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COVER SHEET

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U.S. DEPARTMENT OF  
COMMERCE  
Patent and Trademark Office

W07-004,016,028,054

To the Honorable Commissioner

and the attached original documents or copy

1. Name of conveying party(ies):

Peter J. Wilk  
Naomi L. Nakao

2. Name and address of receiving party(ies)

Name: Wilk and Nakao Medical Technology  
Incorporated

Internal Address: \_\_\_\_\_

Street Address: 160 Third Avenue

3. Nature of conveyance:

☐ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☒ Other Submission to correct record of second  
assignor's name

City New York State New York ZIP 10003

Execution Date: 16 September 1997 and 19 September 1997

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

5,015,249

5,049,153

5,156,609

5,222,961

02/18/1999 JSHABAZZ 00000056 5015249

01 FC:581

160.00 OP

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name Coleman Sudol, LLP.

Internal Address: c/o R. Neil Sudol

Street Address: 708 Third Avenue, 14th Floor

City: New York State: New York ZIP: 10017

6. Total number of applications and patents involved: 4

7. Total fee (37 C.F.R. 3.41)..... \$ 160.00

☒ Enclosed

8. Deposit account number:

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9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

R. Neil Sudol, Reg. No. 31,669

Name of Person Signing

Signature

16

Date

9 Feb 1999

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

PATENT  
REEL: 9764 FRAME: 0110

# RECORDATION FORM

EET

U.S. DEPARTMENT OF  
COMMERCE  
Patent and Trademark Office

09-28-1998

W07-004,016,028,054

To the Honorable Commissioner of Patents

Attached original documents or copy



100840091

1998 SEP 21 AM 11:56

1. Name of conveying party(ies):

Peter J. Wilk & Naomi L. Makao

9-21-98

2. Name and address of receiving party(ies)

Name: Wilk and Nakao Medical Technology  
Incorporated

Internal Address:

Street Address: 160 Third Avenue

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name

☐ Other

Execution Date: 16 Sept. 1997 and 19 Sept. 1997

City New York State NY ZIP 10003

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

5,015,249 5,049,153  
5,156,609 5,222,961

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name Coleman Sudol, LLP.

Internal Address: c/o R. Neil Sudol

Street Address: 270 Madison Avenue, Suite 1301

City: New York State: New York ZIP: 10016

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. 3.41)..... \$160.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

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9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

R. Neil Sudol, Reg. No. 31,669

Name of Person Signing

*R. Neil Sudol*

Signature

10

September 16, 1998

Date

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Washington, D.C. 20231

09/25/1998 JWR/TMS 0000055 501249 160.00 OP

PATENT

REEL: 9764 FRAME: 0111

## ASSIGNMENT

WHEREAS, we, Peter J. Wilk and Naomi L. Nakao, citizens of the United States of America, residing at 185 West End Avenue, New York, New York 10023, and 303 East 57th Street, New York, New York 10022, respectively, have invented new and useful improvements in several different kinds of medical devices and techniques, for which we have made applications for Letters Patent of the United States and for which have have obtained some patents, said applications and issued patents being listed on Appendix A attached hereto.

WHEREAS, Wilk & Nakao Medical Technology Incorporated (hereinafter "WILK & NAKAO MEDICAL TECHNOLOGY"), a corporation organized and existing under the laws of the State of New York, having a place of business at 160 Third Avenue, New York, New York 10003, is desirous of acquiring the entire right, title and interest in and to the applications and patents and inventions therein described and claimed and any Letters Patents which may be issued upon the applications or for improvements therein contained.

Now, THEREFORE, in consideration of our employment by said WILK & NAKAO MEDICAL TECHNOLOGY and other good and valuable considerations, to us in hand paid, the receipt and sufficiency of which are hereby acknowledged, we, Peter J. Wilk and Naomi L. Nakao, have sold, assigned and transferred and do hereby sell, assign and transfer unto said WILK & NAKAO MEDICAL TECHNOLOGY, its successors and assigns, our entire right, title and interest in and to the applications, the patents and to the inventions therein disclosed and the entire right, title and interest in and to any Letters Patents which may issue on the applications, and the right to apply for any Letters Patent in the United States of America and in any and all foreign countries on said inventions, including all divisional, renewal, substitute and continuation applications based in whole or in part upon said inventions, or upon any and all Letters Patents

that may issue thereon, and every priority right that is or may be predicated upon or arise from said inventions, the same to be held and enjoyed by said WILK & NAKAO MEDICAL TECHNOLOGY, for its own use and enjoyment and for the use and enjoyment of its successors and assigns, to the full end of the term for which any said Letters Patent are granted, as fully and as entirely as the same would have been held and enjoyed by us had this Assignment and sale not been made.

