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Akira Nishiyama, Tadashi Sugawa, Hajime
Manabe, Kenji Inoue and Noritaka Yoshida

Additional name(s) of conveying party(ies)
attached? ☐ Yes ☒ No

3. Nature of conveyance:
☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of
name
☐ Other

Execution Date: September 17, 1997

2. Name and address of receiving party(ies):

Name: Kaneka Corporation

Internal Address:

Street Address: 2-4 Nakanoshima 3-chome,

Kita-ku, Osaka-shi

City: Osaka State: Japan Zip: 530

Additional name(s) & address(es) attached? ☐
Yes ☒ No

4. Application number(s) or patent number(s):
If this document is being filed together with a new application, the execution date of
the application is:

A. Patent Application No.(s)
08/907,461

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom
correspondence concerning document
should be mailed:

Name: Pollock, Vande Sande & Priddy

Internal Address: P.O. Box
19088

Street Address: 1990 M Street,
N.W. Suite 800

City: Washington State: D.C. Zip:
20036

6. Total number of applications and
patents involved 11

7. Total fee (37 CFR 3.41).....\$40

☒ Enclosed

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02/17/1999 AIBRAH11 00000046 08907461

03 FC:581

40.00 DP

PATENT
REEL: 9760 FRAME: 0437

ASSIGNMENT

WHEREAS, we, Akira Nishiyama, Tadashi Sugawa, Hajime Manabe, Kenji Inoue and Noritaka Yoshida, are citizens of Japan and have invented certain improvements in and to "PROCESSES FOR PRODUCING 1,2-EPOXY-3-AMINO-4-PHENYLBUTANE DERIVATIVES" and described in the application for United States Letters Patent therefor, executed on even date herewith;

WHEREAS, KANEKA CORPORATION, having its principal place of business at 2-4 Nakanoshima 3-chome, Kita-ku, Osaka-shi, OSAKA 530 JAPAN, a corporation of Japan (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the entire right, title and interest in and to said discovery and in and to any Letters Patent that may be granted therefor in the United States and its territorial possessions, and in any and all foreign countries and all reissues, divisions, continuations, extensions, substitutions and renewals thereof;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00), the receipt whereof is hereby acknowledged, and for other good and valuable consideration, we, by these presents, do sell, assign and transfer unto said ASSIGNEE, the full and exclusive right to the said discovery in the United States and its territorial possessions, and in all foreign countries and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions, and in any and all foreign countries, and in and to any and all divisions, reissues, continuations, substitutions, renewals and extensions thereof, and all rights of priority resulting from the filing of said U.S. application.

We hereby authorize and request the Patent Trademark Office officials in the United States and its territorial possessions and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE as the assignee of our entire right, title and interest in and to the same, for the sole use and behoof of the said ASSIGNEE, its successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by us had this assignment and sale not been made.

FURTHER, we agree that we will communicate to said ASSIGNEE or its representatives any facts known to respecting said discovery, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said ASSIGNEE, make all rightful oaths, and generally do everything possible to aid said ASSIGNEE, its successors, and assigns, to obtain and enforce proper protection for said discovery in the United States and its territorial possessions, and in any and all foreign countries.

514-917

The undersigned hereby grant(s) the firm of Pollock, Vande Sande & Priddy, 1990 M Street, N.W., Suite 800, P.O. Box 19088, Washington, DC 20036, the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

IN TESTIMONY WHEREOF, we hereunto set our hand as follows:

Date: SEP. 17. 1997

By: Akira Nishiyama
AKIRA NISHIYAMA

Date: SEP. 17. 1997

By: Tadashi Sugawa
TADASHI SUGAWA

Date: SEP. 17. 1997

By: Hayime Manabe
HAJIME MANABE

Date: SEP. 17. 1997

By: Kenji Inoue
KENJI INOUE

Date: SEP. 17. 1997

By: Noritaka Yoshida
NORITAKA YOSHIDA