

02-10-1999

SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

WC&amp;P 98-2232B

Tab settings ☐ ☐ ☐ ▼

To the Honorable Commissioner of F

100962138

Attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Relay Park Realisations Limited (RPR)  
Pacific Scientific Company (PSC)Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_

Execution Date: June 19, 1998

## 2. Name and address of receiving party(ies)

Name: Light Controls Corp.

Internal Address: \_\_\_\_\_

Street Address: 501 Silverside Road

Suite 105

City: Wilmington State: DE ZIP: 19809

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

09/010,023

B. Patent No.(s)

5,434,475

4,258,276

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jody H. Drake, Esquire

Internal Address: \_\_\_\_\_

SHOEMAKER AND MATTARE, LTD.

Street Address: 2001 Jefferson Davis Hwy.

1203 Crystal Plaza Bldg. 1

City: Arlington State: VA ZIP: 22202

## 6. Total number of applications and patents involved: 3

7. Total fee (37 CFR 3.41).....\$ 120.00

☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number:

19-2110 (if necessary)

(Attach duplicate copy of this page if paying by deposit account)

02/10/1999 DNGUYEN 00000007 5434475

01 FC:581

120.00 DP

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jody H. Drake

Name of Person Signing

Signature

February 8, 1999

Date

Total number of pages including cover sheet, attachments, and document: 11

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box AssignmentsPATENT  
REEL: 9737 FRAME: 0862

AGREEMENT dated 19 June 1998 among:-

- (1) **RELAY PARK REALISATIONS LIMITED**, incorporated in England under the Companies Acts with number 2815444 and having its Registered Office at Troutbeck Road, Millhouses, Sheffield S7 2QA England (hereinafter referred to as "RPR");
- (2) **PACIFIC SCIENTIFIC COMPANY**, a California corporation with FEIN 94-0744970 (hereinafter referred to as "PSC"), whose operations include the Fisher Pierce division (hereinafter referred to as "FPD") at 90 Libby Parkway, Weymouth, MA 02189 USA; and
- (3) **LIGHT CONTROLS CORP.**, a Delaware corporation formed on 11 June 1998 (hereinafter referred to as "LCC") with 100 outstanding common shares, of which 10 were issued to RPR and 90 were issued to PSC.

WHEREAS:-

- (A) RPR, PSC and LCC are all indirect wholly owned subsidiaries of Danaher Corporation, 1250 24th Street NW, Suite 800, Washington, DC 20037 USA;
- (B) RPR has acquired, pursuant to an Agreement ("the Acquisition Agreement") dated 15 June 1998, the whole business, undertaking, assets and liabilities of Royce Thompsen Limited (incorporated in England with number 1943245);
- (C) RPR and PSC have agreed to assign to LCC, and LCC has agreed to acquire from RPR and PSC, with effect from 19 June 1998 the Relevant Rights (as hereinafter defined) in consideration for the allotment and issue to RPR and PSC, credited as fully paid up, of 30 and 270 additional common shares, respectively; and
- (D) LCC has agreed to license the Relevant Rights to RPR and PSC under the terms and conditions provided herein.

NOW THEREFORE RPR, PSC and LCC have agreed and do hereby agree as follows:

1. THE RELEVANT RIGHTS

In this Agreement, the "Relevant Rights" means the patents, applications for patents, the right to apply for patents, trade marks, trade names (whether or nor registered), know-how (including concepts, models, specifications, formulae, processes and process techniques), engineering drawings, computer programs, technical documentation, copyrights (including rights in computer software), registered designs, topography rights

and other rights in semi-conductor chips, moral rights, confidential information, and all other intellectual property rights acquired by RPR from Royce Thompson Limited pursuant to the Acquisition Agreement and used by PSC in the business of its FPD. However, the Relevant Rights do not include goodwill and going concern value, workforce-in-place, and customer and supplier lists and agreements.