We, Peter J. Wilk and Naomi L. Nakao, hereby authorize said WILK & NAKAO MEDICAL TECHNOLOGY to file patent applications in any or all other countries on said inventions in our names or in the name of said WILK & NAKAO MEDICAL TECHNOLOGY or otherwise as said WILK & NAKAO MEDICAL TECHNOLOGY may deem advisable.

We, Peter J. Wilk and Naomo L. Nakao, hereby authorize the empowered officials of all governments to issue or transfer any said Letters Patent granted, to said WILK & NAKAO MEDICAL TECHNOLOGY, as assignee of the entire right, title and interest therein or otherwise as said WILK & NAKAO MEDICAL TECHNOLOGY may direct, in accordance with this instrument or assignment.

In further consideration of said good and valuable considerations, we, Peter J. Wilk and Naomi L. Nakao, further represent and warrant to said WILK & NAKAO MEDICAL TECHNOLOGY, its successors and assigns, that there are no rights and interests outstanding inconsistent with the rights and interests granted herein and that we have not executed any instrument or granted or transferred any rights or interests inconsistent with the rights and interests granted herein and that we will not execute any instrument or grant or transfer any rights or interests inconsistent herewith, and we bind ourselves, our heirs, executors, administrators and legal representatives, as the case may be, to execute and deliver to said WILK & NAKAO

MEDICAL TECHNOLOGY, its successors and assigns, any further documents or instruments and do any and all further acts that may be deemed necessary or desirable by said WILK & NAKAO MEDICAL TECHNOLOGY, its successors and assigns, to vest in said WILK & NAKAO MEDICAL TECHNOLOGY, its successors and assigns, the title whose conveyance is made hereby, and to enable such title to be recorded in the United States and all other countries, and to enable said WILK & NAKAO MEDICAL TECHNOLOGY to sustain or reissue any said Letters Patent granted, and to maintain, perfect, support and protect the right, title and interest of said WILK & NAKAO MEDICAL TECHNOLOGY, its successors and assigns, in and to the said inventions, and any Letters Patent granted, particularly in cases of interference and litigation.

We, Peter J. Wilk and Naomo L. Nakao, further covenant and agree that we, our executors and administrators, will at any time upon request of said WILK & NAKAO MEDICAL TECHNOLOGY, its successors or assigns, and at its expense, communicate to said WILK & NAKAO MEDICAL TECHNOLOGY, its successors and assigns, any facts relating to the inventions and improvements and the history thereof, known to us or our heirs, executors, administrators or legal representatives, and that we will testify as to the same in any interference, or other litigation when requested to do so by said WILK & NAKAO MEDICAL TECHNOLOGY, its successors and assigns.

In further consideration of the rights, titles, and interests transferred hereby, said WILK & NAKAO MEDICAL TECHNOLOGY hereby agrees that no rights, titles or interests transferred herein will be transferred, sold, assigned or licensed to any third party by said WILK & NAKAO MEDICAL TECHNOLOGY without the written consent of both Peter J. Wilk and Naomi L. Nakao.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this 16<sup>th</sup> day of September, 1997.

my hand and seal this 16<sup>th</sup> day of June  
Peter J. Wilk  
 Peter J. Wilk

)

) SS.:

1

On this 16<sup>th</sup> day of September, 1997, before me personally appeared Peter J. Wilk, to me known and known to me to be the individual described in the foregoing instrument, and he duly acknowledged to me that he executed the foregoing instrument, and he acknowledged to me that he executed the same for the purpose therein set forth.

McHance, Douglas

Notary Public

**My Commission Expires:**

(SEAL)

**DANSINGHANI MOHAN K.**  
**Notary Public, State of New York**  
**No. 01DA5039674**  
**Qualified in Nassau County**  
**Commission Expires Feb. 21, 1999.**

9, 1997.

Naomi L. Nakao

COUNTY OF )

acknowledged to me that she executed the same for the purpose therein set forth.

**My Commission Expires:**

(SEAL)

Commission Expires September 30, 1977

September, 1997.

