

01-26-1999

FORM PTO-1596
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)



ER SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings ☐ ☐ ☐ ☐ ☐ ☐

100948862

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

DANA L. BRECHBILL
THOMAS C. BAKER

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

2. Name and address of receiving party(ies)

Name: CARRIER CORPORATION

Internal Address: _____

INTELLECTUAL PROPERTY DEPARTMENT

Street Address: CARRIER WORLD HEADQUARTERS

ONE CARRIER PLACE BLDG.

City: FARMINGTON State: CT ZIP: 06034

Additional name(s) & address(es) attached? ☐ Yes ☐ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____

Execution Date: DECEMBER 14, 1998

4. Application number(s) or patent number(s): 29/097,730

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

2909 7730

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: CARRIER CORPORATION/WILLIAM HABELT

Internal Address: INTELLECTUAL PROPERTY DEPT.

Street Address: CARRIER PARKWAY

City: SYRACUSE State: NY ZIP: 13221

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

03-0835

(Attach duplicate copy of this page if paying by deposit account)
(ORDER NO: 513)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

COLLEEN KUKLINSKI

Name of Person Signing

Signature

JANUARY 15, 1999

Date

(ATTY DOCKET NO: 9379) Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

01/26/1999 SBURNS 00000096 030635 29097730

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40.00 CH

PATENT
REEL: 9720 FRAME: 0673

ASSIGNMENT

IN CONSIDERATION of One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, We, being the inventors of a certain invention as fully set forth and described in a patent application entitled THERMOSTAT (Carrier Docket No. 9379)

executed by: Dana L. Brechbill
residing at: 10525 Hoffman Road, Fort Wayne, Indiana 46816

and by: Thomas C. Baker
residing at: 5907 Arbor Avenue, Fort Wayne, Indiana 46809

being the owners of all right, title and interest in and to the invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned, do hereby sell, assign, transfer and set over unto CARRIER CORPORATION, a Delaware corporation, with an office and principal place of business at Carrier World Headquarters Building, One Carrier Place, Farmington, Connecticut 06034-4015, its successors, assigns and legal representatives, the entire right, title and interest in and to said invention and application (including all inventions disclosed therein) in and for all countries, and all divisions, reissues, continuations, and extensions thereof. We hereby authorize and request the Commissioner of Patents to issue the said Letters Patent to said CARRIER CORPORATION, as Assignee.

AND we hereby covenant and agree that we shall at any time, upon request, without further compensation, testify in any interference proceedings, execute all divisional, continuing or reissue applications, make all rightful oaths, and generally execute and deliver any and all papers or instruments that may be necessary or desirable to secure to said Assignee the full enjoyment of the rights and properties herein conveyed or intended to be conveyed.

IN WITNESS WHEREOF, we have hereunto set our hand and seal,
this 14th day of December, 1998.

Dana L. Brechbill
Dana L. Brechbill

Thomas C. Baker
Thomas C. Baker

STATE OF INDIANA)

COUNTY OF Indiana) SS:

Rose E. Flora
Notary Public, State of Indiana
Huntington County
My Commission Exp. 10/27/2000

On this 14th day of December, 1998, before me the subscriber,
personally came **Dana L. Brechbill**, to me known, and known to me to be the
person described in and who executed the foregoing instrument, and duly
acknowledged to me that he executed the same as his free act and deed.

Rose E. Flora
Notary Public

STATE OF INDIANA)

COUNTY OF Indiana) SS:

Rose E. Flora
Notary Public, State of Indiana
Huntington County
My Commission Exp. 10/27/2000

On this 14th day of December, 1998, before me the subscriber,
personally came **Thomas C. Baker**, to me known, and known to me to be the
person described in and who executed the foregoing instrument, and duly
acknowledged to me that he executed the same as his free act and deed.

Rose E. Flora
Notary Public

The patent application referred to in the foregoing instrument was filed in the United States Patent
and Trademark Office on 12/11, 1998, and was assigned Serial Number 29/097,730.

William F. White
William F. White
Reg. No. 25,943

1-15-99
Date