

02-01-1999



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To the Honorable Commissioner of Patents and Trademarks, Ple

1. Name of conveying party(ies):

Sterritt R. Fuller, Gregory W. Haggquist, Laura L.  
Kierstein, Ronald H. Levin, Scott T. Mosier,  
Jennifer K. Neely, and Catherine M. Randolph

2. Name and address of receiving party(ies):

Lexmark International, Inc.

Internal Address: \_\_\_\_\_

Additional name(s) of conveying parties attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Street Address: 740 West New Circle Road

City: Lexington State: KY ZIP: 40550

Execution Date: Dec. 17, 1998; Dec. 17, 1998; Dec. 17,  
1998; Dec. 16, 1998; Dec. 17, 1998; Dec. 17, 1998; and  
Dec. 17, 1998, respectivelyAdditional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: Dec. 17, 1998; Dec. 17, 1998 Dec. 17, 1998 Dec. 13,  
1998; Dec. 17, 1998; Dec. 17, 1998; and Dec. 17, 1998, respectively

A. Patent Application No.(s)

B. Patent No.(s)

To be assigned

09219331

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom correspondence concerning document should  
be mailed:

Name: Holly D. Kozlowski

Internal Address: Dinsmore &amp; Shohl LLP

Street Address: 1900 Chemed Center  
255 E. Fifth Street

City Cincinnati State: OH ZIP 45202

6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 3.41)... \$ 40.00

- ☒ Enclosed *YOB*  
☐ Authorization to be charged to deposit account

8. Deposit Account Number:

12-1213

(Attach duplicate copy of this page if paying by deposit account)

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Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a  
true copy of the original document.

Holly D. Kozlowski (Reg. No. 30,468)

Name of Person Signing, Registration Number

Signature

Date

Total Number of pages including cover sheet: [5]

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*Jeffrey A. Strubbe*PATENT  
REEL: 9721 FRAME: 0990

# Assignment

Whereas, we

|                   |                              |                              |
|-------------------|------------------------------|------------------------------|
| Inventor and City | <b>Sterritt R. Fuller</b>    | <b>of Longmont</b>           |
| County of         | <b>Boulder</b>               | <b>and State of Colorado</b> |
| Inventor and City | <b>Gregory W. Haggquist</b>  | <b>of Longmont</b>           |
| County of         | <b>Boulder</b>               | <b>and State of Colorado</b> |
| Inventor and City | <b>Laura L. Kierstein</b>    | <b>of Johnstown</b>          |
| County of         | <b>Weld</b>                  | <b>and State of Colorado</b> |
| Inventor and City | <b>Ronald H. Levin</b>       | <b>of Boulder</b>            |
| County of         | <b>Boulder</b>               | <b>and State of Colorado</b> |
| Inventor and City | <b>Scott T. Mosier</b>       | <b>of Boulder</b>            |
| County of         | <b>Boulder</b>               | <b>and State of Colorado</b> |
| Inventor and City | <b>Jennifer K. Neely</b>     | <b>of Arvada</b>             |
| County of         | <b>Jefferson</b>             | <b>and State of Colorado</b> |
| and               |                              |                              |
| Inventor and City | <b>Catherine M. Randolph</b> | <b>of Niwot</b>              |
| County of         | <b>Boulder</b>               | <b>and State of Colorado</b> |

have invented certain improvements in

Title **METHODS OF MAKING CHARGE GENERATION LAYERS CONTAINING CHARGE  
TRANSPORT COMPOUND, AND PHOTOCONDUCTORS CONTAINING THE SAME**

and executed, respectively, a United States patent application therefor on

Date That  
Inventors  
Signed The  
Declaration

|     |              |         |     |              |         |     |              |         |
|-----|--------------|---------|-----|--------------|---------|-----|--------------|---------|
| (1) | <u>12/17</u> | , 1998, | (2) | <u>12/17</u> | , 1998, | (3) | <u>12/17</u> | , 1998, |
| (4) | <u>12/16</u> | , 1998, | (5) | <u>12/17</u> | , 1998, | (6) | <u>12/17</u> | , 1998, |
| (7) | <u>12/17</u> | , 1998. |     |              |         |     |              |         |

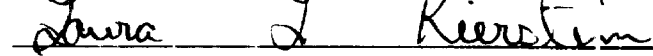
Whereas, **LEXMARK INTERNATIONAL, INC.**, a corporation of Delaware, having a place of business at Lexington, Kentucky 40550 (hereinafter called **LEXMARK**), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

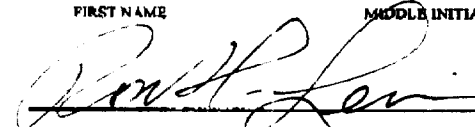
Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to LEXMARK, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents and Trademarks to issue any Letters Patent granted upon the inventions set forth in said applications to LEXMARK, their successors and assigns; and we hereby agree that LEXMARK may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by LEXMARK.

