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U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

Attorney Docket No: TSAI113302

To the Honorable Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party:

Chia-Ching Tung

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party:

Name: Vanguard International Semiconductor Corporation

Address: 123, Park Ave-3rd., Science-Based Industrial Park

City: Hsinchu Province: Taiwan

Country: R.O.C.

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution dates: January 14, 1999

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

A. Patent Application No(s).

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

If this document is being filed together with a new application, the execution date of the application is: January 14, 1999

5. Name and address of party to whom correspondence concerning document should be mailed:

Chun M. Ng, Esq.  
CHRISTENSEN O'CONNOR  
JOHNSON & KINDNESS<sup>PLLC</sup>  
1420 Fifth Avenue  
Suite 2800  
Seattle, WA 98101-2347  
(206) 682-8100

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. 3.41): .....\$ 40.00

Check No. 102945 in the  
amount of \$40.00 is enclosed.

8. The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16 and 1.17 which may be required during the entire pendency of the application, or credit any overpayment, to Deposit Account Number 03-1740.

DO NOT USE THIS SPACE

9. Statement and signature:

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Chun M. Ng  
Name of Attorney or Agent  
Registration No. 36,878  
Direct Dial (206) 224-0719

Signature

January 29, 1999  
Date

Total number of pages including cover sheet, attachments and document: 3

OMB No. 0651-0011 (exp. 4/94)

02/05/1999 DWGUYEN 00000028 09239883

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# ASSIGNMENT

This assignment agreement is applicable to an invention entitled (Invention Title) \_\_\_\_\_  
A METHOD FOR FABRICATING A CAPACITOR

The PATENT RIGHTS referred to in this agreement are:

(check one) ☒ a patent application for this invention, executed by the ASSIGNOR(S)  
 concurrently with this assignment.

☐ U.S. patent application Serial No. \_\_\_\_\_, filed \_\_\_\_\_

☐ a U.S. patent application based on PCT International Application

No. \_\_\_\_\_ filed on (date) \_\_\_\_\_ (U.S. patent application  
 Serial No. \_\_\_\_\_, if known).

☐ U.S. patent No. \_\_\_\_\_, issued \_\_\_\_\_

The PATENT RIGHTS also include all divisions reissues, continuations and extensions of  
 the patents and patent applications identified above.

The PATENT RIGHTS assigned under this agreement are:

(check one) ☒ U.S. patent rights only.

☐ Worldwide patent rights. In this case, the assignee shall have the right to  
 claim the benefit of the filing date of any U.S. or foreign patent application  
 for this invention.

The ASSIGNOR(S) referred to in this agreement is (or are) the inventor(s) whose signatures  
 appear on page 2 of this Assignment and any Supplemental Sheet(s).

The ASSIGNEE referred to in this agreement is:

(Name of Assignee) VANGUARD INTERNATIONAL SEMICONDUCTOR CORPORATION

(Address) 123, PARK AVE-3RD., SCIENCE-BASED INDUSTRIAL PARK, HSINCHU,  
TAIWAN, R.O.C.

The ASSIGNEE is:

(check one) ☐ An individual.

☐ A Partnership.

☒ A Corporation of TAIWAN, R.O.C. (specify state or country)

☐ (other) \_\_\_\_\_

The ASSIGNOR(S), in consideration of \$10.00 paid by the ASSIGNEE, and other good and  
 valuable consideration, receipt of which is acknowledged, hereby assign(s) the following  
 rights to the ASSIGNEE, its successors and assigns:

the full and exclusive right to the invention;

the entire right, title and interest in and to the PATENT RIGHTS;

the right to sue and recover for any past infringement; and

the right to claim priority under 35 USC 119, 35 USC 120, or any other applicable  
 provisions, based on any earlier patent applications for this invention.

INVENTION TITLE: A METHOD FOR FABRICATING A CAPACITOR

The ASSIGNOR(S) authorize(s) the attorneys and agents who have the power of attorney in this application to check any appropriate boxes and to insert the Serial Number and filing date in this document after it has been executed.

Date \_\_\_\_\_

Date \_\_\_\_\_

Date \_\_\_\_\_

Date \_\_\_\_\_

Date \_\_\_\_\_

Date \_\_\_\_\_

Date \_\_\_\_\_

Date \_\_\_\_\_