By:

)

) SS.:

)

Mehar. Dasgupta

Qualified in Nassau County  
Commission Expires Feb. 21, 1999





**APPENDIX A**

<b><u>Patent No.</u></b>	<b><u>Serial No.</u></b>	<b><u>Filing Date</u></b>	<b><u>Application Title</u></b>
5,201,740	788,035	11/5/91	Surgical Retrieval Assembly and Related Method
5,190,542	892,214	6/02/92	Surgical Retrieval Assembly and Related Method
5,234,439	926,762	8/06/92	Method and Instrument Assembly for Removing Organ
5,195,967	836,768	2/18/92	Anticlotting Device and Method for Use with IV Catheters
5,336,181	875,455	4/29/92	Anticlotting Device and Method for Use with IV Catheters
5,217,001	803,569	12/9/91	Endoscope Sheath and Related Method
5,400,770	821,410	1/15/92	Device Utilizable with Endoscope and Related Surgical Method
Abandoned	899,468	6/16/92	Endoscope Assembly and Associated Method
5,015,249	456,960	12/26/89	Endoscopic Stapling Device and Method
5,049,153	543,704	6/26/90	Endoscopic Stapling Device and Method
5,156,609	695,702	5/2/91	Endoscopic Stapling Device and Method
5,222,961	818,161	1/08/92	Endoscopic Stapling Device and Related Staple
Abandoned	831,797	2/05/92	Endoscopic or Laparoscopic Device with Video Monitor
5,398,685	904,862	6/26/92	Endoscopic Diagnostic System and Associated Method
5,282,788	853,987	3/20/92	Method and Device for Obtaining Continued Transdermal Access
Abandoned	788,034	11/5/91	Method and Apparatus for Use in Laparoscopic and Endoscopic Surgery

<u>Patent No</u>	<u>Serial No.</u>	<u>Filing Date</u>	<u>Application Title</u>
Abandoned	833,086	2/10/92	Endoscope with Disposable Insertion Member
Abandoned	835,766	2/13/92	Catheter Assembly and Related Method
Abandoned	848,831	3/10/92	Laparoscopic Instrument Assembly and Related Method
Abandoned	848,830	3/10/92	Reduction Port for Laparoscopic Trocar Sleeve and Related Method
Abandoned	854,201	3/20/92	System, Device and Method for Delivering Medicine Intravenously
5,396,879	866,815	4/09/92	Elongate Medical Instrument with Distal End Orientation Control
Abandoned	874,819	4/28/92	Method and Apparatus for Detecting Blood Transfusion Reaction and Terminating Transfusion
5,360,412	956,113	10/5/92	Method and Device for Regulating Flow in Intravenous Line
5,374,273	957,416	10/5/92	Method and Instrument Assembly for Retrieval of Retained Common Bile Duct Stones
5,318,179	962,268	10/16/92	Safety Method and Device for Intravenous Feed Systems
5,336,227	012,657	2/01/93	Surgical Retrieval Assembly and Associated Method
5,353,783	072,774	6/07/93	Endoscopic Sheath and Related Method
5,486,182	213,196	3/14/94	Surgical Retrieval Assembly and Associated Method
Pending	333,363	11/02/94	Surgical Retrieval Assembly and Associated Method
Pending	648,906	5/16/96	Endoscopic Biopsy Channel Liner and Related Method
Pending	700,562	8/08/96	Surgical Retrieval Assembly and Associated Method
Pending	800,607	2/14/97	Improved Snare Cauterization Surgical Instrument Assembly and Method of Manufacture

**IN THE PATENT AND TRADEMARK OFFICE**

APPLICANT : Peter J. Wilk and Naomi L. Nakao  
PATENT NO. : 5,015,249; 5,049,153; 5,156,609; 5,222,961  
ISSUE DATE : 5/14/91; 9/17/91; 10/20/92; 6/29/93  
SERIAL NO. : 07/456,960; 07/543,704; 07/695,702; 07/818,161  
DATE FILED : 12/26/89; 6/26/90; 5/3/91; 1/8/92  
FOR : Endoscopic Stapling Device and Associated Method;  
Endoscopic Stapling Device and Associated Method;  
Endoscopic Stapling Device and Method;  
Endoscopic Stapling Device and Related Staple

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Assistant Commissioner for Patents  
Washington D.C. 20231  
Box: Assignments

**CHANGE OF CORRESPONDENCE ADDRESS**

S I R :

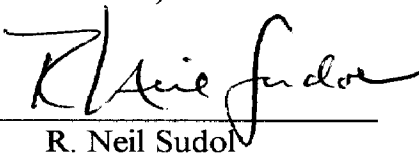
Please note that the office address of the appointed counsel in the above-identified patent has changed. All future correspondence should be directed to the undersigned at the following new address:

**COLEMAN SUDOL, LLP**  
**708 Third Avenue, 14th Floor**  
**New York, New York 10017**

Kindly make all necessary changes to the records in the Patent and Trademark Office to reflect this change.

Please direct all telephone calls to the undersigned at (212) 679-0090

Respectfully submitted,  
Coleman Sudol, LLP

By:   
R. Neil Sudol  
Reg. No. 31,669

708 Third Avenue, 14th Floor  
New York, New York 10017  
(212) 679-0090  
Dated: February 12, 1999

**RECORDED: 02/16/1999**

**PATENT**  
**REEL: 9764 FRAME: 0121**