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Rev. Date: 11-93

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Trademarks:  
or copy thereof.

1. Name of conveying party(ies):  
**David H. SCHMIDT and Carl M. ELAM,**

Additional name(s) of conveying parties attached? - ☐  
Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other:

Execution Date(s): **Oct. 7, 1998 and February 5, 1999,**  
**respectively**

2. Name and address of receiving party(ies):

Name: **SOUNDVIEW TECHNOLOGIES  
INCORPORATED**

Internal Address:

Street Address: **Two Soundview Drive**

City/State/Zip: **Greenwich, CT 06830**

Additional name(s) & address(es) attached?  
☐ Yes ☒ No

4. Applicant number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: (Date) \_\_\_\_\_

A. Patent Application No.(s)  
**08/780,294**

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name:  
Finnegan, Henderson, Farabow, Garrett &  
Dunner  
1300 I Street, N.W.

City/State/Zip: Washington, D.C. 20005

Attorney Docket No.: **6437.0003-01000**

6. Total number of applications and patents involved  
(number) 1

7. Total fee (37 CFR 3.41): ..... \$40.00

☒ Enclosed  
☐ Charge to deposit account  
☐ Other:  
☒ Please charge any additional fees or credit  
overpayment to Deposit Account No. 06-0916

8. Deposit account number: 06-0916

(Attach duplicate copy of this page  
if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Doris Johnson Hines  
Name of Person Signing

Signature

February 17, 1999  
Date

Registration No.: 34,629

Total number of pages (including cover sheet): 3

**PATENT**

**REEL: 9765 FRAME: 0847**

**ASSIGNMENT**

WHEREAS I/We, the below named inventor(s), [hereinafter referred to as Assignor(s)], have made an invention entitled:

**BROADCAST SIGNAL RECEIVER RETROFIT APPARATUS AND METHOD**

for which I/We executed an application for United States Letters Patent concurrently herewith or filed an application for United States Letters Patent on January 8, 1997, Serial No. 08/780,294; and

WHEREAS, SOUNDVIEW TECHNOLOGIES INCORPORATED, a corporation of U.S.A. whose post office address is Two Sounview Drive, Greenwich, CT 06803, (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from assignee is hereby acknowledged, I/We, as assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention, application Serial No. 08/780,294, filed January 8, 1997, and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of the above application (if any), as well as all rights to claim priority on the basis of this application, and all applications for Letters Patent that may hereafter be filed for this invention in any foreign country and all Letters Patent that may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I/We hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patents for this invention to assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY covenant that I/We have the full right to convey the interest assigned by this Assignment, and I/We have not executed and will not execute any agreement in conflict with this Assignment;

AND, I/WE HEREBY further covenant and agree that I/We will, without further consideration, communicate with assignee, its successors and assigns, any facts known to me/us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the assignee, its successors and assigns.

IN TESTIMONY WHEREOF, I/We have hereunto set our hands.

County of Lampfield  
State of Connecticut

ss. Darien

Name: David H. Schmidt  
Address: 1 Pratt Island, Darien, CT 06820  
Signature: [Signature]  
Date: 10-7-98

Subscribed and sworn to before me this 7th day of October, 1998  
Jane M. Schmidt, Notary Public  
JANE M. SCHMIDT  
NOTARY PUBLIC  
STATE OF CONNECTICUT  
MY COMMISSION EXPIRES JULY 31, 2001

County of \_\_\_\_\_  
State of \_\_\_\_\_

ss.

Name: Carl M. Elam  
Address: 9521 Horn Avenue, Baltimore, MD 21236  
Signature: \_\_\_\_\_  
Date: \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 19\_\_\_\_.  
\_\_\_\_\_, Notary Public

## ASSIGNMENT

WHEREAS I/We, the below named inventor(s), [hereinafter referred to as Assignor(s)], have made an invention entitled:

### BROADCAST SIGNAL RECEIVER RETROFIT APPARATUS AND METHOD

for which I/We executed an application for United States Letters Patent concurrently herewith or filed an application for United States Letters Patent on January 8, 1997, Serial No. 08/780,294; and

WHEREAS, SOUNDVIEW TECHNOLOGIES INCORPORATED, a corporation of U.S.A. whose post office address is Two Sounview Drive, Greenwich, CT 06803, (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from assignee is hereby acknowledged, I/We, as assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention, application Serial No. 08/780,294, filed January 8, 1997, and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of the above application (if any), as well as all rights to claim priority on the basis of this application, and all applications for Letters Patent that may hereafter be filed for this invention in any foreign country and all Letters Patent that may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I/We hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patents for this invention to assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY covenant that I/We have the full right to convey the interest assigned by this Assignment, and I/We have not executed and will not execute any agreement in conflict with this Assignment;

AND, I/WE HEREBY further covenant and agree that I/We will, without further consideration, communicate with assignee, its successors and assigns, any facts known to me/us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the assignee, its successors and assigns.

IN TESTIMONY WHEREOF, I/We have hereunto set our hands.

County of \_\_\_\_\_ )  
State of \_\_\_\_\_ )

ss.

Name: David H. Schmidt  
Address: 1 Pratt Island, Darien, CT 06820  
Signature: \_\_\_\_\_  
Date: \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 19\_\_\_\_.  
\_\_\_\_\_, Notary Public

County of Baltimore )  
State of Maryland )

ss.

Name: Carl M. Elam  
Address: 9521 Horn Avenue, Baltimore, MD 21236  
Signature: \_\_\_\_\_  
Date: 5 Feb 99

Subscribed and sworn to before me this 5 day of Feb, 1999.  
Stephanie Bracciale, Notary Public

STEPHANIE A. BRACCIALE  
NOTARY PUBLIC STATE OF MARYLAND  
My Commission Expires January 3, 2001

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P. • WASHINGTON, D.C.

FHFGD June 97