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U.S. DEPARTMENT OF COMMERCE  
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To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Nobutaka WATANABE

Additional name(s) of conveying party(ies) attached?  
☐ yes ☒ no

## 2. Name and address of receiving party(ies)?

NEC CORPORATION  
7-1, Shiba 5-chome  
Minato-ku, Tokyo, JAPAN

## 3. Nature of Conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ OtherAdditional name(s) & address(es) attached?  
☐ yes ☒ no

Execution Date: March 7, 1997

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)  
08/813,432

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

SUGHRUE, MION, ZINN, MACPEAK & SEAS, PLLC  
2100 Pennsylvania Avenue, N.W.  
Suite 800  
Washington, D.C. 20037-3202

## 6. Total number of applications and patents involved:

ONE (1)

7. Total Fee (37 CFR 3.41): \$ 40.00  
☒ Enclosed. Please charge any underpayment in  
connection with this Assignment to Deposit Account No.  
19-4880.☐ Authorized to be charged to Deposit Account

## 8. Deposit Account No.

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## 9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Name J. Frank Osha Reg. No. 24,625Date 7/7/97

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PATENT  
REEL: 9718 FRAME: 0214

# Assignment

Whereas, ~~I/We~~ Nobutaka WATANABE of  
Tokyo, Japan

hereinafter called assignor(s), have invented certain improvements in

"OPTICAL RECEIVING APPARATUS"

and executed an application for Letters Patent of the United States of America therefor on  
March 7, 19 97; and

Whereas, NEC Corporation  
7-1, Shiba 5-chome, Minato-ku,  
Tokyo, Japan

(assignee), desires to acquire the entire right, title, and interest in the application and invention, and to  
any United States patents to be obtained therefor;

Now therefore, for valuable consideration, receipt whereof is hereby acknowledged,

~~I/We~~ the above named assignor(s), hereby sell, assign and transfer to the above named assignee, its  
successors and assigns, the entire right, title and interest in the application and the invention disclosed  
therein for the United States of America, including the right to claim priority under 35 U.S.C. §119, and  
~~I/we~~ request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth  
in the application to the assignee, its successors and assigns; and ~~I/we~~ will execute without further  
consideration all papers deemed necessary by the assignee in connection with the United States application  
when called upon to do so by the assignee.

~~I/We~~ hereby authorize and request my attorneys SUGHRUE, MION, ZINN, MACPEAK & SEAS of  
2100 Pennsylvania Avenue, N.W., Washington, D.C. 20037-3202 to insert here in parentheses (Application  
number 08/813,432, filed March 10, 1997) the filing date and application  
number of said application when known.

Date: March 7, 1997 s/ Nobutaka Watanabe  
Nobutaka WATANABE



Date: s/ \_\_\_\_\_

Date: s/ \_\_\_\_\_

WITNESS:

Date: March 7, 1997 s/ ~~Jan. 8, 1997~~ Tadayoshi Tanigawa

Date: March 7, 1997 s/ [Signature]

(Legalization not required for recording but is prima facie evidence of execution under 35 U.S.C. §261)