

A/D581-40

RI

02-12-1999

ET

09/180441

11.6.98



100964587

Our Ref.: 1430-200

Commissioner of Patents and Trademarks
Box Assignment, Washington, D.C. 20231

300 Rec'd PCT/PTO 06 NOV 1998

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Frank ELLIS Simon C. DOLAN
Terence A. PANCHAL

Peter C. NORTH
Jason W.B. COOKE

Additional name/s of conveying party/ies attached? ☐

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Assignment ☐ Change of Name
☐ Other

Execution Date: 14 May 1996

2. Name and address of receiving party(ies):

Name: GLAXO RESEARCH AND DEVELOPMENT
LIMITED

Internal Address:

Street Address: Glaxo Wellcome House, Berkeley Avenue
Greenford

City: Middlesex

State/Country: England

Zip: UB6 0NN

Additional name/s & address/es attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

16, 20, 21, 22 October 1998

A. Patent Application No(s).

- (1) Unknown
(2)
(3)

09 180 441

B. Patent No(s).

- (1)
(2)
(3)

Additional numbers attached ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Arthur R. Crawford

Internal Address:

Street Address: Nixon & Vanderhye P.C.

1100 North Glebe Road

8th Floor

City: Arlington State: VA Zip: 22201

6. Total number of applications & patents involved: 1

7. Total fee (37 CFR 3.41)

\$ 40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account #14-1140

8. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper thereafter filed in this application by this firm) to our Account No. 14-1140.

DO NOT USE THIS SPACE

9. Statements and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Arthur R. Crawford
Name of Person Signing
Reg. No. 25,327

Signature

November 6, 1998
Date

Total number of pages including original cover sheet, attachments, and document: [5]

ASSIGNMENT

WHEREAS I/WE, Frank ELLIS, Terence Aaron PANCHAL, Peter Charles NORTH, Jason William Beames COOKE, Simon Charles DOLAN, all residing at c/o Glaxo Wellcome plc, Gunnels Wood Road, Stevenage, Herts, SG1 2NY, UK (hereinafter called "the inventor(s)") have invented or discovered "Benzofurans and Benzopyrans as Chronobiological Agents" (hereinafter called "the invention") for which an application for Letters Patent in the United States of America was originally filed as International Application No. PCT/EP97/02402 on 13 May 1997 (hereinafter called "the application"), and

WHEREAS the invention, being made in the circumstances set out in Section 39(1)(a) of the United Kingdom Patents Act 1977, belongs to my/our employer, namely **GLAXO WELLCOME plc**, a company incorporated in England whose registered address is Glaxo Wellcome House, Berkeley Avenue, Greenford, Middlesex, UB6 0NN, England, and

WHEREAS **GLAXO RESEARCH AND DEVELOPMENT LIMITED**, a company incorporated in England whose registered address is Glaxo Wellcome House, Berkeley Avenue, Greenford, Middlesex UB6 0NN, England, is desirous of acquiring from **GLAXO WELLCOME plc** the whole right, title and interest in and to the invention and the application, and

WHEREAS **GLAXO WELLCOME plc** and **GLAXO RESEARCH AND DEVELOPMENT LIMITED** have authorised and requested my/our making the application, and

WHEREAS **GLAXO WELLCOME INC.**, a corporation organised and existing under and by virtue of the laws of the State of North Carolina and having its principal place of business at Five Moore Drive, Research Triangle Park, North Carolina 27709, USA is desirous of acquiring from **GLAXO RESEARCH AND DEVELOPMENT LIMITED** the whole right, title and interest in and to the invention and the application in respect of the United States of America;

NOW, THEREFORE, to all whom it may concern be it known that I/we, the inventor(s), hereby confirm the ownership by **GLAXO WELLCOME plc** of the invention and the application by operation of law under the United Kingdom Patents Act 1977 and, if under the law of the United States of America I/we the inventor(s) have any ownership right, title and interest in the invention and the application (which I/we do not believe to be the case and claim no ownership right, title or interest in the invention and the application based on the law of the United Kingdom), I/we the inventor(s) nevertheless hereby assign and transfer such ownership right, title and interest in and to the invention and the application to **GLAXO WELLCOME plc**. **GLAXO WELLCOME plc** in turn hereby assigns and transfers to **GLAXO RESEARCH AND DEVELOPMENT LIMITED** its whole right, title and interest in and to the invention and the application. **GLAXO RESEARCH AND DEVELOPMENT LIMITED** in turn hereby assigns and transfers to **GLAXO WELLCOME INC.** its whole right, title and interest in and throughout the United States of America in and to the invention and the application and in and to any priority rights in respect of the invention and the application and in and to any divisional application, continuation or continuation in part application thereof, and in and to any extension or re-issue thereof, and I/we the inventor(s) and **GLAXO WELLCOME plc** and **GLAXO RESEARCH AND DEVELOPMENT LIMITED** hereby authorise and request any patent arising therefrom in the United States of America be issued to **GLAXO WELLCOME INC.**

AND GLAXO WELLCOME plc and **GLAXO RESEARCH AND DEVELOPMENT LIMITED** hereby, and I/we the inventor(s) for myself/ourselves and my/our respective executors and legal representatives hereby, agree to provide information and make execute and deliver any and all other instruments in writing, and any and all further acts, applications, papers, affidavits, assignments and other documents which may be possible and are necessary or desirable to more effectually secure to and vest in **GLAXO WELLCOME INC.**, its successors and assigns, the whole right, title and interest in and to the invention and the application hereby assigned and transferred in respect of the United States of America.

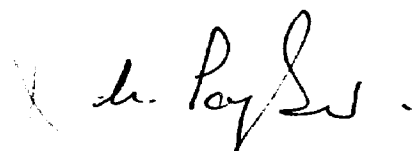
IT is hereby declared that each of the transactions hereby effected does not form part of a larger transaction or of a series of transactions in respect of which the amount or value, or the aggregate amount or value, of the consideration exceeds £60,000.

IN WITNESS whereof and with effect from the 14th day of May 1996 the inventor(s) and Graham George Brereton as Attorney of both **GLAXO WELLCOME plc** and **GLAXO RESEARCH AND DEVELOPMENT LIMITED** by virtue of Powers of Attorney granted by **GLAXO WELLCOME plc** and **GLAXO RESEARCH AND DEVELOPMENT LIMITED** respectively have hereunto set their respective hands.


SIGNED by Frank ELLIS: 

in the presence of: 

SIGNED by Terence Aaron PANCHAL: 

In the presence of: 

SIGNED by Peter Charles NORTH: 

In the presence of: 

SIGNED by Jason William Beames COOKE: 

In the presence of: 

SIGNED by Simon Charles DOLAN:



In the presence of:

X C. Manning

SIGNED by Graham George Brereton as the Attorney of each of Glaxo Wellcome plc
and Glaxo Research and Development Limited:

