

02-22-1999

37473(V)

RECORDATION FORM COVER SHEET

PATENTS ONLY **120 216 99**

To the Honorable Commissioner of Patents and Trademarks: Plc

**100970378**

copy thereof.

## 1. NAME OF CONVEYING PARTY(IES):

Mark John BERRY  
Paul James DAVIS  
Michael John GIDLEY

ADDITIONAL NAME(S) OF CONVEYING PARTY(IES) ATTACHED?

YES ☐ NO ☒

## 2. NAME AND ADDRESS OF RECEIVING PARTY(IES):

NAME: Lever Brothers Company, Division of Conopco, Inc.

STREET ADDRESS: 390 Park Avenue

CITY: New York

ZIP: 10022

STATE: NY

ADDITIONAL NAME(S) &amp; ADDRESS(ES) ATTACHED?

YES ☐ NO ☒

## 3. NATURE OF CONVEYANCE:

☒ ASSIGNMENT ☐ SECURITY AGREEMENT ☐ MERGER ☐ CHANGE OF NAME ☐ OTHER

EXECUTION DATE: December 21, 1998; February 7, 1999

4. APPLICATION NUMBER(S) OR PATENT NUMBER(S): 09/229,043 FILED: January 12, 1999

IF THIS DOCUMENT IS BEING FILED TOGETHER WITH A NEW APPLICATION, THE EXECUTION DATE OF THE APPLICATION IS:

A. PATENT APPLICATION NO.(S): 09/229,043

B. PATENT NO.(S)

ADDITIONAL NUMBERS ATTACHED? ☐ YES ☒ NO

## 5. NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE CONCERNING DOCUMENT SHOULD BE MAILED:

UNILEVER PATENT DEPARTMENT, 45 RIVER ROAD, EDGEWATER, NEW JERSEY 07020

6. TOTAL NUMBER OF APPLICATIONS AND PATENTS INVOLVED: 1


7. TOTAL FEE (37 CFR 3.41): \$40.00 **E** ☐ ENCLOSED ☒ AUTHORIZED TO BE CHARGED TO DEPOSIT ACCOUNT  
☒ ANY DEFICIENCY OR OVERPAYMENT SHOULD BE CHARGED OR CREDITED TO DEPOSIT ACCOUNT 12-1155.

8. DEPOSIT ACCOUNT NUMBER: 12-1155 (Duplicate copy of this page is attached for payment by deposit account)

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## 9. STATEMENT AND SIGNATURE:

TO THE BEST OF MY KNOWLEDGE AND BELIEF, THE FOREGOING INFORMATION IS TRUE AND CORRECT AND ANY ATTACHED COPY IS A TRUE COPY OF THE ORIGINAL DOCUMENT.

  
NEIL Y. GILBERT, ATTORNEY FOR APPLICANT(S)  
REGISTRATION NO. 35,1562/6/99  
DATE

TOTAL NUMBER OF PAGES COMPRISING COVER SHEET, ATTACHMENTS, AND DOCUMENT: 4

02/19/1999 JSHABAZZ 00000037 121155 09229043

OMB NO. 0651-0011 (EXP. 4/94)

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MAIL DOCUMENTS TO BE RECORDED WITH REQUIRED COVER SHEET INFORMATION TO:  
COMMISSIONER OF PATENTS AND TRADEMARKS, BOX ASSIGNMENTS, WASHINGTON D.C. 20231

PUBLIC BURDEN REPORTING FOR THIS SAMPLE COVER SHEET IS ESTIMATED TO AVERAGE ABOVE 30 MINUTES PER DOCUMENTS TO BE RECORDED, INCLUDING TIME FOR REVIEWING THE DOCUMENT AND GATHERING THE DATA NEEDED, AND COMPLETING AND REVIEWING THE SAMPLE COVER SHEET. SEND COMMENTS REGARDING THIS BURDEN ESTIMATE TO THE U.S. PATENT AND TRADEMARK OFFICE, OFFICE OF INFORMATION SYSTEMS, P2-1000C, WASHINGTON, D.C. 20231 AND TO THE OFFICE OF MANAGEMENT AND BUDGET, PAPERWORK REDUCTION PROJECT (0651-0011), WASHINGTON, D.C. 20231

PATENT  
REEL: 9765 FRAME: 0785

**ASSIGNMENT**

C7473 (V)

WHEREAS, I/WE, Mark John BERRY, Paul James DAVIS, Michael John GIDLEY, all of Unilever Research Colworth, Colworth House, Sharnbrook, Bedford, MK44 1LQ, United Kingdom

have invented certain improvements in: **Improvements in or relating to binding of polysaccharides**

(bearing Attorney's Docket Number: **C7473 (V)**), which is found in

[Check and complete (a), (b) or (c)]

(a) \_\_\_\_\_ U.S. patent application executed on \_\_\_\_\_

(b)   X   To comply with 37 CFR 3.21 for recordal of this assignment, I/WE as ASSIGNOR(S) signing below, hereby authorize and request my/our attorney, as named in the Declaration and Power of Attorney to insert below the filing date and application number when it becomes known.

