

02-10-1999

Docket No.: FI9-98-177

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



100962859

Tab settings → → →

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Atul Ajmera, Effendi Leobandung, Werner Rausch,  
Dominic J. Schepis, Ghavam G. Shahidi

Additional names(s) of conveying party(ies) ☐ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: 1/7/99; 1/14/99; 1/20/99; 1/13/99; 1/21/99

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Internal Address: New Orchard Road

Street Address:

City: Armonk State: NY ZIP: 10504

Additional name(s) & address(es) attached? ☐ Yes ☐ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: 1/7/99; 1/14/99;  
1/20/99; 1/13/99; 1/21/99

A. Patent Application No.(s)

EXPRESS MAIL NO.: EE468937895US  
I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING  
DEPOSITED WITH THE U.S. POSTAL SERVICE AS EXPRESS  
MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER OF  
PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20231  
APPLICANT AND/OR ATTORNEY REQUESTS THE DATE OF  
DEPOSIT AS THE FILE DATE.

DATE OF DEPOSIT: January 28, 1999

BY SIGNATURE & DATE: RobinAnn Zeno

Additional numbers attached? ☒ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Burton Amernick

Internal Address: Pollock, Vande Sande & Priddy

Street Address: 1990 M Street, N.W.

City: Washington State: DC ZIP: 20036

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account  
☐ Authorized to be charged to deposit account

8. Deposit account number:

09-0458 (FI-056)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Joseph P. Abate

Name of Person Signing

Signature

January 28, 1999

Date

Total number of pages including cover sheet, attachments, and document: 3

PATENT  
REEL: 9745 FRAME: 0471

# ASSIGNMENT

Whereas, We

(1)	Atul Ajmera	City of Wappingers Falls
County of	Dutchess	and State of New York
(2)	Effendi Leobandung	City of Wappingers Falls
County of	Dutchess	and State of New York
(3)	Werner Rausch	City of Stormville
County of	Dutchess	and State of New York
(4)	Dominic J. Schepis	City of Wappingers Falls
County of	Dutches	and State of New Y9ork
(5)	Ghavam G. Shahidi	City of Elmsford
County of	Westchester	and State of New York

have invented certain improvements in

Method Of Integrating Substrate Contact On SOI Wafers With STI Process

and have executed, respectively, a United States patent application therefor on

(1)	<u>1/7</u>	1999.
(2)	<u>1/14</u>	1999.
(3)	<u>1/20</u>	1999.
(4)	<u>1/13</u>	1999.
(5)	<u>1/21</u>	1999.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504 (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents obtained therefor;

Now, therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

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(1) Signed at East Fishkill, NY

on 1/7/99 Atul Ajmera Signature  
Atul Ajmera

(2) Signed at East Fishkill, NY

on 1/14/99 Effendi Leobandung Signature  
Effendi Leobandung

(3) Signed at East Fishkill, NY

on 1/20/99 Werner Rausch Signature  
Werner Rausch

(4) Signed at East Fishkill, NY

on 1/13/99 Dominic J. Schepis Signature  
Dominic J. Schepis

(5) Signed at East Fishkill, NY

on 1/21/99 Ghavam G. Shahidi Signature  
Ghavam G. Shahidi