

02-10-1999

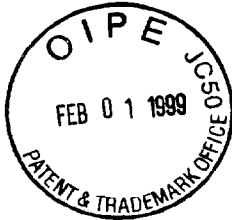
IN



ND TRADEMARK OFFICE

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Attorney Docket No. 648200004CPB



**COVER SHEET FOR RECORDAL OF DOCUMENT (PATENT)**

Hon. Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. 3.31, enclosed herewith is a document for recordal in this case.  
The following information is provided:

MAD  
2-1-99

(1) **Name Of Party Conveying The Interest:**

Sandia Corporation

(2) **Name And Address Of Party Receiving The Interest:**

Low Emissions Technologies Research and Development Partnership  
Suite 100  
Fairlane Plaza South  
330 Town Center Drive  
Dearborn, Michigan 48126

(3) **Description Of The Transaction To Be Recorded:**

Assignment       License  
 Change of Name     Other \_\_\_\_\_

(4) **Application(s) and/or Patent(s) Against Which Enclosure Is To Be Recorded:**

Serial Number 09/185,149, filed November 3, 1998.  
 Application being filed concurrently herewith.  
 Patent Number \_\_\_\_\_, issued \_\_\_\_\_.

(5) **Name And Address Of The Party To Whom Correspondence Concerning The Request To Record Should Be Mailed:**

Anna M. Budde  
Harness, Dickey & Pierce, P.L.C.  
P.O. Box 828  
Bloomfield Hills, Michigan 48303

02/04/1999 ZABDALLA 00000083 09185149

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40.00 BP

(6) **Number Of Applications and/or Patents Identified In The Cover Sheet And Total Recordal Fee:**

Number of Applications/Patents: . 1

Total Recordal Fee Enclosed: . . . \$40.00

(7) **Date(s) The Document Was Executed:** January 5, 1999

To the best of my knowledge and belief, the foregoing information is true and correct, and if the attached is not an original document, the undersigned verifies that it is a true copy of the original.

If, for some reason, Applicant(s) has/have not paid a sufficient fee, please charge our Deposit Account No. 08-0750 for any further fees which may be due. A duplicate copy of this document is enclosed.

Respectfully submitted,

By: Anna M. Budde  
ANNA M. BUDDE  
Reg. No. 35,085  
Attorney for Applicant(s)

Date: January 27, 1999

**ASSIGNMENT AND CONFIRMATORY LICENSE**

WHEREAS, Sandia employees Stephen E. Lott, Linda I. McLaughlin, Steven J. Lockwood and Timothy J. Gardner, of Albuquerque, New Mexico have made an invention relating to "Material and System for Catalytic Reduction of Nitrogen Oxide in an Exhaust Stream of a Combustion Process" in the course of the work authorized by Cooperative Research and Development Agreement (CRADA) 1155, between the Low Emission Technologies Research Development Partnership (LETRDP) and Sandia Corporation, the management and operating contractor of the Sandia National Laboratories for the Department of energy (DOE), a Delaware Corporation having a principal place of business in Albuquerque, New Mexico, and, said invention being disclosed and claimed in an application for United States patent (Serial No. 09/185,149) filed on November 2, 1998, by LETRDP, also identified as Department of energy Docket No. S-85,010, duly executed by said inventors; and

WHEREAS the Sandia employees have assigned and transferred to Sandia Corporation, its successors and assigns, their entire right, title, and interest in said invention and in and to all patents therefore wherever they may be granted as well as reissues or extensions of said patents, and

WHEREAS the Government of the United States, as represented by the U. S. Department of Energy has authorized Sandia as part of CRADA 1155, to assign the above identified invention to LETRDP subject to a reserved license to the Government of the United States.

NOW, THEREFORE, for and in consideration of the premises, Sandia, by these present, do sell, assign and transfer unto LETRDP, and its assigns and successors, its entire right, title and interest in and to said invention, subject to the Government of the United States Confirmatory License and other licenses and conditions set for the below, and to all patents therefore wherever they may be granted, as well as reissues or extensions of said patents, the same to be held and enjoyed by LETRDP to the full end of the term or terms for which said patents are or may be granted, issued or extended, as fully and entirely as the same would have been held or enjoyed by Sandia had this assignment not been made.

**CONFIRMATORY LICENSE**

This document confirms the paid-up license reserved to the United States Government mentioned in the preceding paragraph consisting of a nonexclusive, nontransferable, irrevocable, paid-up license to practice or have practiced for or on behalf of the United States this invention throughout the world. This document is further subject to the other licenses and conditions contained in CRADA 1155.

EXECUTED on this 5<sup>th</sup> day of January, 1999.

SANDIA CORPORATION (Assignor)

*M. Robert Kestenbaum*

M. Robert Kestenbaum  
Vice President, General Counsel, Corporate Secretary  
Sandia National Laboratories  
Albuquerque, NM 87185-0161

STATE OF NEW MEXICO                    )  
  ) ss:  
COUNTY OF BERNALILLO                )

The following individual personally appeared before me on the date written:

M. Robert Kestenbaum

Personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same.

*[Signature]*  
Notary Public

7/13/2002

ACCEPTED FOR THE BENEFIT OF LETRDP

WITNESS:

\_\_\_\_\_