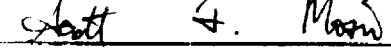
**Signed and sealed**

City and (1) at **Boulder, CO**  SIGNATURE  
Date on 12/17, 1998, ( **Sterritt** **R.** **Fuller** ) INVENTOR  
FIRST NAME MIDDLE INITIAL LAST NAME

City and (2) at **Boulder, CO**  SIGNATURE  
Date on 12/17, 1998, ( **Gregory** **W.** **Hagquist** ) INVENTOR  
FIRST NAME MIDDLE INITIAL LAST NAME

City and (3) at **Boulder, CO**  SIGNATURE  
Date on 12/17, 1998, ( **Laura** **L.** **Kierstein** ) INVENTOR  
FIRST NAME MIDDLE INITIAL LAST NAME

City and (4) at **Boulder, CO**  SIGNATURE  
Date on 12/16, 1998, ( **Ronald** **H.** **Devin** ) INVENTOR  
FIRST NAME MIDDLE INITIAL LAST NAME

City and (5) at **Boulder, CO**  SIGNATURE  
Date on 12/17, 1998, ( **Scott** **T.** **Mosier** ) INVENTOR  
FIRST NAME MIDDLE INITIAL LAST NAME

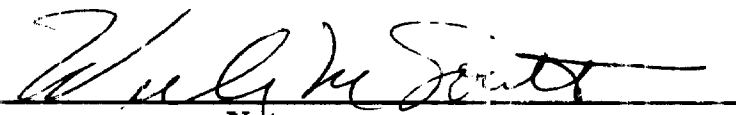
City and (6) at **Boulder, CO**  SIGNATURE  
Date on 12/17, 1998, ( **Jennifer** **K.** **Neely** ) INVENTOR  
FIRST NAME MIDDLE INITIAL LAST NAME

City and (7) at **Boulder, CO**  SIGNATURE  
Date on 12/17, 1998, ( **Catherine** **M.** **Randolph** ) INVENTOR  
FIRST NAME MIDDLE INITIAL LAST NAME

State of Colorado )  
County of Boulder )

INVENTOR (1)

On this 17<sup>th</sup> day of December 1998, before me personally came  
**Sterritt R. Fuller** to me personally known, and known to me to be the person described  
in and who executed the foregoing assignment, and he acknowledged to me that he executed  
the same as his free act and deed.

  
Notary

State of Colorado )  
County of Boulder )

INVENTOR (2)

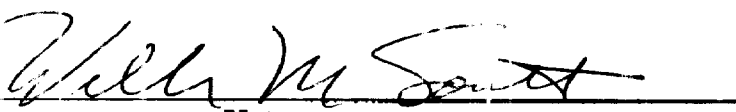
On this 17<sup>th</sup> day of December 1998, before me personally came  
**Gregory W. Haggquist** to me personally known, and known to me to be the person described  
in and who executed the foregoing assignment, and he acknowledged to me that he executed  
the same as his free act and deed.

  
Notary

State of Colorado )  
County of Boulder )

INVENTOR (3)

On this 17<sup>th</sup> day of December 1998, before me personally came  
**Laura L. Kierstein** to me personally known, and known to me to be the person described  
in and who executed the foregoing assignment, and she acknowledged to me that she executed  
the same as her free act and deed.

  
Notary

State of Colorado )  
County of Boulder )

INVENTOR (4)


On this 16<sup>th</sup> day of December 1998, before me personally came  
**Ronald H. Levin** to me personally known, and known to me to be the person described  
in and who executed the foregoing assignment, and he acknowledged to me that he executed  
the same as his free act and deed.

  
Notary

State of Colorado )  
County of Boulder )

INVENTOR (5)

On this 17<sup>th</sup> day of December 1998, before me personally came  
**Scott T. Mosier** to me personally known, and known to me to be the person described  
in and who executed the foregoing assignment, and he acknowledged to me that he executed  
the same as his free act and deed.

  
Notary

State of Colorado )  
County of Boulder )

INVENTOR (6)

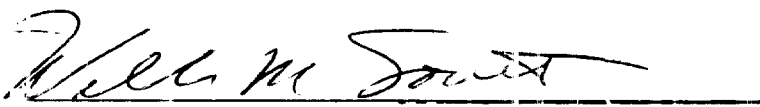
On this 17<sup>th</sup> day of December 1998, before me personally came  
**Jennifer K. Neely** to me personally known, and known to me to be the person described  
in and who executed the foregoing assignment, and she acknowledged to me that she executed  
the same as her free act and deed.

  
Notary

State of Colorado )  
County of Boulder )

INVENTOR (7)

On this 17<sup>th</sup> day of December 1998, before me personally came  
**Catherine M. Randolph** to me personally known, and known to me to be the person described  
in and who executed the foregoing assignment, and he acknowledged to me that he executed  
the same as his free act and deed.

  
Notary