

01-29-1999

Form 159  
1-31-92

SHEET

U.S. Department of Commerce  
Patent and Trademark Office

Docket No. 7070-0011.10

100953726

PATENTS ONLY

1-28-99

To the Honorable Assistant Commissioner for Patents: Please record the attached  
original documents or copy thereof.

1. Name of conveying party(ies):

John H. Musser  
John M. Fidler

Add'l names of conveying parties attached?

☐ Yes ☒ No2. Name/address of receiving  
party(ies):

Pharmagenesis, Inc.

3183 Porter Drive  
Palo Alto, CA 943043. Nature of conveyance: ☒ Assignment☐ Merger ☐ Security Agreement ☐ Other☐ Change of Name ☐ Reassignment4. Date(s) of execution: 01/06/99Add'l names of receiving parties  
attached? ☐ Yes ☒ No

5. Application number(s) or patent number(s):

If this document is being filed together with new application,  
the execution date of application is \_\_\_\_\_

A. Patent Application No.(s)

09/142,128 filed 09/01/98

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No6. Name and address of party to whom  
correspondence concerning document  
should be mailed:Dehlinger & Associates  
P.O. Box 60850  
Palo Alto, CA 94306  
(650) 324-08807. Total No. of applications and pat-  
ents involved:one (1)8. Total fee (37 CFR §3.41): \$40.00☒ Enclosed☐ Charge to Acct. No. 04-05319. Total number of pages, including  
cover sheet, attachments and docu-  
ment 5.

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10. Statement and Signature:

To the best of my knowledge and belief, the foregoing information  
is true and correct and any attached copy is a true copy of the  
original document.

LeeAnn Gorthey

Name of Person Signing

Signature

Date

99

01/28/1999 DMGUYEN 00000305 09142128

01 FC:581

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PATENT  
REEL: 9722 FRAME: 0840

01-29-1999

Form 1595  
1-31-92

Docket No. 7070-0011.10



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6. Name and address of party to whom correspondence concerning document should be mailed:

Dehlinger & Associates  
P.O. Box 60850  
Palo Alto, CA 94306  
(650) 324-0880

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LeeAnn Gorthey

Name of Person Signing

Signature

1-21-99

Date

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01 FC:581

40.00 DP

PATENT  
REEL: 9722 FRAME: 0841

## Assignment

THIS ASSIGNMENT, by You Mao Qi, John H. Musser, and John M. Fidler (hereinafter referred to as the Assignors), residing at Los Altos, California, San Carlos, California, and Oakland, California, respectively, witnesseth:

WHEREAS, the said Assignors have invented certain new and useful improvements in Immunosuppressive Compounds and Methods, set forth in an application for Letters Patent of the United States, bearing Serial No. 09/142,128 and filed on September 1, 1998;

WHEREAS, Pharmagenesis, Inc., a corporation duly organized under and pursuant to the laws of Delaware, and having its principal place of business at 3183 Porter Drive, Palo Alto, California, 94304 (hereinafter referred to as the Assignee), is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon;

NOW, THEREFORE, for valuable consideration, the receipt of which is hereby acknowledged, the said Assignors have sold, assigned, transfer and set over, and by these presents does sell, assign, transfer and set over, unto the said Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the said Assignee, for its own use and behoof and the use and behoof of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the Assignor, had this sale and assignment not been made.

AND, for the same consideration, the said Assignors hereby covenant and agree to and with the said Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, the said Assignors are the joint and lawful owners of the entire right, title and interest in and to the said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that the said Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND, for the same consideration, the said Assignors hereby covenant and agree to and with the said Assignee, its successors, legal representatives and

assigns, that the said Assignors will, whenever counsel of the said Assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation, or continuation-in-part of any application for Letters patent, or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said Assignees, their successors, legal representatives and assigns, but at the cost and expense of the said Assignee, its successors, legal representatives and assigns.

AND, the said Assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to the said Assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use and behoof of the said assignee, its successors, legal representatives and assigns.

Date: \_\_\_\_\_

\_\_\_\_\_  
You Mao Qi

Date: Jan. 6, 1999

\_\_\_\_\_  
*John H. Musser*  
John H. Musser

Date: 1/6/99

\_\_\_\_\_  
*John M. Fidler*  
John M. Fidler

WITNESSES TO SIGNATURE BY You Mao Qi

1.

(Signature)

(date)

(Print Name)

Street Address

City, State &amp; Zip

2.

(Signature)

(date)

(Print Name)

Street Address

City, State &amp; Zip

WITNESSES TO SIGNATURE BY John H. Musser

1.

(Signature)

(date)

(Print Name)

7375 Rollinsdell Dr. #60

Street Address

Cupertino, CA 95014

City, State &amp; Zip

2.

(Signature)

(date)

(Print Name)

1153 St. Timothy place #102

Street Address


CONCORD, CA 94518

City, State &amp; Zip

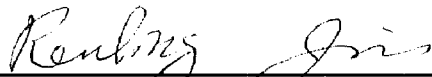
\* \* \* \* \*

Note: May be notarized in lieu of witnessing.

WITNESSES TO SIGNATURE BY John M. Fidler

1.   
 (Signature)  
KE LI  
 (Print Name)  
7375 Rollingdell Dr. #62  
 Street Address  
Cupertino, CA 95014  
 City, State & Zip

1-6-99  
 (date)

2.   
 (Signature)  
Renling Jiao  
 (Print Name)  
1153 St. Timothy place #102  
 Street Address  
CONCORD, CA 94518  
 City, State & Zip

1-6-99  
 (date)

\* \* \* \* \*

Note: May be notarized in lieu of witnessing.

STATE OF CALIFORNIA )  
 )  
 COUNTY OF SANTA CLARA )

On \_\_\_\_\_ before me, \_\_\_\_\_,  
 personally appeared You Mao Qi, John H. Musser, and John M. Fidler, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

\_\_\_\_\_  
 Signature

(Seal)

\* \* \* \* \*

Note: May be witnessed in lieu of notarization.