

02-01-1999

SHEET

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To the Honorable Commissioner of

1. Name of conveying party  
**WOLFGANG BAUER**  
**KLAUS DELPY**  
**GERT NAGL**  
**LEONHARD UNVERDORFEN**

100953554

the attached original documents or copy thereof  
 and address of receiving party:

**CLARIANT GmbH**  
**BRUENINGSTRASSE 50**  
**D-65929 FRANKFURT**  
**GERMANY**

Additional name(s) of conveying party (ies)  
 attached? ( ) Yes (X) No

2. Nature of conveyance:  
 ( ) Assignment ( ) Merger  
 ( ) Security Agreement ( ) Change of Name  
 ( ) Other  
 Execution Date: March 11, 1998

4. Application number: **1-28-99**

U.S. Application Serial No. 09/021,981 filed February 11, 1998

Additional numbers attached? ( ) Yes (X) No

5. Name and address of party to whom  
 correspondence concerning document should be  
 mailed:

**CLARIANT CORPORATION**  
**Industrial Property Department**  
**1431 Chesapeake Drive**  
**Charlotte, North Carolina 28216**

6. Total number of applications and patents  
 involved: **1**

7. Total fee (37 CFR 1.401) ... \$40.00  
 ( ) Enclosed  
 (X) Authorized to be charged to deposit  
 account.

01/29/1999 JSHADAZZ 00000102 032060 09021981

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3. Deposit account number: 03-2040  
 (Attach duplicate copy of this page  
 paying by deposit account)

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9. Statement and signature. To the best of my knowledge and belief, the foregoing information  
 is true and correct and any attached copy is a true copy of the original document.

**Scott E. Hanf, #38,906**  
 Name of Person Signing

Signature

**January 25, 1999**  
 Date

Total number of pages including cover sheet (including duplicate copy), attachment, and  
 document: **4**

Mail documents to be recorded with required cover sheet information to:

**Assistant Commissioner for Patents**  
**Box Assignments**  
**Washington, D.C. 20531**

405

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 Project (0651-0011), Washington, D.C. 20503.

**CERTIFICATE OF MAILING (37 CFR 1.8a)**

hereby certify that this correspondence (along with any paper referred to as being attached or enclosed) is, on the date shown  
 below, being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to the  
 Assistant Commissioner for Patents, Box Assignment, Washington, D.C. 20531.

**Kathleen A. Jacobs**  
 Kathleen A. Jacobs

**PATENT** January 25, 1999

**REEL: 9721 FRAME: 0777**

## ASSIGNMENT

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor has sold and assigned, and by these presents hereby sells and assigns, unto

**CLARIANT GmbH**  
Brueningstrasse 50  
D-65929 Frankfurt  
Federal Republic of Germany

(hereinafter ASSIGNEE) all right, title and interest for the United States, its territories and possessions in and to this invention relating to

### **PROCESS FOR PREPARING N-ALKYLCARBAZOLES**

as set forth in this United States Patent Application

- ☐ executed concurrently herewith
- ☐ executed on
- ☐ Serial No. ...., filed .....

in and to said United States Patent Application including any and all divisions or continuations thereof and in and to any and all Letters Patent of the United States which may issue on any such application or for said invention, including any and all reissues or extensions thereof, to be held and enjoyed by said ASSIGNEE, its successors, legal representatives or assigns to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made;

Each of the undersigned hereby authorises and requests the Commissioner of Patents and Trademarks to issue any and all such Letters Patent to said ASSIGNEE, its successors or assigns in accordance herewith;

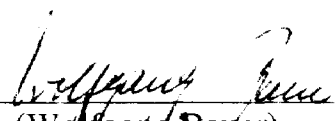
Each of the undersigned warrants and covenants that he/she has the full and unencumbered right to sell and assign the interests herein sold and assigned and that he/she has not executed and will not execute any document or instrument in conflict herewith;

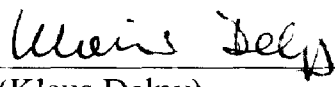
Each of the undersigned further covenants and agrees he/she will communicate to said ASSIGNEE, its successors, legal representatives or assigns all information known to him/her relating to said invention or patent application and that he/she will execute and deliver any papers, make all rightful oaths, testify in any legal proceedings and perform all other lawful acts deemed necessary or desirable by said ASSIGNEE, its successors, legal representatives or assigns to perfect title to said invention, to said application including divisions and continuations thereof and to any and all Letters Patent which may be granted therefor or thereon, including reissues or extensions, in said ASSIGNEE, its successors or assigns, or to assist said ASSIGNEE, its successors, legal representatives or assigns in obtaining, reissuing or enforcing Letters Patent of the United States for said invention;

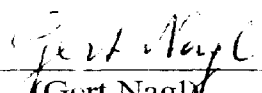
Each of the undersigned hereby grants the firm of CLARIANT CORPORATION the power to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

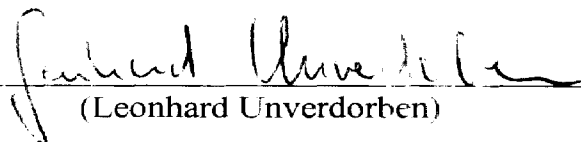
Signed this 11th day of March 1998.

**NAMES AND SIGNATURES OF INVENTORS:**

  
(Wolfgang Bauer)

  
(Klaus Delpy)

  
(Gert Nagl)

  
(Leonhard Unverdorben)