U.S. application Serial No. 09/229043 filed on January 12, 1999

(c) \_\_\_\_\_ International Application No. PCT/\_\_\_\_\_/\_\_\_\_\_

AND WHEREAS, **LEVER BROTHERS COMPANY, DIVISION OF CONOPCO, INC.**, a corporation of **NEW YORK**, having a principal place of business at **390 PARK AVENUE, NEW YORK, NEW YORK 10022** desires to acquire the entire right title and interest in and to the aforesaid invention, and to said United States application or any reissues, divisions, continuation, or continuation-in-part thereof, and in any Letters Patent which may issue therefrom;

NOW, THEREFORE, in consideration of one dollar (\$1.00) and other good and valuable consideration in hand paid, the receipt and sufficiency of which is hereby acknowledged, I/We, the undersigned, hereby sell, assign and transfer to the aforesaid **LEVER BROTHERS COMPANY, DIVISION OF CONOPCO, INC.**, its successors and assigns, the entire right, title and interest in and to the said application and invention therein disclosed for the United States, and in and to any and all divisional, continuation, continuation-in-part, reissue or other applications describing said invention or improvements thereon, and in and to any and all Letters Patent of the United States which may issue from said applications or any of them, the same to be held by and for the sole and exclusive use and benefit of the said **LEVER BROTHERS COMPANY, DIVISION OF CONOPCO, INC.**, its successors and assigns.

AND I/We do hereby authorize and request the Commissioner of Patents to issue the said Letters Patent of the United States to said **LEVER BROTHERS COMPANY, DIVISION OF CONOPCO, INC.**, as the ASSIGNEE of the entire right, title and interest in and to the same.

AND I/We do hereby agree to assist said **LEVER BROTHERS COMPANY, DIVISION OF CONOPCO, INC.**, in the prosecution of any and all said applications and in the prosecution of any and all interferences or other proceedings which may arise involving said invention, and to execute and deliver any powers of attorney, affidavits,

assignments, applications, reissues, documents, or other written instruments which, in the opinion of counsel for said **LEVER BROTHERS COMPANY, DIVISION OF CONOPCO, INC.**, its successors and assigns, may be necessary in this country fully to secure to said **LEVER BROTHERS COMPANY, DIVISION OF CONOPCO, INC.**, its successors and assigns, any and all said applications, inventions, improvements, Letters Patent, rights, titles, and interests hereby sold, assigned, transferred and conveyed.

In witness whereof, I/we have hereunto set my/our hand

Dated: 21/12/98 (1) Mark John Berry  
Inventor -Mark John BERRY

Dated: 21-12-98 (2) Paul James Davis  
Inventor -Paul James DAVIS

Dated: 7-1-99 (3) Michael John Gidley  
Inventor -Michael John GIDLEY

*Notarise & Apostille*



Seen by me, Frank Evert Roos, civil law notary with residence in Rotterdam, the Netherlands, for legalisation of the signatures placed on the attached document of:

- Mark John Berry, residing at 2 Fitzwilliam Leys, Nigham Ferrers, Northampton, NN10 8LY, United Kingdom;
- Paul James Davis, c/o Unilever Research Colworth, Colworth House, Sharnbrook, Bedford, MK44 1LQ, United Kingdom; and
- Michael John Gidley, residing at 45 Holmes Avenue, Raunds, Northants NN9 652, Great Britain.

Rotterdam, 14 January 1999



## APOSTILLE

(Convention de La Haye du 5 Octobre 1961)

1. Country: The NETHERLANDS

This public document

2. has been signed by mr F.E.  
Roos

3. acting in the capacity of  
notary

4. bears the stamp of  
mr F.E. Roos

Certified

5. at ROTTERDAM 6. on 15/01/1999

7. by the clerk of the District Court

(Griffier van de Arrondissementsrechtbank)

N° 83332

Stamp:

10. Signature