

02-04-1999



FD-501 (Rev. 6-9-91)

(Rev. 6-9-91)

OMB No. 0651-0011 (exp. 4/94)

M&G-3130,1-801

T

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

100957892

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Peter M. Hafermann
Emilio J. Gutierrez
Matthew W. Phillips

2. Name and address of receiving party(ies):

Botanicals International
2550 El Presidio Street
Long Beach, California 90810-1193

10551 U.S. PTO
09/239104
01/28/99

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ NoAdditional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: January 28, 1999

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: January 28, 1999

A. Patent Application No.(s)

B. Patent No.(s)

09/239104

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Charles Berman, Esq.
Address: Merchant, Gould, Smith, Edell,
Welter & Schmidt
Westwood Gateway II, Suite 400
11150 San Monica Blvd.
Los Angeles, CA 90025-3395

7. Total fee (37 CFR 3.41): \$40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Please charge any additional fees or credit any overpayments to our Deposit account number: 13-2714

DO NOT USE THIS SPACE

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Charles Berman

Name of Person Signing

Signature

January 28, 1999

Date

Total number of pages including cover sheet, attachments, and document

02/03/1999 DWGUYEN 00000100 09239104

01 FC:581

40.00 DP

Do not detach this portion

Mail documents to be recorded with required cover sheet information to

Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

PATENT
REEL: 9732 FRAME: 0243

ASSIGNMENT

WHEREAS, we, Peter M. Hafermann, residing at 21570 Dunrobin Way, Yorba Linda, California 92887, and Emilio J. Gutierrez, residing at 196 East 75th Street, Apt. 1A, New York, New York 10021, and Matthew W. Phillips residing at 13408 Via Donbenito, Tustin, California 92782 made certain new and useful inventions and improvements for which we are filing an application for Letters Patent of the United States herewith, which is entitled POWDER PROCESSING.

AND WHEREAS, Botanicals International, a corporation organized and existing under and by virtue of the laws of the State of Delaware, and having an office and place of business at 2550 El Presidio Street, Long Beach, California 90810-1193 (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 29 day of

January 29, 1999.


Peter M. Halfermann

IN TESTIMONY WHEREOF, I have hereunto set my hand this _____ day of

January 28, 1999.


Emilio J. Gutierrez

IN TESTIMONY WHEREOF, I have hereunto set my hand this _____ day of

January 28, 1999.


Matthew W. Phillips