

02-09-1999

Attorney Docket No. 003

FORM PTO-1595
(Rev. 6-93)

100961847

U.S. Department of Commerce
Patent and Trademark Office

To the Honorable Asst. Commissioner for Patents

ments or copy thereof

1. Name of conveying party(ies):

ALAN R. RAPACKI, DARIN C. GITTINGS,
GILBERT S. LAROYA & MARK J. FOLEYAdditional name(s) of conveying parties attached? ☐ Yes
☒ No.

3. Nature of conveyance:

☒ Assignment ☐ Merger☐ Security Agreement ☐ Change of Name☐ Other:

Execution Date: December 3, 1998

2. Name and address of receiving party(ies)

Name: VENTRICA, INC.

Internal Address:

Street Address: 976 Hamilton Avenue

City: Menlo Park State: CA ZIP: 94025

Additional names and addresses attached? ☐ Yes ☒ No

4. Application Number(s) or Patent Numbers.

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 09/170,994

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: JAMES M. HESLIN
TOWNSEND AND TOWNSEND AND CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
(415) 576-0200

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): -----\$40.00

☐ Enclosed ☒ Charge Fees to Deposit Account☒ Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account.

8. Deposit account number: 20-1430

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.*JAMES M. HESLIN
Name of Person Signing
Atty Reg. No. 29,541

Signature

DECEMBER 29, 1998
Date

Total number of pages including cover sheet, attachments and document 3

10. Change Correspondence Address to that of Part 5? ☒ Yes ☐ No

OMB No. 0651-0011 (exp. 4/94)

Do not detach this portion

Mail documents to be recorded with required cover to:

Asst. Commissioner for Patents
Box: Assignments
Washington, D.C. 20231PATENT
REEL: 9741 FRAME: 0337

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, ALAN R. RAPACKI of 2725 Hastings Avenue, Redwood City, California 94061, DARIN C. GITTINGS of 520 South Bayview Avenue, Sunnyvale, California 94086, GILBERT S. LAROYA, of 4635 Armour Drive, Santa Clara, California, 95054,, and MARK J. FOLEY, of 1151 Hobart Street, Menlo Park, California 94304, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: DELIVERING A CONDUIT INTO A
 HEART WALL TO PLACE A
 CORONARY VESSEL IN
 COMMUNICATION WITH A HEART
 CHAMBER AND REMOVING TISSUE
 FROM THE VESSEL OR HEART WALL
 TO FACILITATE SUCH
 COMMUNICATION

Date(s) of execution of Declaration: December 3, 1998

Filing Date: OCTOBER 13, 1998

Application No.: 09/170,994; and

WHEREAS, VENTRICA, INC., a corporation of Delaware, located at 976 Hamilton Avenue, Menlo Park, California 94025, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

12/3/98
Dated

Alan R Rapacki
ALAN R. RAPACKI

12-3-98
Dated

Darin C. Gittings
DARIN C. GITTINGS

12/3/98
Dated

Gilbert S. Laroya
GILBERT S. LAROYA

12/3/98
Dated

Mark J. Foley
MARK J. FOLEY