



02-10-1999



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PATENT

Docket No.: UC98-09812

Applicant or Patentee: ERIC R. HEGBLOM; DUANE A. LOUDERBACK
Serial or Patent No.: 09/188,154
Filed or Issued: NOVEMBER 5, 1998
For: INTEGRATED SEMICONDUCTOR LASERS AND PHOTODETECTORS
Group:
Examiner:

BOX ASSIGNMENT

Assistant Commissioner for Patents

Washington, D.C. 20231

09188154

1.29.99

ASSIGNMENT (DOCUMENT) COVER LETTER

PATENT OR PATENT APPLICATION

1. NAMES OF PARTY(IES) MAKING TRANSFER

Name 1: ERIC R. HEGBLOM
Name 2: DUANE A. LOUDERBACK
Name 3:

2. IDENTITY OF PARTY(IES) TO WHOM TRANSFER IS MADE

This transfer is being made to:

Name: THE REGENTS OF THE UNIVERSITY OF CALIFORNIA
Address: 1111 FRANKLIN STREET, 12TH FLOOR
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3. INTENTION OF DOCUMENT

A brief description of what the accompanying document intends to accomplish is that it is an:

- ☒ Assignment
☐ License
☐ Security Interest

Other

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4. **IDENTIFICATION OF PATENT OR APPLICATION**

Particulars of the patent or application to which the accompanying document applies are:

Inventor(s): ERIC R. HEGBLOM; DUANE A. LOUDERBACK

For (title): STRUCTURES FOR INTEGRATION OF SEMICONDUCTOR LASERS AND
DETECTORS

(a) — U.S. patent application filed herewith.

(b) X U.S. patent application serial no. 09/188,154 filed on NOVEMBER 5, 1998.

(c) — U.S. patent no. _____ issued _____.

5. **NAME AND ADDRESS TO WHICH CORRESPONDENCE SHOULD BE MAILED**

Please address all correspondence and return the recorded document to:

John P. O'Banion
GERBER, RITCHEY & O'BANION LLP
400 Capitol Mall, Suite 1550
Sacramento, CA 95814

6. **NUMBER OF APPLICATIONS OR PATENTS IDENTIFIED AND TOTAL FEE DUE**

The number of applications or patents identified herein is: 1

Total Fee Due \$ 40.00

7. **DATE DOCUMENT WAS EXECUTED**

The accompanying document was executed on: JANUARY 20, 1998.

X Charge Account No. 07-1137 if any additional fee is due.

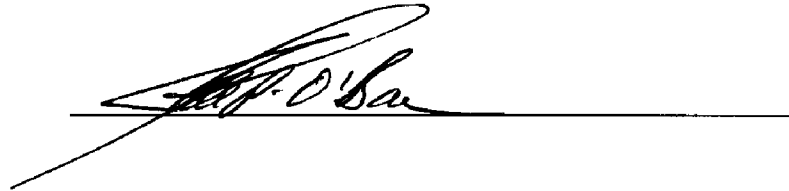
___ Charge Account No. ___ in the sum of ___ A duplicate of this transmittal is attached.

9. **STATEMENT OF AUTHENTICITY**

The undersigned certifies that, to the best of his or her knowledge and belief, the information contained in this cover sheet is true and correct, and any copy of the document submitted for recording is a true copy of the original document.

10. **SIGNATURE**

Dated: 1/25/99.

A handwritten signature in black ink, appearing to read "John P. O'Banion", is written over a horizontal line.

John P. O'Banion, Reg. No. 33,201
GERBER, RITCHEY & O'BANION LLP
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ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

Name: ERIC R. HEGBLOM
Address: 7348 HILLSBORO STREET
GOLETA, CA 93117

Name: DUANE A. LOUDERBACK
Address: 4716 FRAZIER ROAD
GOLETA, CA 93110

hereby sell(s), assign(s) and transfer(s) to ASSIGNEE:

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, A CALIFORNIA CORPORATION, 111 FRANKLIN STREET, 12th Floor, OAKLAND, CA 94607-5200,

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled:

INTEGRATED SEMICONDUCTOR LASERS AND PHOTODETECTORS

and which is found in

- (a) ☐ U.S. provisional patent application filed herewith and listing the above named person(s) as inventor(s).
- (b) ☐ U.S. patent application filed herewith listing the above named person(s) as inventor(s).
- (c) ☒ U.S. patent application serial no. 09/188,154, filed on NOVEMBER 5, 1998.
- (d) ☐ U.S. Patent No.: _____, issued _____.

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenant(s) that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said Invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) request(s) the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, the Regents of the University of California.

Date: 1/19/99

Inventor: Eric Hegblom

ERIC R. HEGBLOM

Date: 1-20-99

Inventor: Duane Louderback

DUANE A. LOUDERBACK