

02-09-1999



100961874

Tab settings

To the Honorable Commissioner of Patents:

Send original documents or copy thereof.

1. Name of conveying party(ies):

1) William Levy 2) Robert A. Sherwood 3) John C. Polonchak  
217 Dutcher St. 9253 McCabe Drive 4729 Cumberland Circle  
Hopedale, MA 01747 El Paso, TX 79925 El Paso, TX 79803  
U.S.A. U.S.A. U.S.A.

Name and address of receiving party(ies):

Name: HOLMES PRODUCTS, CORP.

Internal Address:

Street Address: 233 Fortune Boulevard

City: Milford State: MA ZIP: 01757

Additional name(s) of conveying party(ies) attached?  Yes  No

Additional name(s) & address(es) attached?  Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other

Execution Date: 1) 12/30/88 2) 1/12/99 3) 1/12/99

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)  
09/213,689

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Steven T. Zuschlag

Internal Address: Hoffmann & Baron, LLP

Street Address: 350 Jericho Turnpike

City: Jericho State: NY ZIP: 11753

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

Enclosed

Authorized to be charged to deposit account

8. Deposit account number:  
08-2461

(Attach duplicate copy of this page if paying by deposit account)

02/08/1999 DNGUYEN 00000150 09213689

DO NOT USE THIS SPACE

01 FC:581

40.00 DP

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Steven T. Zuschlag

Name of Person Signing

*Steven Zuschlag*  
Signature

1/27/99

Date

Our Docket No.: 717-181

Total number of pages comprising cover sheet: 4

For:  U.S. and/or  Foreign Rights  
For:  U.S. Application;  
 U.S. Provisional Application;  
 U.S. Patent; or  
 PCT Application  
By:  Inventors or  Present Owners

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS: (inventor(s) or person(s) or entity(ies) who own the invention)

William Levy  
Name

Robert A. Sherwood  
Name

John C. Polonchak  
Name

*Hopedale* 217 Dutcher Street  
~~Orange~~ MA 01747  
Address

9253 McCabe Drive  
El Paso, TX 79925  
Address

4729 Cumberland Circle  
El Paso, TX 79803  
Address

USA  
Nationality

USA  
Nationality

USA  
Nationality

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on \_\_\_\_\_ Reel \_\_\_\_\_  
Frame \_\_\_\_\_

hereby sells, assigns and transfers to

ASSIGNEE: HOLMES PRODUCTS, CORP.  
(Type or print name of ASSIGNEE)  
233 Fortune Boulevard  
Address  
Milford, MA 01757  
USA  
Nationality

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- the entire right, title and interest  
 an undivided \_\_\_\_\_ percent (\_\_\_\_\_% ) interest

for the United States and its territorial possessions

- and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

---

**HEATER, HUMIDIFIER OR FAN INCLUDING A CIRCUIT FOR  
CONTROLLING THE OUTPUT THEREOF**

---

and which is found in

(check and complete (a), (b), (c), (d), (e), (f), or (g))

- (a)  U.S. patent application executed on even date herewith  
(b)  U.S. patent application executed on \_\_\_\_\_  
(c)  U.S. provisional application naming the above inventor(s) for the above-identified invention.  
     Express Mail label No. : \_\_\_\_\_, mailed: \_\_\_\_\_  
 To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known  
(d)  U.S. application Serial No. / \_\_\_\_\_, filed on \_\_\_\_\_  
(e)  International application No. PCT/\_\_\_\_\_/\_\_\_\_\_  
(f)  U.S. Patent No. \_\_\_\_\_ issued \_\_\_\_\_  
     A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.  
(g)  and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the

same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal on this day set forth below.

**WARNING:** Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Date:  3/12/98  
Date of signing

William Levy  
SIGNATURE of ASSIGNOR: **William Levy**

Date:  1/12/99  
Date of signing

Robert A. Sherwood  
SIGNATURE of ASSIGNOR: **Robert A. Sherwood**

Date:  1/12/99  
Date of signing

John C. Polonchak  
SIGNATURE of ASSIGNOR: **John C. Polonchak**

(If ASSIGNOR is a legal entity, complete the following information)

\_\_\_\_\_  
Type or print the name of the above person  
authorized to sign on behalf of ASSIGNOR

\_\_\_\_\_  
Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.