

02-02-1999

A



OVER SHEET

100955547

To: Honorable Commissioner of Patents and Trademarks:

Please record the attached original document(s) or copy thereof.

1. Name of conveying party(ies)

- a) William M. Wagstaff
- b) Donovan A. Schneider
- c) Ann M. Koehler
- d) Craig J. Bunger
- e) Richard L. Cole

WMD

1-7-99

2. Name and address of receiving party(ies):

- a) Name: Red Brick Systems, Inc.
Address: 485 Alberto Way
Los Gatos, California 95032

3. Nature of conveyance

- | | |
|--|--|
| <input checked="" type="checkbox"/> Assignment | <input type="checkbox"/> Merger |
| <input type="checkbox"/> Security Agreement | <input type="checkbox"/> Change of Name |
| <input type="checkbox"/> Other _____ | <input type="checkbox"/> License Agreement |

Execution Date(s): December 22, 1998; December 23, 1998;
and December 29, 1998

4. Application Number(s) or Patent Number(s): 09/170,990

The title of the (new) application is: **A SYSTEM AND METHOD FOR
INTEGRATING DATA MINING INTO A RELATIONAL DATABASE
MANAGEMENT SYSTEM**

5. Please send all correspondence concerning this (these) documents to:

01/14/1999 CHONG

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40.00 OP

Jeffrey K. Weaver
BEYER & WEAVER, LLP
P.O. Box 61059
Palo Alto, CA 94306
Tel. No : (510) 843-6200
Fax No : (510) 843-6203

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- ☒ Enclosed
- ☐ Authorized to be charged to Deposit Account No. 50-0388
(Order No. RBRKP001).

8. To the best of my knowledge and belief, the foregoing information is true and correct
and any attached copy is a true copy of the original document.

Date January 4, 1999

Jeffrey K. Weaver
Registration No. 31,314

Attorney Docket No. RBRKP001

1

(Revised 01/96)

PATENT
REEL: 9729 FRAME: 0972

ASSIGNMENT OF PATENT APPLICATION

(Accompanying Application)

Whereas I/we the undersigned inventor(s) have invented certain new and useful improvements as set forth in the patent application entitled:

A SYSTEM AND METHOD FOR INTEGRATING DATA MINING INTO A RELATIONAL DATABASE MANAGEMENT SYSTEM

(Atty Docket No.: RBRKP001) for which I (we) have executed an application for a United States Letters Patent which was filed in the U.S. Patent and Trademark Office on October 13, 1998 and which bears the Application No. 09/170,990.

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I/We the undersigned inventor(s) hereby:

- 1) Sell(s), assign(s) and transfer(s) to **Red Brick Systems, Inc.**, a California corporation having a place of business at **485 Alberto Way, Los Gatos, California 95032**, (hereinafter referred to as "ASSIGNEE"), the entire right title and interest in any and all improvements and inventions disclosed in, application(s) based upon, and Patent(s) (including foreign patents) granted upon the information which is disclosed in the above referenced application
- 2) Authorize and request the Commissioner of Patents to issue any and all Letters Patents resulting from said application or any division(s), continuation(s), substitutes(s) or reissue(s) thereof to the ASSIGNEE.
- 3) Agree to execute all papers and documents and, entirely at the ASSIGNEE's expense, perform any acts which are reasonably necessary in connection with the prosecution of said application, as well as any derivative and applications thereof, foreign applications based thereon, and/or the enforcement of patents resulting from such applications.
- 4) Agree that the terms, covenants and conditions of this assignment shall inure to the benefit of the Assignee, its successors, assigns and other legal representative, and shall be binding upon the inventor(s), as well as the inventor's heirs, legal representatives and assigns
- 5) Warrant and represent that I/we have not entered, and will not enter into any assignment, contract, or understanding that conflicts with this assignment.

Signed on the date(s) indicated beside my (our) signature(s).

1 Signature: William M. Wagstaff Date: 12/24/98
Typed Name: **William M. Wagstaff**

Witness: Sonia Wagstaff
Printed
SONIA WAGSTAFF

2 Signature: Donovan A. Schneider Date: 12/23/98
Typed Name: **Donovan A. Schneider**

Witness: Danny Sorkol
Printed
DANNY SORKOLSKY

3 Signature: _____
Typed Name: **Ann M. Koehler**

Date: 12/22/98

Witness: [Signature]
Printed _____

4 Signature: [Signature]
Typed Name: **Craig J. Bunger**

Date: 12/22/98

Witness: [Signature]
Printed **Jeffrey A. Byard**

5 Signature: [Signature]
Typed Name: **Richard L. Cole**

Date: 12/24/98

Witness: [Signature]
Printed **GALT JOHNSON**