

02-03-1999



100956290

Docket No.: 98AB044

COVER SHEET

U.S. DEPARTMENT OF COMMERCE

ILY

Patent and Trademark Office

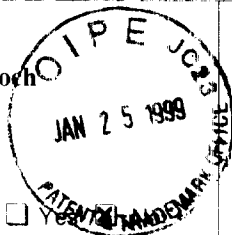
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To the Honorable Commissioner of Patents and Trademarks Please record the attached original documents or copy thereof

1 Name of conveying party(ies):

Mark A. Kappel
Richard W. Waltz
Richard G. Smith
Jeffrey R. Annis

Christopher J. Wieloch



2. Name and address of receiving party(ies):

Name: Allen-Bradley Company, LLC

Internal Address: Intellectual Property Dept. 704P

Additional name(s) of conveying party(ies) ☐ Yes ☒ No

3 Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Street Address: 1201 South Second Street

City: Milwaukee

State: WI

ZIP: 53204

Execution Date November 30, 1998

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4 Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No. (s)

B. Patent No.(s)

USSN

09/165,011

Filed

9/30/98

Additional numbers attached? ☐ Yes ☒ No

5 Name and address of party to whom correspondence concerning document should be mailed:

Name John J. Horn

Internal Address: Intellectual Property Dept. 704P

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):..... \$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☒ Authorized to be charged to deposit account

02/01/1999 ANDHAWNE 00000009 010857 09165011

03 FC:581 +0.00 CH

Street Address: 1201 South Second Street

8. Deposit account number:

01-0857

City: Milwaukee

State: WI

ZIP: 53204

DO NOT USE THIS SPACE

9 Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John M. Miller, Reg. No. 38,560

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 7

PATENT

REEL: 9727 FRAME: 0855

ASSIGNMENT OF INVENTION
TO
ALLEN-BRADLEY COMPANY, LLC

WHEREAS, Mark A. Kappel of Brookfield, State of Wisconsin, Richard W. Waltz of Franklin, State of Wisconsin, Richard G. Smith of Caledonia, State of Wisconsin, Jeffrey R. Annis of Waukesha, State of Wisconsin and Christopher J. Wieloch of Brookfield, State of Wisconsin (hereinafter sometimes referred to as "said inventors"), have invented certain new and useful improvements in ELECTRICAL CONTACTOR AND METHOD FOR CONTROLLING SAME which invention (hereinafter sometimes referred to as "said invention") has been disclosed to and filed, under Docket No. 98AB044, in the patent files of inventors' employer, ALLEN-BRADLEY COMPANY, LLC, and which invention is covered by a patent application, executed and filed on September 30, 1998 as USSN 09/165,011 in the Patent and Trademark Office for the United States of America.

NOW THEREFORE, it is agreed that, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, said inventors have sold, assigned and transferred and by these present do sell, assign and transfer unto ALLEN-BRADLEY COMPANY, LLC, the entire right, title and interest in said invention in the United States of America and all foreign countries and in and to said U.S. patent application and all divisions, substitutions, continuations and continuations-in-part thereof, and in all United States Letters Patents which may be granted thereon and all reissues and extensions thereof, and in all priority rights under the International Convention for the Protection of Industrial Property for every member country, and in all applications for patents (including related rights such as utility-model registrations, inventor's certificates, and the like) heretofore or hereafter filed for said invention in any foreign countries, and in all patents (including all extensions, renewals and reissues thereof) granted for said invention; said applications and patents to be held and enjoyed by the said ALLEN-BRADLEY COMPANY, LLC, for its own use and for the use of its successors and assigns, to the full end of the term for which Letters Patent on same may be granted as fully and entirely as the same would have been held by said inventors had this assignment and sale not been made

FURTHER, said inventors hereby agree to sign all lawful papers necessary or helpful in obtaining patents on said invention or in filing any divisional, continuation, substitution, or reissue patent application or re-examination proceedings on said invention whether in the United States of America or in any foreign country.

Executed at Milwaukee, County of Milwaukee and State of Wisconsin this 30 day of

NOVEMBER, 1998.

Mark A. Kappel

Mark A. Kappel, Inventor

State of Wisconsin)

)

County of Milwaukee)

On this 30 day of NOVEMBER, 1998, before me personally appeared Mark A. Kappel to me known to be the person whose name is subscribed to the foregoing instrument, and acknowledge to me that he executed the same.

Kathleen B. Heberlein

Notary Public

My Commission Expires: 11/18/01

KATHLEEN B. HEBERLEIN

STATE OF WISCONSIN

MILWAUKEE COUNTY

MY COMMISSION EXPIRES 11/18/01

(SEAL)

Executed at Milwaukee, County of Milwaukee and State of Wisconsin this _____ day of

11/18/98, 1998.

Richard W. Waltz
Richard W. Waltz/Inventor

State of Wisconsin)

County of Milwaukee)

On this 11 day of November, 1998, before me personally appeared Richard W. Waltz to me known to be the person whose name is subscribed to the foregoing instrument, and acknowledge to me that he executed the same.

Kathleen B. Heberlein
Notary Public

My Commission Expires: 11/18/01

(SEAL)

KATHLEEN B. HEBERLEIN
STATE OF WISCONSIN
MILWAUKEE COUNTY
MY COMMISSION EXPIRES: 11/18/01

Executed at Milwaukee, County of Milwaukee and State of Wisconsin this 30th day of
November, 1998.

Richard G. Smith
Richard G. Smith, Inventor

State of Wisconsin)
)
County of Milwaukee)

On this 30th day of November, 1998, before me personally appeared
Richard G. Smith to me known to be the person whose name is subscribed to the foregoing
instrument, and acknowledge to me that he executed the same.

Kathleen B. Heberlein
Notary Public
My Commission Expires: 11/18/01

(SEAL)

KATHLEEN B. HEBERLEIN
STATE OF WISCONSIN
MILWAUKEE COUNTY
MY COMMISSION EXPIRES: 11/18/01

Executed at Milwaukee, County of Milwaukee and State of Wisconsin this 30 day of

November, 1998

Jeffrey R. Annis
Jeffrey R. Annis, Inventor

State of Wisconsin)
)
County of Milwaukee)

On this 30 day of November, 1998, before me personally appeared Jeffrey R. Annis to me known to be the person whose name is subscribed to the foregoing instrument, and acknowledge to me that he executed the same.

Kathleen B. Heberlein
Notary Public

My Commission Expires: 11/1/2001

(SEAL)

KATHLEEN B. HEBERLEIN
STATE OF WISCONSIN
MILWAUKEE COUNTY
MY COMMISSION EXPIRES: 11/18/01