnessed by at least two witnesses who sign here. If this Assignment is signed outside the United States, it should preferably be signed before a United States Consul.*

Witness \_\_\_\_\_

Witness \_\_\_\_\_

**PATENT**