

RECC

02-01-1999



HEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Keith Wayne Goossen
Ashok V. Krishnamoorthy

MS
1-2-2-51

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: Lucent Technologies Inc.

Internal Address: _____

Street Address: 600 Mountain Avenue

City: Murray Hill State: NI ZIP: 07974-0636

Additional name(s) & address(es) attached? Yes No

PTO
09/235624
01/22/99

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other _____

Execution Date: January 22, 1999

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: January 22, 1999

A. Patent Application No.(s)

09 235624

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Docket Administrator

Internal Address: Lucent Technologies Inc.

Room 3C-512

Street Address: 600 Mountain Avenue

P. O. Box 636

City: Murray Hill State: NI ZIP: 07947-0636

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41)..... \$ 40.00

Enclosed

Authorized to be charged to deposit account

8. Deposit account number:

12-2325

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jeffery J. Brosemer

Name of Person Signing

Jeffery J. Brosemer

Signature

Date

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT

REEL: 9718 FRAME: 0618

02/01/1999 JMW/KMS 0000111 122325 09/235624 01 FC:58

ASSIGNMENT AND AGREEMENT

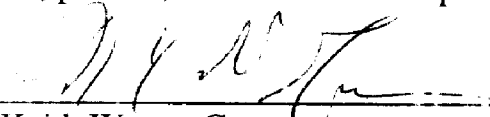
For value received, we, Keith Wayne Goossen, of Aberdeen in the County of Monmouth and State of New Jersey, and Ashok V. Krishnamoorthy, of Middletown in the County of Monmouth and State of New Jersey, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey, 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **CMOS-Compatible Optical Bench** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

We agree that, when requested, we shall, without charge to Lucent Technologies Inc but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.



Keith Wayne Goossen

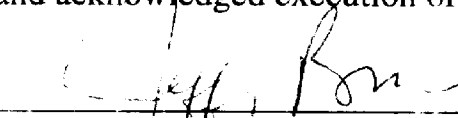
Date: 1/22/99

United States of America)

State of New Jersey) ss.:

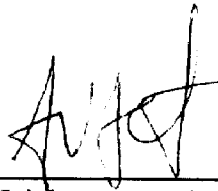
County of Monmouth)

On this 22nd day of January, 19 99, before me personally came Keith Wayne Goossen, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



Notary Public

JEFFERY JAMES BROSMER
A Notary Public of New Jersey
My Commission Expires August 10, 2000



Ashok V. Krishnamoorthy

Date: 1/22/99

United States of America)

State of New Jersey) ss.:

County of Montgomery)

On this 22nd day of January, 1999, before me personally came Ashok V. Krishnamoorthy, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



Notary Public

JEFFERY JAMES BROSEMER
A Notary Public of New Jersey
My Commission Expires August 10, 2000

Lucent Technologies Inc.
6900 Mountain Avenue (Room 3C-512)
P. O. Box 636
Murray Hill, New Jersey 07974-0636