

RE  
PATETO THE ASSISTANT COMMISSIONER OF PATENT  
SIR: PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS ON COPY THEREOF.

100956538

## 1. NAME OF CONVEYING PARTY(IES) (ASSIGNOR(S)):

1. Gerardus J.M. GRUTER  
3. Richard GREEN2. Johannes A.M. VAN BEEK  
4. Edward G. IJPEIJ5.  
6.  
7.6.  
8.

JAN 19 1999

1-19-99

ADDITIONAL NAME(S) OF CONVEYING PARTY(IES) ATTACHED? ☐ YES ☒ NO

## 2. PARTY(IES) (ASSIGNEE(S)) RECEIVING INTEREST:

NAME: DSM N.V.

ADDRESS: Het Overloon 1, 6411 TE Heerlen, the Netherlands

ADDITIONAL NAME(S) & ADDRESS(ES) ATTACHED? ☐ YES ☒ NO

## 3. NATURE OF CONVEYANCE (DOCUMENT):

(Submit herewith only one document for recordation—multiple copies of same Assignment signed by different inventors is one document)☒ ASSIGNMENT OF ☒ WHOLE ☐ PART INTEREST

EXEC. DATE: OCTOBER 15, 1998

☐ CHANGE OF NAME ☐ ACCURATE TRANSLATION☐ SECURITY ☐ MERGER ☐ OTHER:

EXECUTION DATE(S) ON THE DECLARATION IF FILED HEREWITH: (NOTE: IF DATES ON DECLARATION AND ASSIGNMENT DIFFER SEE ATTY!) OCTOBER 15, 1998

4.5 APPL. NO.(S) OR PAT NO.(S). OTHERS ON ADDITIONAL SHEET(S) attached? ☐ YES ☒ NOA. PAT. APP. NO.(S)  
series code/serial  
no

M#

1st INVENTOR  
if not in item 1

B. PATENT NO(S)

M#

1st INVENTOR  
if not in item 1

09/184,066

249199

5. Name & Address of Party to Whom Correspondence  
Concerning Document Should be Mailed:Pillsbury Madison & Sutro LLP  
Intellectual Property Group  
1100 NEW YORK AVENUE, N.W.  
NINTH FLOOR, EAST TOWER  
WASHINGTON, D.C. 20005-3918

6. NUMBER INVOLVED:

APPLNS 1 + PATS \_\_\_\_\_ = TOTAL 1

7. AMOUNT OF FEE ENCLOSED (Code 581)  
ABOVE TOTAL x \$40 = \$40

5.5 ATTY DKT:

PMS 249199

8643/US/CON/WO

8. IF ABOVE FEE IS MISSING OR INADEQUATE CHARGE  
INSUFFICIENCY TO DEPOSIT ACCOUNT NUMBER: 03-3975

UNDER ORDER NO

30268

249199

dup. sheet not required

CLIENT NO.

MATTER NO.

01/16/1999 00000027 09184066

03 FC:581

40.00 DP

## 9. STATEMENT AND SIGNATURE:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

10. Total number of pages including this  
cover sheet, attachments and document  
(do not file dup. Cover sheet)

2

Signature

Attorney: Kendrew H. Colton

Reg. No. 30368

Atty/Sec: KHC/SPB/rjj

TEL: (202) 861-3606

Date: January 19, 1999

FAX: (202) 822-0944

FILE WITH PTO RETURN RECEIPT (PAT-103A)

Please return signed/recorded to:

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USA Patent Appln.  
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For Inventions made outside USA  
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Atty. Dkt. PMS

3643/us/con/wo

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Client Ref.

NONPROVISIONAL

ASSIGNMENT  
OF NONPROVISIONAL APPLICATION

NONPROVISIONAL

In consideration of the sum of Ten Dollars (\$10.00) and other good and valuable consideration paid to each of the undersigned, to wit:

INSERT	(1) Gerardus J.M. Gruter	(2) Johannes A.M. van Beek
NAME(S) OF	(3) Richard Green	(4) Edwin G. IJpeij
INVENTOR(S)	(5)	<input type="checkbox"/> x box if continued on page 2

the receipt and sufficiency of which are hereby acknowledged by the undersigned who at the request of, hereby sell(s), assign(s) and transfer(s) unto:

INSERT	DSM N.V.
NAME(S) OF	Het Overloon 1,
ASSIGNEE(S)	6411 TE Heerlen
& ADDRESS(ES)	the Netherlands

(hereinafter designated "ASSIGNEE") the entire right, title and interest for the United States of America as defined in 35 U.S.C. 100, in the invention and all applications including any and all divisions, continuations, substitutes, and reissues thereof; and all resulting patents, known as

TITLE OF INVENTION	CYCLOPENTADIENE COMPOUND SUBSTITUTED WITH LINEAR GROUPS
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for which the undersigned executed an application for Letters Patents of the United States of America:

NOTE → →	(A) <input checked="" type="checkbox"/> even date herewith
(Complete	(B) <input type="checkbox"/> on
line A, B and/or C)	(C) <input type="checkbox"/> in U.S. Appln. No. filed

AND the undersigned hereby authorize(s) and request(s) the United States Commissioner of Patents and Trademarks to issue said Letters Patent to the said ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives; the undersigned agree(s) that the attorney of record in said application shall hereinafter act on behalf of said ASSIGNEE.

AND the undersigned hereby agree(s) to testify and execute any papers for ASSIGNEE, its successors, assigns and legal representatives, deemed essential by ASSIGNEE to ASSIGNEE'S full protection and title in and to the invention hereby transferred.

NOTE → → The undersigned hereby authorize(s) Pillsbury Madison & Sutro LLP of the above address to insert hereon any further identification necessary or desirable for recordation of this document.

INVENTORS

DATE

WITNESSES

1)	<u>Gerardus J.M. Gruter</u>	<u>15/10/98</u>	
Name	Gerardus J.M. Gruter		
2)	<u>Johannes A.M. van Beek</u>	<u>15/10/98</u>	
Name	Johannes A.M. van Beek		
3)	<u>Richard Green</u>	<u>15/10/98</u>	
Name	Richard Green		
4)	<u>Edwin G. IJpeij</u>		
Name	Edwin G. IJpeij		
5)	<u>Edwin G. IJpeij</u>	<u>15/10/98</u>	
Name	Edwin G. IJpeij		

RECORDED: 01/19/1999

PATENT  
REEL: 9729 FRAME: 0469

PAT 114 11/97