2. ASSIGNMENT OF THE RELEVANT RIGHTS

- 2.1 In consideration for the allotment and issue, credited as fully paid up, to RPR of 30 additional voting common shares and to PSC of 270 additional voting common shares, RPR and PSC hereby as beneficial owners assign with effect from 19 June 1998 to LCC free from all liens, charges and encumbrances of third party interests, EXCEPT AS PROVIDED IN CLAUSE 2.5 HEREOF, all of the Relevant Rights and all rights to institute and maintain proceedings for infringement of the Relevant Rights against any person who now or hereafter wrongfully uses the Relevant Rights.
- 2.2 The voting common shares in LCC to be allotted and issued, credited as fully paid up, to RPR and PSC in terms of Clause 2.1 of this Agreement shall be allotted and issued to RPR and PSC as soon as possible after the date of this Agreement and forthwith upon the allotment and issue of such shares RPR and PSC shall be entered into the stockholder records of LCC as the holder of such shares and Share Certificates for such shares shall be signed and delivered to RPR and PSC.
- 2.3 RPR and PSC shall execute such other assignments, assignments, documents, forms and authorisations and depose to or swear any declaration or oath as may be reasonably required by LCC or by any registry or by any other competent authority for perfecting the vesting of the Relevant Rights in LCC or for conferring on LCC all such rights of action as RPR and PSC have in relation to any infringement by third parties as at the date hereof or hereafter.
- 2.4 RPR and PSC hereby undertake and agree to hold the Relevant Rights in Trust for LCC with effect from 19 June 1998.
- 2.5 THE ASSIGNMENT BY RPR IN CLAUSE 2.1 HEREOF IS SUBJECT TO RPR'S OUTSTANDING INDEBTEDNESS ON ITS LOAN NOTES PAYABLE TO JACOBS MANUFACTURING COMPANY LIMITED, HOLO-KROME LIMITED, WEST INSTRUMENTS LIMITED, AND VEEDER-ROOT ENVIRONMENTAL SYSTEMS LIMITED ON 30 APRIL 2006, AND ITS LOAN NOTES PAYABLE TO PACIFIC SCIENTIFIC LIMITED, ROYCE THOMPSON LIMITED, SPLINES GAUGES LIMITED, PICCADILLY PRECISION ENGINEERING LIMITED, AND GEMS SENSORS LIMITED ON 15 JUNE 2001, AND LCC AGREES THAT IT SHALL BE JOINTLY AND SEVERALLY LIABLE WITH RPR ON THESE OBLIGATIONS.

3. THE LICENSE

- 3.1 LCC hereby grants to RPR and PSC non-exclusive licenses to use the Relevant Rights throughout the world (the "Territory") to make, use and sell any and all outdoor lighting control products (the "Products") from 19 June 1998 until the expiration of the Relevant Rights.
- 3.2 RPR and PSC shall each pay royalties to LCC on sales of Products by RPR and PSC in an amount equal to 6% of the respective annual total Net Sales (as hereinafter defined) of Products manufactured by RPR and PSC in the Territory.
- 3.3 "Net Sales" shall mean the aggregate value of Products manufactured by RPR and PSC and sold during the relevant period by RPR and PSC at the invoice price, less trade discounts, returns, allowances to include free goods and cash discounts, freight where it is itemized on the invoice, and excise or other taxes based on the sales price of the Products.
- 3.4 Royalties hereunder shall be payable quarterly and are due within 30 days after the end of each calendar quarter for the immediately preceding calendar quarter. Included with the payment shall be a certificate of the chief financial officer of RPR or PSC, as the case may be, setting forth the calculation of the royalty due. Within 90 days following each calendar year RPR and PSC will each submit to LCC a statement setting forth its respective Net Sales of the Products during that calendar year. If any such annual certified statement shows any underpayment or overpayment of royalties made during that calendar year, then the amount of any underpayment shall be added to and the amount of any overpayment shall be subtracted from the amount of royalties otherwise shown due on the next following quarterly statement.

