

RECO

02-05-1999



TO THE ASSISTANT COMMISSIONER FOR P,

100959119

ocuments or copy thereof.

1. Name of conveying party(ies): (If multiple assignors, list numerically)

- 1) Hsueh-Chung Chen
- 2) Juan-Yuan Wu
- 3) Water Lur

Additional name(s) of conveying party(ies) attached?

( ) Yes (X) No

2. Name and address of receiving party(ies):

Name: United Microelectronics Corp.

Internal Address:

Street Address: No. 3, Li-Hsin Rd. II, Science-Based Industrial Park

City: Hsinchu Country: Taiwan, R.O.C.

Additional name(s) of receiving party(ies) attached?

( ) Yes (X) No

3. Nature of conveyance:

- (X) Assignment  
( ) Merger  
( ) Security Agreement  
( ) Change of Name  
( ) Other:

Execution Date: (If multiple assignors, list execution dates in numerical order corresponding to numbers indicated in 1 above)

1) 12/27/98, 2) 12/27/98 and 3) 12/28/98

4. Application number(s) or Patent number(s):

(X) Application(s) filed herewith

Execution Date(s): 1) 12/27/98, 2) 12/27/98 and 3) 12/28/98

( ) Patent Application No.:  
Filing Date:( ) Patent No.:  
Issue Date:

Additional numbers attached? ( ) Yes (X) No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Andrew H. Simpson  
KNOBBE, MARTENS, OLSON & BEAR, LLP  
Customer No. 20,995

Internal Address: Sixteenth Floor

Street Address: 620 Newport Center Drive

City: Newport Beach State: CA ZIP: 92660

Attorney's Docket No.: JIAN188.001AUS

7. Total fee (37 CFR 3.41): \$40

(X) Enclosed

(X) Authorized to be charged to deposit account if any additional fees are required, or to credit any overpayment

8. Deposit account number: 11-1410

Please charge this account for any additional fees which may be required, or credit any overpayment to this account.

6. Total number of applications and patents involved: 1

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.

Andrew H. Simpson  
Name of Person Signing

*Andrew H. Simpson*  
Signature

*January 25, 1999*  
Date

31,469

Registration No.

Total number of pages including cover sheet, attachments and document: 3

Mail documents to be recorded with required cover sheet information to:

Assistant Commissioner for Patents

Box Assignments

Washington, D.C. 20231

02/02/1999 JSHBAZZ 00000056 09236522

01 FC:501A-4599:is  
012199

40.00 OP

KNOBBE, MARTENS, OLSON & BEAR, LLP  
620 NEWPORT CENTER DR 16TH FLOOR NEWPORT BEACH, CA 92660  
(949) 760-0404 FAX (949) 760-9502

**PATENT**  
**REEL: 9735 FRAME: 0534**

# ASSIGNMENT

WHEREAS,

1. Hsueh-Chung Chen
3. Water Lur

2. Juan-Yuan Wu

hereinafter referred to as ASSIGNOR, has/have invented certain new and useful improvements as described and set forth in the below identified application for United States Letters Patent:

Title: **APPARATUS FOR CONTROLLING UNIFORMITY OF POLISHED MATERIAL**

[ ] Filed: Serial No.

[x] Executed concurrently with the execution of this instrument

WHEREAS, United Microelectronics Corp.

of No. 3, Li-Hsin Rd. II, Science-Based Industrial Park, Hsinchu, Taiwan, R.O.C.

hereinafter referred to as ASSIGNEE, is desirous of acquiring ASSIGNOR'S interest in the said invention and application and in any U.S. Letters Patent which may be granted on the same:

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for good and valuable consideration, receipt of which is hereby acknowledged by Assignor, Assignor has/have sold, assigned and transferred, and by these presents does/do sell, assign and transfer unto the said Assignee, and Assignee's successors and assigns, all his/her/their rights, title and interest in and to the said invention and application and all future improvements thereon, and in and to any Letters Patent which may hereafter be granted on the same in the United States, the said interest to be held and enjoyed by said Assignee as fully and exclusively as it would have been held and enjoyed by said Assignor had this Assignment and transfer not been made, to the full end and term of any Letters Patent which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignor further agrees/agree that he/she/they will, without charge to said Assignee, but at Assignee's expense, cooperate with Assignee in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as Assignee Lawfully may request, to obtain or maintain Letters Patent for said invention and improvement, and to vest title thereto in said Assignee, or Assignee's successors and assigns.

# ASSIGNMENT CONTINUED

IN TESTIMONY WHEREOF, Assignor has/have signed his/her/their name(s) on the date(s) indicated.

Hsueh - Chung Chen Dec. 27, 1998  
Signature:

Sole or First Joint Inventor: Hsueh-Chung Chen

Juan - Yuan Wu Dec. 27, 1998  
Signature:

Second Joint Inventor (if any): Juan-Yuan Wu

Water Lin Dec. 28, 1998  
Signature:

Third Joint Inventor (if any): Water Lin