

02-04-1999

Docket No.: 12112(YO998-499)

FORM PTO-1595 (Modified)  
(Rev. 6-93)  
OMB No. 0651-0011 (exp. 4/94)  
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P08/REV02



HEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

100958546

Tab settings → → → ▼

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1.026.99

1. Name of conveying party(ies):

Thomas Y. Kwok  
Lawrence S. Mok

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Internal Address: \_\_\_\_\_

Street Address: New Orchard Road

City: Armonk State: NY ZIP: 10504

Additional names(s) of conveying party(ies)  Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other \_\_\_\_\_

Execution Date: January 21, 1999

Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: January 21, 1999

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Leopold Presser

Internal Address: \_\_\_\_\_

02/03/1999 JSHABAZZ 00000186 500510 09237387

01 FC:581 40.00 CH

Street Address: SCULLY, SCOTT, MURPHY & PRESSER

400 Garden City Plaza

City: Garden City State: NY ZIP: 11530

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

Enclosed

Authorized to be charged to deposit account

8. Deposit account number:

50-0510/IBM Corporation

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Richard L. Catania

January 26, 1999

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 3

**PATENT**  
**REEL: 9733 FRAME: 0288**

(JOINT FORM)  
(MORE THAN TWO)

# Assignment

Whereas, we

Inventor and City	(1) Lawrence S. Mok County of Putnam	of Brewster and State of New York
	and (2) Thomas Y. Kwok County of Bergen	of Washington Township and State of New Jersey
	and (3) XXXXXXXXX County of XXXXXXXX	of XXXXXXXXX and State of XXXXXX
	and (4) County of	of and State of
	and (5) County of	of and State of

have invented certain improvements in

TITLE A PERSONAL WEBSITE FOR ELECTRONIC COMMERCE ON A SMART JAVA CARD WITH MULTIPLE SECURITY CHECK POINTS

and executed, respectively, a United States patent application therefor on  
DATES THAT (1) January 21, 1999, and (2) January 21, 1999, and (3) 1999, and  
INVENTORS (4) 1999, and (5) 1999.  
SIGNED THE DECLARATION

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM) desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed  
CITY (1) at Yorktown, NY  
DATE on January 21, 1999, (Lawrence S. Mok) SIGNATURE INVENTOR  
FIRST NAME MIDDLE INITIAL LAST NAME

Signed and sealed  
CITY (2) at Yorktown, NY  
DATE on January 21, 1999, (Thomas Y. Kwok) SIGNATURE INVENTOR  
FIRST NAME MIDDLE INITIAL LAST NAME

Signed and sealed  
CITY (3) at  
DATE on 1999, (XXXXXXXXXX X XXXXXXXXXXXX) SIGNATURE INVENTOR  
FIRST NAME MIDDLE INITIAL LAST NAME

Signed and sealed  
CITY (4) at  
DATE on 1999, ( ) SIGNATURE INVENTOR  
FIRST NAME MIDDLE INITIAL LAST NAME

Signed and sealed  
CITY (5) at  
DATE on 1999, ( ) SIGNATURE INVENTOR  
FIRST NAME MIDDLE INITIAL LAST NAME

State of New York ) ss.:  
County of Westchester )

INVENTOR  
(1)

On this 21<sup>st</sup> day of January 1999, before me personally came  
XXXXXXXXXXXX Lawrence S. Moll to me personally known, and known to me to be the  
person described in and who executed the foregoing assignment, and he acknowledged  
to me that he executed the same as his free act and deed.

Sandra M. Emma  
Notary

**SANDRA M. EMMA**  
Notary Public, State of New York  
No. 01PO4935290  
Qualified in Westchester County  
Commission Expires July 5, 2000

State of New York ) ss.:  
County of Westchester )

INVENTOR  
(2)

On this 21<sup>st</sup> day of January 1999, before me personally came  
XXXXXXXXXX Thomas W. Kwoke to me personally known, and known to me to be the  
person described in and who executed the foregoing assignment, and he acknowledged  
to me that he executed the same as his free act and deed.

Sandra M. Emma  
Notary

**SANDRA M. EMMA**  
Notary Public, State of New York  
No. 01PO4935290  
Qualified in Westchester County  
Commission Expires July 5, 2000

State of ) ss.:  
County of )

INVENTOR  
(3)

On this \_\_\_\_\_ day of \_\_\_\_\_ 1999, before me personally came  
XXXXXXXXXX to me personally known, and known to me to be the  
person described in and who executed the foregoing assignment, and he acknowledged  
to me that he executed the same as his free act and deed.

\_\_\_\_\_  
Notary

State of ) ss.:  
County of )

INVENTOR  
(4)

On this \_\_\_\_\_ day of \_\_\_\_\_ 1999, before me personally came  
to me personally known, and known to me to be the  
person described in and who executed the foregoing assignment, and he acknowledged  
to me that he executed the same as his free act and deed.

\_\_\_\_\_  
Notary

State of ) ss.:  
County of )

INVENTOR  
(5)

On this \_\_\_\_\_ day of \_\_\_\_\_ 1999, before me personally came  
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person described in and who executed the foregoing assignment, and he acknowledged  
to me that he executed the same as his free act and deed.

\_\_\_\_\_  
Notary