4. OTHER COVENANTS

- 4.1 RPR and PSC shall maintain the distinctiveness, image and high quality associated with the Products, and they shall at all times during the term of this Agreement diligently promote the distribution and sale of the Products in the Territory. RPR and PSC shall be responsible for all costs of distribution, marketing, selling and advertising of the Products in the Territory.
- 4.2 RPR and PSC shall cooperate fully and in good faith with LCC for the purpose of securing, preserving and protecting the Relevant Rights and LCC's rights in and to the Relevant Rights. RPR and PSC undertake to cooperate with LCC in all manners and respects in order that registrations and user records with respect to the Relevant Rights may be secured within the Territory wherever LCC deems it commercially desirable to do so, and RPR and PSC agree to execute and cooperate with LCC in the execution of all agreements, documents or instruments as may be necessary or appropriate to secure and maintain the validity of such

registrations or user recordals. The cost of any such filings will be borne by LCC.

- 4.3 RPR and PSC shall notify LCC promptly in writing of any infringement or imitation of the Relevant Rights or any adverse claims affecting the Relevant Rights or any third party use of any marks, trade names or designations confusing similar to the Relevant Rights (any all of which shall hereinafter collectively be referred to as "infringement"), provided that such infringement shall come to their attention, and shall cooperate fully with LCC in any infringement proceedings. In the event that LCC decides not to institute such infringement proceedings, it shall give prompt notification of said decision to RPR and PSC, who shall then have the right, jointly or separately, to initiate infringement proceedings at their own expense and to have the full benefit of any recovery.

5. SEVERABILITY

If any provision or any portion of any provision of this Agreement shall be construed to be illegal, invalid or unenforceable, such shall be deemed stricken and deleted from this Agreement to the same extent and effect as if never incorporated herein, but all other provisions of this Agreement and the remaining portion of any provision which is illegal, invalid or unenforceable in part shall continue in full force and effect.

6. ASSIGNATION

LCC shall have the right to assign its rights and obligations under this Agreement and the proprietary rights covered hereunder, either in whole or in part, without the prior consent of RPR or PSC. However, neither RPR nor PSC shall be entitled to assign or sublicense its rights and obligations under this Agreement without the prior written consent of LCC.

7. NOTICES

All notices, demands and other communications hereunder shall be in writing and shall be deemed to have been given when delivered in person or five (5) days following the date sent by first class mail or airmail:

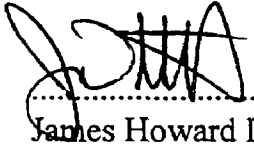
- A. If to RPR to: Troutbeck Road, Millhouses, Sheffield S7 2QA England  
Attn: David Tunley
- B. If to PSC to: Fisher Pierce, 90 Libby Pkwy, Lawrence, MA 02189 USA  
Attn: Steve Breitzka
- C. If to LCC to: 501 Silverside Road, Suite 105, Wilmington, DE 19809 USA  
Attn: Brooks Hall

or such other address as hereafter shall be furnished by a notice in like manner by any party to the other.

8. GOVERNING LAW AND JURISDICTION

This Agreement shall be governed by the laws of Delaware.

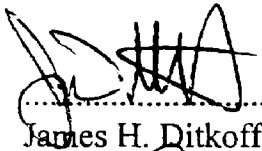
This Agreement is signed for and on behalf of  
RELAY PARK REALISATIONS LIMITED by:-



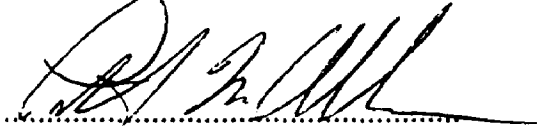
James Howard Ditkoff, Director

.....  
David William Tunley, Director

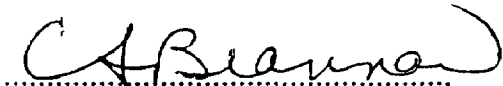
This Agreement is signed for and on behalf of  
PACIFIC SCIENTIFIC COMPANY and LIGHT CONTROLS CORP.  
by unanimous written consent of their Directors:-



James H. Ditkoff



Patrick W. Allender



C. Scott Brannan

8. GOVERNING LAW AND JURISDICTION

This Agreement shall be governed by the laws of Delaware.

