

02-19-1999



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HEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Dkt #2475

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Mark S. Cole

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____

Execution Date: February 8, 1999

2. Name and address of receiving party(ies)

Name: ALLERGAN SALES, INC.

Internal Address: _____

Street Address: 2525 Dupont

City: Irvine State: CA ZIP: 92612

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 2-8-99

A. Patent Application No.(s)

B. Patent No.(s)

09247841

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: R.J. Baran, Esq.

ALLERGAN, INC.

Internal Address: _____

Street Address: 2525 Dupont Drive

City: Irvine State: CA ZIP: 92612

City: Irvine

40.00 DP

State: CA

ZIP: 92612

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

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fee OK

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Walter A. Hackler

Name of Person Signing

Signature

3

Date

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments PATENT

Washington, D.C. 20231

REEL: 9758 FRAME: 0240

ASSIGNMENT

WHEREAS I, Mark S. Cole of Orange COUNTY, CALIFORNIA,
_____ of _____ COUNTY, CALIFORNIA _____ of _____
COUNTY, CALIFORNIA _____ of _____ COUNTY, CALIFORNIA
_____ of _____ COUNTY, CALIFORNIA _____ of _____
COUNTY, CALIFORNIA (hereinafter referred to as ASSIGNOR), have invented and
own a certain invention entitled: IMPROVED COUPLED DIAPHRAGM INTERFACE FOR
PHACOEMULSIFICATION APPARATUS,

for which application for Letters Patent of the United States has been executed on even
date herewith.

WHEREAS: Allergan Sales, Inc., having its principal place of business at 2525
Dupont Drive, Irvine, CA 92612 (hereinafter referred to as ASSIGNEE), is desirous of
acquiring the entire interest in, to and under said invention and in, to and under Letters
Patent or similar legal protection to be obtained therefor in the United States and in any
and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in
consideration of the payment by ASSIGNEE TO ASSIGNOR of the sum of One Dollar
(\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable
consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the full and
exclusive right, title and interest to said invention in the United States and its territorial
possessions and in all foreign countries to all Letters Patent or similar legal protection in
the United States and its territorial possessions and in any and all foreign countries to be
obtained for said invention by said application or any continuation, divisional, renewal,
substitute or reissue thereof or any legal equivalent thereof in a foreign country for the
full term or terms for which the same may be granted.

ASSIGNOR hereby covenants that no assignment, sale, agreement or
encumbrance has been or will be made or entered into which would conflict with this
assignment and sale;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided
promptly with all pertinent facts and documents relating to said application, said
invention and said Letters Patent and legal equivalents in foreign countries as may be
known and accessible to ASSIGNOR and will testify as to the same in any interference or
litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal
representative any and all papers, instruments or affidavits required to apply for, obtain,
maintain, issue and enforce said application, said invention and said Letters Patent and
said equivalent thereof in any foreign country which may be necessary or desirable to
carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

2-8, 1999

State of CALIFORNIA)
) ss:
 County of Orange)

On February 8, 1999 before me, Deborah A. Weinschenk, Notary Public
 personally appeared mark S. cole
~~personally known to me (or proved to me on the basis of satisfactory evidence)~~ to be the
 person(s) whose name(s) ~~is/are~~ subscribed to the within instrument and acknowledged
 to me that ~~he/she/they~~ executed the same in ~~his/her/their~~ authorized capacity(ies), and
 that by ~~his/her/their~~ signature(s) on the instrument the person, or the entity upon
 behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Deborah A. Weinschenk
 Notary Public

