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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

COVER SHEET

PATENTS ONLY

Attorney Docket Nos. 319/1/038; 319/1/043; 319/1/042; 319/1/051

To the Assistant Commissioner of Patents. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Tanaka Kikinzoku Kogyo K.K.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name

Other: _____

Execution Date: January 21, 1999

2. Name and address of receiving party(ies):

Name: Debio Pharm S.A.

Address: 17, rue des Terreaux

Case postale 211

CH-1000 Lausanne 9

Switzerland

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

5,290,961; 5,298,642; 5,338,874 and 5,420,319

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: STEFAN J. KLAUBER, ESQ.

Address: KLAUBER & JACKSON

411 Hackensack Avenue, 4th Floor

Hackensack, NJ 07601

6. Total number of applications and patents involved: 4

7. Total fee (37 CFR 3.41): \$160.00

☒ Enclosed.☒ Authorized to be charged to deposit account, if necessary - for overages or underpayments only

8. Deposit account number:

11-1153

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Stefan J. Klauber

Name of Person Signing

Signature

2/11/99

Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:

Assistant Commissioner of Patents
Box Assignments
Washington, D.C. 20231PATENT
REEL: 9764 FRAME: 0099

(9/94)

ASSIGNMENT OF UNITED STATES LETTERS PATENTS

WHEREAS, Tanaka Kikinzoku Kogyo K.K., a corporation of Japan, having a place of business at No. 6-6, Nihonbashi-kayaba-cho, 2-chome, Chuo-ku, Tokyo, Japan, is the sole and exclusive owner, by assignment of the United States patents listed in Appendix A attached hereto; and

WHEREAS Debio Pharm S.A., a corporation of Switzerland, having a place of business at 17, rue des Terreaux, Case postale 211, CH-1000 Lausanne 9, Switzerland, is desirous of acquiring the right, title and interest in, to and under said Letters Patents listed on Appendix A;

NOW, THEREFORE, in consideration of and in exchange for the sum of One Dollar (\$1.00) to it in hand paid by Debio Pharm S.A., and other good and valuable consideration, the receipt of which is hereby acknowledged, Tanaka Kikinzoku Kogyo K.K. has sold, assigned, transferred and set over to Debio Pharm S.A., said Letters Patents on Appendix A, together with any reissues and extensions thereof which may be granted on or from said patents, the same to be held and enjoyed by Debio Pharm S.A. for its own use and enjoyment and for the use and enjoyment of its successors, assigns or other legal representatives, to the end of the term or terms for which the said patents may be granted or reissued or extended, as fully and entirely as the same would have been held and enjoyed by Tanaka Kikinzoku Kogyo K.K., if this assignment and sale had not been made; together with all claims for damages by reason of past infringement of said Letters Patents, with the right to sue for and collect the same for its own use and benefit, and for the use and benefit of its successors, assigns and/or other legal representatives.

And Tanaka Kikinzoku Kogyo K.K. hereby represents that it has full right to convey the entire interest herein assigned, and that it has not executed, and will not execute, any agreement in conflict herewith.

TANAKA KIKINZOKU KOGYO K.K.

By: Seiichiro Tanaka
 Name: Seiichiro Tanaka
 Title: Senior Managing Director

Date: January 21, 1999

Witness:

Noriyuki Sugita
 Name: Noriyuki Sugita
 Title:
 Address: 8-7, Midorigaoka 3-chome,
 Ninomiya-machi, Naka-gun, Kanagawa
 JAPAN

APPENDIX A

<u>Attorney Docket No.</u>	<u>Title</u>	<u>Patent No.</u>	<u>Issue Date</u>
319/1/038	Platinum Compound and Process of Preparing Same	5,290,961	03/01/94
319/1/043	Process of Optically Resolving Optically Active Platinum Complex Compound	5,298,642	03/29/94
319/1/042	Cis Oxalato (Trans-1-1,2- Cyclohexanediamine) PT(II) Having Optically High Purity	5,338,874	08/16/94
319/1/051	Cis-Oxalato (Trans-1-1,2- Cyclohexanediamine) PT(II) Complex Having High Optical Purity and Process of Preparing Same	5,420,319	05/30/95