This Agreement is signed for and on behalf of  
RELAY PARK REALISATIONS LIMITED by:-



James Howard Ditkoff, Director

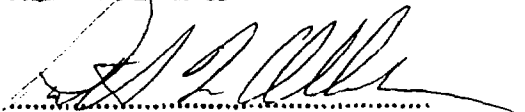


David William Tunley, Director

This Agreement is signed for and on behalf of  
PACIFIC SCIENTIFIC COMPANY and LIGHT CONTROLS CORP.  
by unanimous written consent of their Directors:-



James H. Ditkoff



Patrick W. Allender



C. Scott Brannan

District of Columbia: SS

Subscribed and sworn to before me this 19 day of June, 1998.



Notary Public, D.C.

My Commission Expires November 14, 2001

## AMENDMENT TO LICENSING AGREEMENTS

WHEREAS, Danaher UK Industries Limited ("DUKI") acquired certain intellectual property rights from Spline Gauges Limited ("SGL"), Piccadilly Precision Engineering Limited ("PPEL"), and Gems Sensors Limited ("GSL") on 15 June 1998 and transferred those intellectual property rights to Master Gears Corp. ("MGC"), Precision Gauges, Inc. ("PGI"), and Industrial Sensors, Inc. ("ISI"), respectively, on 19 June 1998;

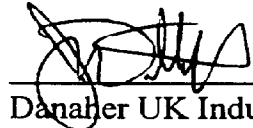
WHEREAS, MGC, PGI, and ISI agreed to license those intellectual property rights to DUKI in consideration of royalties equal to 6% of Net Sales of Products, as defined in the agreements; and

WHEREAS, subsequent investigation has determined that such intellectual property rights consist primarily of manufacturing know-how, rather than patents or registered trade marks;

IT IS HEREBY AGREED AS FOLLOWS:

With effect from 19 June 1998, the royalty rates in the licensing agreements between DUKI and MGC, DUKI and PGI, and DUKI and ISI shall be reduced from 6% to 2% of Net Sales of Products as defined in those agreements.

AGREED to this 29th day of October, 1998:



Danaher UK Industries Limited  
By: James H. Ditkoff, Director



Master Gears Corp.; Precision Gauges, Inc.;  
and Industrial Sensors, Inc.  
By: James H. Ditkoff, President



ANNEX 3

Danaher CorporationPacific Scientific ReorganisationRoyce Thompson Limited

Intellectual Property Rights to be assigned from Royce Thompson Limited ("RT"), with the consent of Danaher UK Industries Limited ("DUKI", and formerly named Relay Park Realisations Limited), to Light Controls Corp ("LCC") of certain intellectual property rights, pursuant to:-

1. the Agreement dated 15 June 1998 between RT and DUKI providing for the transfer by RT to DUKI, with effect from 15 June 1998, of the whole of the business, undertaking, assets and liabilities of RP; and
2. the Agreement dated 19 June 1998 among DUKI, Pacific Scientific Company ("PSC") and LCC, providing for the assignment to LCC, with effect from 19 June 1998, of the intellectual property rights acquired by DUKI from RT and used by PSC in the business of its FPD.

**Notes:-**

- (1) Are the intellectual property rights mentioned below used by PSC in the business of its FPD, and are therefore to be assigned by LCC; and
- (2) Note the provisions of Clause 2.5 of the 19 June 1998 Agreement between DUKI, PSC and LLC.

We have received details of the following intellectual property rights from Arthur Andersen:-

- (a) A note that, as at 3 February 1994, RT had applied for a UK patent (under application number 9125728.7) in respect of a photo-sensitive electrical controller for street lighting with the specification for the patent application being published under reference GB 2261945A, but no further details of this application or whether a patent has been issued pursuant to this application. (\*)
- (b) UK patent application 9518483.4 dated 9 September 1995 in the name of RT relating to lighting control systems and in particular lighting control systems utilising photo-electric means to sense light intensity levels, but no details of whether a patent has been issued pursuant to this application. (\*)
- (c) International patent application number PCT/GB96/02193 following on UK patent application 9518483.4, but no details of whether a patent has been issued pursuant to the application. (\*)
- (d) UK patent application number 9604954.9 in respect of a relay switching controller, but no details of whether a patent has been issued pursuant to the application. (\*)
- (e) Correspondence regarding UK patent application number 9420206 in respect of a light sensor housing. (\*)

