

02-02-1999



Attorney Docket No. 002

FORM PTO-1595  
(Rev. 6-93)

100954483

U.S. Department of Commerce  
Patent and Trademark Office

To the Honorable Asst. Commissioner for Patents. Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

GILBERT S. LAROCYA, A. ADAM SHARKAWY,  
MARK J. FOLEY

2. Name and address of receiving party(ies)

Name: VENTRICA, INC.

Internal Address:

Street Address: 976 Hamilton Avenue

City: Menlo Park State: California ZIP: 94025

Additional name(s) of conveying parties attached? ☐ Yes☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement ☐ Change of Name☐ OtherAdditional names and addresses attached? ☐ Yes ☒ No

Execution Date: DECEMBER 3, 1998

4. Application Number(s) or Patent Numbers.

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 09 170,793

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name

TOWNSEND AND TOWNSEND AND CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
(415) 576-0200

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): -----\$40.00

☐ Enclosed ☒ Charge Fees to Deposit Account☒ Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account.

8. Deposit account number: 20-1430

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.

JAMES M. HESLIN

Name of Person Signing

Signature

Date

Atty Reg. No. 29,541

Total number of pages including cover sheet, attachments and document 3

10. Change Correspondence Address to that of Part 5?

☒ Yes ☐ No

OMB No. 0651-0011 (exp. 4/94)

Do not detach this portion

Mail documents to be recorded with required cover to:

Asst. Commissioner for Patents  
Box: Assignments  
Washington, D.C. 20231

PATENT

REEL: 9724 FRAME: 0752

**ASSIGNMENT OF PATENT APPLICATION**

JOINT

WHEREAS, GILBERT S. LAROYA, of 4635 Armour Drive, Santa Clara, California, 95054, A. ADAM SHARAWY, of 731 Canyon Road, Redwood City, California 94062, and MARK J. FOLEY, of 1151 Hobart Street, Menlo Park, California 94304, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: PLACING A GUIDE MEMBER INTO A  
HEART CHAMBER THROUGH A  
CORONARY VESSEL AND  
DELIVERING DEVICES FOR PLACING  
THE CORONARY VESSEL IN  
COMMUNICATION WITH THE HEART  
CHAMBER

Date(s) of execution of Declaration December 3, 1998

Filing Date: OCTOBER 13, 1998

Application No.: 09/007,793; and

WHEREAS, VENTRICA, INC., a corporation of Delaware, located at 976 Hamilton Avenue, Menlo Park, California 94025, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letter Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to test title thereto in Assignee, or Assignee's successors and assigns

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

12/3/90  
Dated

Gilbert S. Laroya  
GILBERT S. LAROYA

12/3/98  
Dated

A. Adam Sharkawy  
A. ADAM SHARKAWY

12/3/99  
Dated

Mark J. Foley  
MARK J. FOLEY