

FORM PTO-1595
1-31-92

02-04-1999

SHEET

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attached original documents or copy thereof.

To the Honorable Commissioner of P

1. Name of conveying party(ies):

Karlheinz Drauz
Andreas Bommarius
Michael Karrenbauer
Gunter KnaupAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Degussa Aktiengesellschaft

Internal Address:

Street Address: Weissfrauenstrasse 9

D-60311, Frankfurt, Germany

City: State: ZIP:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: 7/21/98, 7/6/98, 7/10/98 & 7/6/98

4. Application number(s) or patent number(s): 09/095,409

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 09/095,409

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Omri M. Behr, Esq.

Internal Address:

Street Address: 325 Pierson Avenue

City: edison State: NJ ZIP: 08837

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):..... \$ 40.00

☒ Enclosed Previously submitted.☒ * Any deficiency in fee☒ Authorized to be charged to deposit account

8. Deposit account number:

02-1750

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Omri M. Behr, Esq.

Name of Person Signing

Signature

Date

Total number of pages comprising cover sheet:

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PATENT

REEL: 9734 FRAME: 0370

09-28-1998

3 SHEET

U.S. DEPARTMENT OF COMMERCE
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To the Honorable Commissioner c



100839162

he attached original documents or copy thereof.

1. Name of conveying party(ies):

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Andreas Bommarius
Michael Karrenbauer
Gunter KnaupAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

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Omri M. Behr, Esq.

Name of Person Signing

Signature

Date

Total number of pages comprising cover sheet:

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95 MO AM

an application
or Letters
Patent identified
this assign-
ent having
been executed
on 6/5/95 & 6/5/98
as, by the US
Patent Office,
has been given
Serial No. 09/095,409
and a filing
date of 6/10/98

Assignment, Sole/Joint
Concurrent/Pre SN Exec

File No: DEGU 3.0-009

ASSIGNMENT

WHEREAS, KARLHEINZ DRAUZ, ANDREAS BOMMARIUS, MICHAEL KARRENBAUER and GUNTER KNAUP as assignors, have invented certain improvements in PROCESS FOR RACEMIZATION OF N-ACETYL-D(L)- α -AMINO CARBOXYLIC ACIDS, for which an application for Patent has been executed by us on 6/5/98 & 6/8/98 and whereas Degussa Aktiengesellschaft, Weissfrauenstrasse 9, D-60311 Frankfurt, Germany, as assignee, is desirous of acquiring all right, title and interest in and to said invention and any Letters Patent that may be granted therefor.

NOW, THEREFORE, In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, we, as assignors hereby sell, assign and set over to said assignee the entire right, title and interest for the United States of America and in and to said invention including the right to claim priority from said application and the aforesaid application for Letters Patent, all original, divisional, continuation, substitute or reissue applications and patents applied for or granted therefor in the United States of America and the Commissioner of Patents is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for us and our legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.

07, 21, 98
date: / /

07/06/98

07/10/98

Andreas Bommarius
ANDREAS BOMMARIUS
Karlheinz Drauz
KARLHEINZ DRAUZ
Michael Karrenbauer
MICHAEL KARRENBAUER

July, 06, 1998

Gunter Knaup
GUNTER KNAUP

{ date ONLY if NOT notarized }

CITY OF)
FEDERAL REPUBLIC OF GERMANY)

This day of , 199 , in the City of _____,
before me personally came the above named ANDREAS BOMMARIUS, KARLHEINZ
DRAUZ, MICHAEL KARRENBauer and GUNTER KNAUP to me known and known to me who executed
the foregoing instrument in my presence and who swore to me that the same was executed by them
of their own free will for the purpose therein set forth.

NOTAR
SEAL

APOSTILLE:

c:\wp61\degul09e1.mq

Docket No.: DEGU09/PCT

Inventors: DRAUZ, et al

Serial No.: 09/095,409

Filing Dt: 6/10/98

For: Process of Racemization of

N-Acetyl-D(4)-D-Amino, etc

The stamp of the US/European Patent and Trademark Office hereon acknowledges receipt of the items checked below
The USPTO is authorized to charge all fees to Deposit Account No. 02-1750.

☐ Amendment
☐ IDS
☐ PTO 1533 (late fee)
☐ Appeal Notice

☒ Assignment
☐ SE Declaration
☐ Appeal Brief (x3)

☐ Advance
Patent
copies

☐ Patent/TM Application:
☐ PCT
☐ Complete with:
☐ Request
☐ Verified Translation
☐ Ch II Demand

☐ International
☐ Fee Authority (x2)
☐ Priority Document
☐ Sheets of (in) Format
☐ Declaration & Power

☐ National
☐ PCT Publ.
Drawings

☐ Associate/Revocation
Certificate of
Declaration under

☐ + Power of Attorney
Correction
Rule/Section

Fee: ☐ Issue
☐ Letter

☐ Supplemental
License
Preliminary Statement
Extension of

☐ Maint.
Motion
Time

☒ Check No.

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☐ TM Renew

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1998 SEP 11 11:5

OMF M. BEHR
THOMAS L. ADAMS

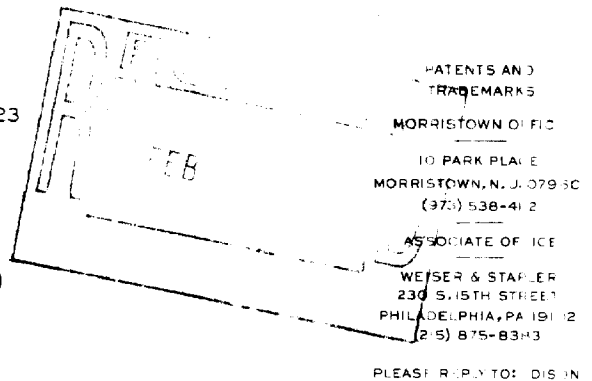
GERARD J. WEISER
PETER J. GAYLOR
GABRIEL L. PEZ
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* D. C. BAR ONLY

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January 27, 1999



U. S. Patent and Trademark Office
Assignment Division
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CG4
1213 Jefferson Davis Hwy
Suite 320
Washington, D. C. 20231

RE Attorney's Docket No : DEGU 3.0-009
USSN: 09/095,409
Filed: 6/10/98

LETTER

Sir

With reference to the above-identified matter, please find enclosed herewith a Notice of Non-Recordation of Document, the original Assignment document, a copy of the original recordation sheet showing authorization to charge the undersigned's deposit account, a new recordation sheet and a copy of the postal card receipt stamped by the Patent Office on September 21st showing that a check was indeed enclosed with the Assignment document.

Applicant believes that no fee is due since, the appropriate fee was paid with the first submission of the Assignment document. Please charge any overpayment or credit any underpayment to the undersigned's deposit account # 02-1750. A duplicate copy of this letter is enclosed as charge authorization.

Very truly yours,

Behr & Adams

By: 

OMF M. Behr

OMB/cg
encs.
c:\wp51\degu\09asg.cor

RECORDED: 02/01/1999

PATENT
REEL: 9734 FRAME: 0375