T:\MCM\WPDOCS\051101.DOC JHZ

12/09/98 12:34 781 340 0217

FISHER PIERCE

Dec-09-98 02:36pm From LANIVE &amp; COCKFIELD, LLP

6177424214

T-762 P.03/04 F-105

ANNEX 4

**ACTIVE FISHER PIERCE PATENT AND TRADEMARK MATTERS**

December 8, 1998

DOCKET and APPLICATION Nos.	CTY.	TITLE of PATENT or TRADEMARK	Patent or Trademark No.	Grant Date
-----------------------------	------	------------------------------	-------------------------	------------

FSM-0001 07/438,631	USA	Patent: Faulted Current Indicator With Protection Against Temporary Overloads and Transients	5,136,456	8/4/92	
FSM-0001CN 07/894,806	USA	Patent: Faulted Current Indicator With Protection Against Temporary Overloads and Transients	5,305,175	4/19/94	
FSM-0007CP2 816,551	USA	Patent: Improved Outdoor Lighting Controls	5,434,475	7/18/95	★
FSM-0012CN 07/793,223	USA	Patent: Adaptive Trip Fault Current Indicator	5,241,444	8/31/93	
FSM-0013 07/572,906	USA	Patent: Autorangeing Faulted Circuit Indicator	5,255,148	10/19/93	
FSM-0026 918,354	USA	Patent: Switching Circuit For Connecting An AC To A Load	4,258,276	3/24/81	★
FSM-0033 455,523	USA	Patent: Power Line Pass Insulator With Dual Inductor Current Sensor	5,124,642	6/23/92	
FSM-0035 07/374,215	USA	Patent: Faulted Current Indicator With Inrush Restraint	4,984,124	1/8/91	
FSM-0037 379,042	USA	Patent: Fault Indicator With Hot Stick Clamping Mechanism	4,998,060	3/5/91	
FSM-0038 374,216	USA	Faulted Current Indicators And Inrush Restraints Therefor	5,003,426	3/26/91	
FSM-0039 390,541	USA	Patent: Current Adaptive Fault Indicator	5,029,039	7/2/91	
FSM-0040 303,761	USA	Patent: Fault Indicator With Inrush Restraint	5,045,778	9/3/91	
FSM-0041 396,595	USA	Patent: Faulted Current Indicators With Improved Signal To Noise Ratios	5,055,771	10/8/91	
FSM-0042 361,903	USA	Patent: Faulted Current Indicators With Improved Signal To Noise Ratios	5,097,202	3/17/92	
FSM-0043 441,461	USA	Patent: Fault Indicator With Timing Control	5,111,189	5/5/92	
FSM-0063 08/425,879	USA	Patent: Remotely Accessible Electrical Fault Detection	5,648,726	7/15/97	
FSM-0063 08/452,018	USA	Patent: Adaptive Automatic Power Capacitor Controller For Controlling A Capacitor Bank of A Power Distribution System	5,670,864	9/23/97	
FSM-084CP 09/010,023	USA	Voltage Surge Protector for Electronic Circuits With Semiconductor Components	Pending		★
FSM-084CPBR 09/010,023	Brazil	Voltage Surge Protector for Electronic Circuits With Semiconductor Components	Pending		★

12/09/98 WED 16:15 FAX 410 986 2828

WC&amp;P BALTIMORE x5828

12/09/98 12:35 781 340 0217

FISHER PIERCE

004

003

Dec-09-98 02:35pm From-LAHIVE &amp; COCKFIELD, LLP

6177424214

T-752 P.Da/04 F-105

ANNEX 4

DOCKET and APPLICATION Nos.	CTY.	TITLE of PATENT or TRADEMARK	Patent or Trademark No.	Grant Date
-----------------------------	------	------------------------------	-------------------------	------------

FSM-0074 74/697,739	USA	Trademark: AUTOCAP	1,985,517	7/9/96
FSM-0075 74/698,058	USA	Trademark: SMARTSET	1,981,087	6/18/96
FSM-0082 75/274,356	USA	Trademark Application: AUDITOR	Allowed/ Not Yet Issued	
FSM-0083 73/398,107	USA	Trademark: FISHER PIERCE	1,408,241	9/9/86
	USA	Trademark: POWERFLEX	779,782	11/10/68

